

NEW YORK STOCK EXCHANGE SPECIALIST FIRMS
SUMMARY OF COMMON STOCKS, CONCENTRATION PERCENTAGES AND INDEX STOCKS

<u>SPECIALIST FIRM</u>	<u>TOTAL COMMON STOCKS</u>	<u>CONCENTRATION PERCENTAGES*</u>				<u>NUMBER OF INDEX STOCKS</u>		
		<u>COM. STKS.</u>	<u>250 MOST ACTIVE</u>	<u>SHARE VOLUME</u>	<u>DOLLAR VOLUME</u>	<u>DJIA 30</u>	<u>S&P 100</u>	<u>S&P 500</u>
1. LABRANCHE & Co. LLC	591	22.9	26.8	28.5	27.6	9	30	103
2. SPEAR LEEDS & KELLOGG SPECIALIST	502	19.5	23.2	20.2	22.6	3	19	100
3. FLEET MEEHAN SPECIALIST, INC.	422	16.4	20.8	18.3	19.4	9	17	83
4. WAGNER STOTT BEAR SPECIALISTS, LLC	347	13.5	13.6	16.0	15.5	4	18	66
5. VAN DER MOOLEN SPECIALISTS USA	308	12.0	10.0	10.2	9.1	3	8	40
6. PERFORMANCE SPECIALIST GROUP, LLC	134	5.2	0.4	1.1	1.0	0	0	8
7. SUSQUEHANNA SPECIALISTS, INC.	117	4.5	2.4	2.8	2.3	0	0	12
8. LYDEN DOLAN NICK & Co. LLC	80	3.1	1.2	1.5	1.4	0	1	5
9. WALTER N. FRANK & Co. LLC	74	2.9	1.6	1.4	1.1	0	0	5
TOTAL ALL SPECIALISTS	2,575	100.0	100.0	100.0	100.0	28	93	422

10. BEAR HUNTER STRUCTURED PRODUCTS TRADING L.L.C. IS THE SPECIALIST SOLELY IN TWO EXCHANGE TRADED FUNDS.

* THE NUMBER AND PERCENTAGES OF COMMON STOCKS ARE AS OF JANUARY 2, 2002. THE 12-MONTH PERCENTAGES OF SHARE VOLUME, DOLLAR VOLUME, AND THE NUMBER OF THE 250 MOST ACTIVE STOCKS ARE FOR THE COMMON STOCKS LISTED AS OF DECEMBER 31, 2001.