NYSE Pillar Trade Ops Portal
REST API Account Request Form

Authorization
Firm acknowledges and agrees that its use of the NYSE Pillar Trade Ops Portal (TOP), available at https://www.nyse.com/pillar/trade-ops-portal, (the "tool") is ;(1) governed by the Terms of Use available at https://www.theice.com/publicdocs/nyse/markets/nyse/TOP_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool; (2) the Firm must immediately notify crs@nyse.com if the TOP API account should be inactivated; and (3) Firm is responsible for any acts made via this TOP API account.

General Information:

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Business Phone: _____ CRD #: _____

Account Information:

Please note: Firm is limited to two TOP API Accounts.

Name of Primary Contact: _____

Contact Email: _____

Contact Phone: _____

Preferred Account ID1:
(Alpha/Numeric, not an email address) _____

Static IP Address or Range: _____

Firm Type (Choose One):

☐ Entering Firm — By default, all MPIDs are assigned. If only specific MPIDs are required, please specify: _____

☐ Floor Broker — Firm Level for Options Markets Only. By default, all identifiers included.

☐ Market Maker — By default, all MMIDs are assigned. If only specific MMIDs are required, please specify: _____

☐ Clearing Firm
(Risk Controls not available for Options Markets) — By default, all NSCC#s are assigned. If only specific NSCC#s are required, please specify: _____

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Access: Please select each market and modules the above account should have access to:		
Market:	**Module:**	**Permissions:**
☐ **NYSE Equities**	☐ Firm Sessions ☐ Firm Risk Controls	☐ Risk Info - Download Only ☐ Risk Desk - Download & Configure ☐ Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
☐ **NYSE Arca Equities**	☐ Firm Sessions ☐ Firm Risk Controls	☐ Risk Info - Download Only ☐ Risk Desk - Download & Configure ☐ Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
☐ **NYSE American Equities**	☐ Firm Sessions ☐ Firm Risk Controls	☐ Risk Info - Download Only ☐ Risk Desk - Download & Configure ☐ Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
☐ **NYSE National Equities**	☐ Firm Sessions ☐ Firm Risk Controls	☐ Risk Info - Download Only ☐ Risk Desk - Download & Configure ☐ Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
☐ **NYSE Chicago Equities**	☐ Firm Sessions ☐ Firm Risk Controls	☐ Risk Info - Download Only ☐ Risk Desk - Download & Configure ☐ Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)

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Market:	Module:	Permissions:
☐ **NYSE Arca Options**	☐ Firm Trades ☐ Firm Sessions ☐ Firm Risk Controls	☐ Download Only ☐ Manage Trades ☐ Risk Info - Download Only ☐ Risk Desk - Download & Configure ☐ Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
☐ **NYSE American Options**	☐ Firm Trades ☐ Firm Sessions ☐ Firm Risk Controls	☐ Download Only ☐ Manage Trades ☐ Risk Info - Download Only ☐ Risk Desk - Download & Configure ☐ Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)

Authorization and Acceptance*

***Signatory must be on file via the NYSE Pillar Trade Ops Portal - Authorized Administrators form available here: https://www.nyse.com/publicdocs/nyse/NYSE_Pillar_Trade_Ops_Portal_Authorized_Administrators.pdf**

By (Signature) _____   Date: _____

Print Name: _____

Title: _____

Phone: _____   Email: _____

Completed forms should be returned via email to crs@nyse.com.