

## TRF Portal User Agreement

### NYSE Group, Inc. TRF Portal Authorization

NYSE Group, Inc., on behalf of the Business Member of the FINRA/NYSE Trade Reporting Facility® LLC ("TRF"), agrees to provide access to its TRF Portal to the company set forth below ("Firm"), subject to the following: Firm acknowledges and agrees that its use of the TRF is: (1) governed by the Terms of Use available at [https://www.ice.com/publicdocs/nyse/NYSE\\_Online\\_Tools\\_Terms\\_of\\_Use.pdf](https://www.ice.com/publicdocs/nyse/NYSE_Online_Tools_Terms_of_Use.pdf) and that by executing this Portal User Agreement, Firm affirms Firm's review of, understanding of and assent to the Terms of Use; (2) the individuals listed below may access and use the TRF Portal on behalf of Firm (each a "User"); (3) if a User has left the Firm or is no longer authorized to use the tools, the Firm must immediately notify [crs@nyse.com](mailto:crs@nyse.com); and (4) Firm is responsible for the acts of User.

### General Information

Firm Name: \_\_\_\_\_  
Business Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_  
Business Phone: \_\_\_\_\_ CRD #: \_\_\_\_\_

Select Firm Type:

☐ Reporting Participant Firm (Read/Cancel) ☐ Contra Participant Firm (Read Only) ☐ Clearing Firm (Read Only)

### TRF Portal User

First/Last Name: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
☐ Read Only (Applies to all Firm Types)  
☐ Read / Cancel (Reporting Firm Only) ☐ Specify MPID(s): \_\_\_\_\_  
\_\_\_\_\_

### TRF Portal User

First/Last Name: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
☐ Read Only (Applies to all Firm Types)  
☐ Read / Cancel (Reporting Firm Only) ☐ Specify MPIDs: \_\_\_\_\_  
\_\_\_\_\_

### TRF Portal User\*

First/Last Name: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
☐ Read Only (Applies to all Firm Types)  
☐ Read / Cancel (Reporting Firm Only) ☐ Specify MPIDs: \_\_\_\_\_  
\_\_\_\_\_

\*If additional users are required, please attach multiple copies of this form.

### Authorization and Acceptance

By (Signature) \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Date: \_\_\_\_\_

Completed Agreement should be returned via email to [crs@nyse.com](mailto:crs@nyse.com).