

Thank you for your interest in the NYSE Euronext Power Partners™ Program where we recognize companies that partner with us to best meet our mutual customers' needs.

Data vendors, broker/dealers, OMS/EMS and service bureaus and NYSE Technologies distribution and re-seller partners can all qualify for the program. Applicants are evaluated based on pre-set qualification criteria specific to their business types. The more criteria that are met, the greater the Power Partners™ status achieved and marketing rewards for which the Power Partner™ is potentially eligible. To be eligible for a particular portal classification, your firm must provide access to all the qualifications indicated under that portal's column.

To apply to become an NYSE Euronext Power Partner™, please complete all information on page 1 as well as the appropriate program application information on the following pages for the category for which you wish to be considered. Email to powerpartners@nyx.com or fax to 212-937-3267.

POWER PARTNERS PROGRAM APPLICATION

Company Name: _____

Primary Contact:

Secondary Contact:

Name: _____ Name: _____

Title: _____ Title: _____

Address: _____ Address: _____

Email: _____ Email: _____

Phone: _____ Phone: _____

Fax: _____ Fax: _____

In which category/categories would you like to be considered?

- | | | | |
|---|---|---|--|
| <input type="checkbox"/> EQUITIES
OMS EMS
Service Bureau
Broker Systems | <input type="checkbox"/> MARKET DATA
Data Vendor
Broker Dealer
Retail Broker Dealer | <input type="checkbox"/> OPTIONS
Quoting System Apps
OMS/EMS Broker System | <input type="checkbox"/> TECHNOLOGIES
Distribution
Reseller |
|---|---|---|--|

How did you hear about the Power Partners program? _____

Name of Submitting Party _____

Signature of Submitting Party _____

Please check here to indicate that you have read and understand the Rules and Regulations of the "Program."

Date of Submission _____

EQUITIES CRITERIA

OMS, EMS & Service Bureau Equities Criteria

Qualification Question	Your Response			3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Do you introduce new order types, product enhancements, etc within 30 days or 60 days after the NYSE Euronext production go-live date?	<input type="checkbox"/> 30 days	<input type="checkbox"/> 60 days	<input type="checkbox"/> More than 60 days	30 days	60 days	60 days
Do you offer MOO, LOO, MOC and LOC order types for the NYSE & NYSE Amex Opening & Closing?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No
Do you offer MOO, LOO, MOC and LOC order types for the NYSE Arca Opening & Closing?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No
Do you offer Market, Limit, Light & Dark Reserve order types for NYSE & NYSE Amex?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No
Do you offer Market, Limit, PL, MPL, PNP and PNP B order types for NYSE Arca?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No
Do you offer PO+ and PSO NYSE Arca routable orders?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	No	No
Do you offer all TIF values for NYSE, NYSE Amex and NYSE Arca (Day and IOC)?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No
Do you have the ability to send attributable orders to NYSE Arca	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	No	No
Do you provide connectivity to NYBX or BIDS?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	No	No
Do you currently provide access for a member firm to trade on NYSE Arca?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	Yes
Are you a registered NYSE, NYSE Amex, and NYSE Arca service bureau?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	Yes
Do you offer crossing orders?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No
Do you offer ALO order type?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No
Are you current on your NYSE port fees/session costs?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	Yes
Do you provide TRF functionality?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		Yes	Yes	No

MARKET DATA CRITERIA

Data Vendor Criteria

Qualification Question	Your Response		3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Is your Market Data account balance under 60 days old?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Do you report Internal and/or External Market Data use within 60 Days?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Have your Market Data Exhibits & Agreements been updated within the last 2 years?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
To how many global proprietary real-time data products do you subscribe*?			17+	11-16	6-10
To how many Historical/Reference data products do you subscribe?			8+	5-7	3-4
To how many global proprietary datafeed customers do you vend?			500+	100-499	50-99
To how many controlled device customers do you vend?			1,500+	1,000-1,499	500-999

Historical / Reference Data Vendor Criteria

Qualification Question	Your Response		3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Is your Market Data account balance under 60 days old?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Do you report Internal and/or External Market Data use within 60 days?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Have your Market Data Exhibits & Agreements been updated within the last 2 years?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
To how many Historical/Reference data products do you subscribe?			7+	4 to 6	2 to 3
To how many customers do you vend?			151+	76-150	50-75

Broker/Dealer Criteria

Qualification Question	Your Response		3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Is your Market Data account balance under 60 days?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Do you report Internal and/or External Market Data use within 60 Days?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Have your Market Data Exhibits & Agreements been updated within the last 2 years?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
How many of the following apply to your firm? Contribute to Broker Volume; Enterprise Cap pricing for NYSE OpenBook, NYSE Amex OpenBook or NYSE ArcaBook; Subscribe to Real-Time Reference Pricing; Are an authorized Unit of Count Vendor			2+	1	1
To how many global proprietary real-time data products do you subscribe*?			20+	14-19	9-13
To how many historical/reference data products do you subscribe?			6-8+	4-5	3-4
To how many controlled device customers do you vend?			1,500+	1,000-1,499	500-999

Retail Broker/Dealer Criteria

Qualification Question	Your Response		3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Is your Market Data account balance under 60 days?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Do you report Internal and/or External Market Data use within 60 Days?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Have your Market Data Exhibits & Agreements been updated within the last 2 years?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
To how many non-professional users do you vend?			500,000+	250,000-499,999	100,000-249,999
How many of the following apply to your firm? Contribute to Broker Volume; Enterprise Cap pricing for NYSE OpenBook, NYSE Amex OpenBook or NYSE ArcaBook; Subscribe to Real-Time Reference Pricing; Are an authorized Unit of Count Vendor			2+	1	1
To how many historical/reference data products do you subscribe?			6-8+	4-5	3-4

* Global proprietary real-time data products include the following datafeeds: NYSE ArcaBook, NYSE OpenBook Aggregated, NYSE OpenBook Ultra, NYSE AMEX OpenBook Aggregated, NYSE AMEX OpenBook Ultra, NYSE Alerts, NYSE AMEX Alerts, NYSE Order Imbalances, AMEX Order Imbalances, NYSE BBO, NYSE AMEX BBO, NYSE Trades, NYSE AMEX Trades, NYSE Arca Trades, and NYSE Global Index Feed.

OPTIONS CRITERIA

Quoting System Applications

Qualification Question	Your Response			3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Upon NYSE release of new MM Direct Quoting Specifications, how quickly will you update your code, test and release into production?	<input type="checkbox"/> 30 days	<input type="checkbox"/> 60 days	<input type="checkbox"/> Under 90 days	30 days	60 days	Under 90 days
Are you current with the MM direct specifications?	<input type="checkbox"/> Yes		<input type="checkbox"/> No	Yes	Yes	Yes
Have you written to NYSE Superfeed Market Data?	<input type="checkbox"/> Yes		<input type="checkbox"/> No	Yes	No	No
How many desktops do you service?	<input type="checkbox"/> 1-25	<input type="checkbox"/> 26-50	<input type="checkbox"/> 51+	51+	26-50	1-25
Do you support NYSE Direct Market data feeds?	<input type="checkbox"/> Yes		<input type="checkbox"/> No	Yes	No	No
Are you co-located in an NYSE Managed Co-location facility?	<input type="checkbox"/> Yes		<input type="checkbox"/> No	Yes	No	No

OMS/ EMS/ Broker Systems

Qualification Question	Your Response			3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Upon NYSE release of new ArcaDirect or FIX order entry Specifications, how quickly will you update your code, test and release into production?	<input type="checkbox"/> 30 days	<input type="checkbox"/> 60 days	<input type="checkbox"/> More than 60 days	30 days	60 days	60 days
Do you offer Complex Order Auction functionality for NYSE Arca & NYSE Amex Participants?	<input type="checkbox"/> Yes		<input type="checkbox"/> No	Yes	Yes	Yes
Do you offer access to the Electronic Auction Mechanisms on NYSE Arca & NYSE Amex? *	<input type="checkbox"/> Yes		<input type="checkbox"/> No	Yes	Yes	No
Do you have the ability to enter Qualified Contingent Cross Orders for both NYSE Arca & NYSE Amex?	<input type="checkbox"/> Yes		<input type="checkbox"/> No	Yes	Yes	No

* This criteria will only apply once EAM is approved and operative.

TECHNOLOGIES CRITERIA

Distribution Criteria

Qualification Question	Your Response		3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Will you exclusively resell / refer NYSET products	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	No	No
Will you share Roadmaps and products plans with NYSE Technologies	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Will you accept targets jointly agreed with NYSET	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	No	No
Annual Revenue contributed to NYSET (Assessed only after first 12 Months)			3M+	2M	1M
Number of potential customers for NYSET Products			200+	100+	50+

Reseller Criteria

Qualification Question	Your Response		3 Portal Requirement	2 Portal Requirement	1 Portal Requirement
Will you allow NYSE Technologies to exclusively resell your product/s and services	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	No
Will you share Roadmaps and products plans with NYSE Technologies	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Will you work in partnership with NYSET to achieve revenue targets	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	No	No
Will your product support the MAMA API (Where applicable)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Yes	Yes	Yes
Annual Revenue contributed to NYSET (Assessed only after first 12 Months)			3M+	2M	1M