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PT 0103

REVISED

Date: June 10th, 2004

To: All Members and Member Organizations, Chief Operations Officers, Managing Partners, Operations Managers, Data Processing Managers and P&S Managers of Member Organizations

Subject: POST TRADE PROCESSING FOR FRIDAY JUNE 11<sup>th</sup>, 2004

The New York Stock Exchange will be closed on Friday, June 11<sup>th</sup>, 2004 in observance of the National Day of Mourning for former President Ronald Reagan.

Member Firms are hereby advised that The NYSE's On Line Comparison System (OCS) will not be available on Friday, June 11<sup>th</sup>.

The National Securities Clearing Corporation (NSCC) will conduct "business as usual" in its clearance and settlement services on June 11<sup>th</sup>. Therefore, all Uncompared Trades from Thursday, June 10<sup>th</sup> that are resolved on Monday, June 14<sup>th</sup>, will be processed as T+2 Compared Trades, for settlement on Tuesday, June 15<sup>th</sup>.

Omnibus Uncompared Trades from Wednesday, June 9<sup>th</sup> must be resolved on Thursday June 10<sup>th</sup> or they will be forced against the Specialist Clearing Firm on the evening of Friday, June 11<sup>th</sup> by NSCC.

Omnibus Uncompared Trades from Thursday, June 10<sup>th</sup> must be resolved on Monday, June 14<sup>th</sup> or they will be forced against the Specialist Clearing Firm on the evening of Monday, June 14<sup>th</sup> by NSCC.

Member Firms are advised to RETAIN their Reports and Files from Thursday, June 10<sup>th</sup> for the resolution of Questioned Trades (QT's), on Monday, June 14<sup>th</sup>, 2004.

In addition, the following NYSE Reports and Files used to resolve Questioned Trades (QT's), will NOT be produced on June 11<sup>th</sup>, (nor will Header Records be produced):

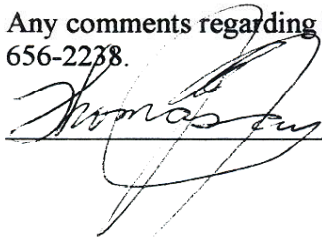
- As Of Status Request Log **06010807**
- BBSS Agency ID Merged Order Log MRO **06010176**
- BBSS Agency ID Merged Order Log **06010166**
- Broker Badge Merged Order Log MRO **06201029**
- Broker Badge Merged Order Log **06201028**
- Clearing Firm Merged Order Log MRO 06220143
- Clearing Firm Merged Order Log 06010641
- Entering Firm Merged Order Log MRO 06220174
- Entering Firm Merged Order Log 06222466
- Limit Order Reconciliation MRO **06240532**
- Limit Order Reconciliation Log **06240512**
- NYSE Equity Uncompared/Advisory MRO 06010984
- NYSE Equity Uncompared/Advisory Log 06011177
- NYSE Equity Step Out Unaffirmed/Alert MRO 06010983
- NYSE Equity Step Out Unaffirmed/Alert Log 06011176
- Specialist Firm Merged Order Log 06010541
- Specialist Activity Log 06010615

#### QT Rules and Procedures

All QT Rules that would normally be in effect on Friday, June 11<sup>th</sup> shall be adhered to for trades of Thursday, June 10<sup>th</sup>, on Monday, June 14<sup>th</sup>.

Questions concerning this notice should be directed to The NYSE's' Post Trade Operations Group at 212 656-5513 or Ms. Nadira Ramtahal at 212 656-5945.

Any comments regarding this notice should be directed to the undersigned, Thomas Fay at (212) 656-2238.



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