

Authorization or Revocation of Restricted Clearing Number

NYSE American LLC and NYSE Arca, Inc.
(each an "Exchange")

To be completed by an Exchange Clearing Member Firm to enable or disable a restricted give up.

Indicate Exchange that Clearing Member Firm is requesting to restrict OCC number <i>(Check all that apply)</i>	
<input type="checkbox"/> NYSE American Options	<input type="checkbox"/> NYSE Arca Options

1. Exchange Clearing Firm Information

Clearing Member Firm Name		CRD #	
Business Contact Name			
Business Contact E-mail Address			

2. Give up Information

The above referenced Clearing Member Firm authorizes the Exchange(s) to enable or disable give ups for the following Member Firms. <i>(NOTE: Check the box to indicate whether the give up is to be enabled or disabled)</i>				Enable give up	Disable give up
Member Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Member Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Member Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Member Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Member Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Member Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>
Member Firm Name:		OCC #:		<input type="checkbox"/>	<input type="checkbox"/>

Print Name and Title of Authorized Signatory		
Signature		Date

Please return to Client Relationship Services via email at CRS@nyse.com.