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PT 0113

Date: August 1, 2005

To: OCS Users/Operations Managers and P& S Managers of Member Organizations

Subject: OCS Screen Changes in support of e-Quote Comparison

Effective August 08, 2005 the On-Line Comparison System (NYSE OCS[®]) Clearing Firm, Specialist and Broker Browse screens are being modified to support the comparison of "e-Quote" executions. Listed below is a brief summary of the screen changes:

- A new field "Report Link Identifier" shall be added to each of the OCS screens (add, compared, delete, uncomparing, etc.)
- To facilitate the addition of the new field minor cosmetic changes shall be made to other fields located on the OCS screens.
- Currently the value of "ADV" is used to denote an advisory and the value of space is used to denote an uncomparing trade on the appropriate OCS screen. The screens shall use the value of "U" to denote an uncomparing trade and the value of "A" to denote an advisory.
- Listed below is a sample of the revised clearing firm uncomparing/advisory screen:

NYSE	EQUITY-SYSTEM	T-DATE	UNCOMPARING	TRADES	07/12/05	13:34
				USER-NAME: TEST		
MAJOR-FIRM: LMT		TRADE-DATE: 07 12 05		BUY/SELL: B		
SYMBOL: -----		PRICE: -----		CONTRA-FIRM: ----		
LN S	B			CNTR	CNTR	MAJR S CA EXEC A
NO C I DK S	QUANTITY	SYMBOL	PRICE	FIRM	BDGE	BDGE P ND TIME T
** *	*	TRD-DATE: 07/12/05	*****TOP OF**	DATA	****	**** * ***
A02	I B	2000 ZYX	26.05	ABC	9999	0001 0900
U03	I B	1000 XYZ	52.26	DEF	9999	0001 1012
** *	*	*****	*****END OF**	DATA	****	**** * ***

Uncomparing/Advisory Indicator

NYSE	EQUITY-SYSTEM	T-DATE	UNCOMPARING	TRADES	07/12/05	13:34
				(SECOND PART)		
MAJOR-FIRM: LMT		TRADE-DATE: 07 12 05		USER-NAME: ANNE		
SYMBOL: -----		PRICE: -----		BUY/SELL: B		
				CONTRA-FIRM: ----		
ENTR	BTH		TA	BR-SEQ	REPORT	CONTROL
FIRM	ID	KNOWS	COMMENTS	NUMBER	NUMBER	NUMBER
****	***	***	TRADE DATE: 07/12/05	*****	*****	*****
				***** TOP OF DATA *****		
				112233	90000021193	1331 02 A
				356872	90000032322	1331 03 U

Report Link ID

Uncomparing/Advisory Indicator

- Listed below is a sample of the revised clearing firm add screen:

NYSE	EQUITY SYSTEM	T-DATE	ADD SCREEN	07/12/05	13:54
MAJOR-FIRM: ABC				TRADE-DATE: 07 12 05	
B					
LN	S	QUANTITY	SYMBOL	----PRICE----	CNTR EXEC CNTR EXEC A ENTR S C
001	S	1000	XYZ	26.05	LMT 9999 0001 0905 _ _ _ _ _ I
002	_	_	_	_	_ _ _ _ _ I

NYSE	EQUITY SYSTEM	T-DATE	ADD SCREEN	07/12/05	13:55			
MAJOR-FIRM: ABC				TRADE-DATE: 07 12 05				
(SECOND PART)								
B								
LN	S	QUANTITY	SYMBOL	----PRICE----	COMMENTS	TA	BR-SEQ	REPORT
001	S	1000	XYZ	26.05	_	NUMBER	NUMBER	LINK
002	_	_	_	_	_	_	_	112233

Report Link ID

OCS User Guides

A revised copy of the Clearing Firm and Broker screen user guides are available on the NYSE web site <http://www.nyse.com/Frameset.html?displayPage=/content/publications/1043269645771.html>

Reference Circular

In a previously issued circular, PT 110, dated May 24, 2005, firms were notified about the new e-Quote comparison process and the use of the "LMT" omnibus account.

Any questions concerning this circular should be directed to Fred Lipinski at (212) 656-3021 or the post trade operations group at (212) 656-5513

Any comments regarding this notice should be directed to the undersigned, Thomas Fay at (212) 656-2238.


