October 28 - November 1, 2013

PROGRAM TRADING PURCHASES AND SALES

Trading on NYSE (Average Daily - Millions)	Current Week
Total Buy Programs	**193.4**
Total Sell Programs	**195.8**
Total CS2 Programs	**70.1**
Total Programs	**459.4**
Total NYSE Volume*	**1,658.6**
Program Trading as % of Total NYSE Buy + Sell Volume	**27.7%**

NYSE Program Trading - 20 Most Active Member Firms

(Millions of Shares)

	Index Arbitrage	Non-Index Arbitrage	Non-CS2 Total	Principal	Agency	Crossing Session 2	Grand Total
MORGAN STANLEY & CO. LLC	-	291.1	291.1	81.8	209.2	20.9	312.0
MERRILL LYNCH, PIERCE, FENNER & SMITH	-	204.6	204.6	154.1	50.4	98.5	303.0
BARCLAYS CAPITAL INC.	-	261.4	261.4	95.2	166.2	27.7	289.2
DEUTSCHE BANK SECURITIES INC.	-	142.2	142.2	79.2	63.0	89.7	231.9
GOLDMAN, SACHS & CO.	-	175.9	175.9	165.2	10.7	38.4	214.4
SG AMERICAS SECURITIES, LLC	5.9	146.4	152.2	94.0	58.2	-	152.2
LATOUR TRADING LLC	-	145.5	145.5	145.5	-	-	145.5
J.P. MORGAN SECURITIES LLC	-	110.8	110.8	50.6	60.2	6.6	117.4
UBS SECURITIES LLC	-	80.2	80.2	4.4	75.9	16.8	97.0
CITIGROUP GLOBAL MARKETS INC.	-	34.2	34.2	9.7	24.6	52.1	86.3
CREDIT SUISSE SECURITIES (USA) LLC	1.1	76.0	77.1	58.4	18.7	-	77.1
INSTINET, LLC	-	58.1	58.1	6.1	52.1	-	58.1
RBC CAPITAL MARKETS, LLC	7.8	40.9	48.8	48.8	-	-	48.8
ITG INC.	-	40.3	40.3	-	40.3	-	40.3
SIG BROKERAGE, LP	-	25.8	25.8	-	25.8	-	25.8
SANFORD C. BERNSTEIN & CO., LLC	-	22.9	22.9	-	22.9	-	22.9
BNP PARIBAS PRIME BROKERAGE, INC.	-	17.4	17.4	-	17.4	-	17.4
INTERACTIVE BROKERS LLC	0.4	14.9	15.3	-	15.3	-	15.3
ROSENBLATT SECURITIES INC.	-	15.3	15.3	-	15.3	-	15.3
GOLDMAN SACHS EXECUTION & CLEARING, L.P.	-	9.3	9.3	-	9.3	-	9.3
Total for 20 Member Firms	15.2	1,913.2	1,928.4	993.0	935.5	350.7	2,279.2
Total for All Firms Reporting	15.2	1,931.0	1,946.2	999.5	946.9	350.7	2,297.0
% of Total	0.7%	84.1%	84.7%	43.5%	41.2%	15.3%	100.0%

+ Total NYSE volume is the sum of shares bought, sold and sold short on the NYSE, during regular NYSE trading hours, plus crossing session 2.

Totals may not sum exactly due to rounding.

(** See Appendix)

Note 1: NYSE program trading totals include purchases and sales during regular trading hours, plus crossing session 2.
Note 2: Program Trading Totals in this report were compiled from member firms executed volume from NYSE's orders database.