

ACTIVATED HYBRID MARKET PHASE IV SECURITIES

Activated total as of 2.15.07 = 358

Effective	Symbol	Corp. Name	Post / Panel	Specialist Unit
1/25/2007	A	AGILENT TECHNOLOGIES	5V	LABRANCHE AND CO.
1/25/2007	CJR	CORUS ENTERTAINMENT	5V	LABRANCHE AND CO.
1/25/2007	MGI	MONEYGRAM INTERNATIONAL INC.	5V	LABRANCHE AND CO.
1/25/2007	ROG	ROGERS	5V	LABRANCHE AND CO.
1/25/2007	STX	SEAGATE TECHNOLOGY	5V	LABRANCHE AND CO.
1/25/2007	TRB	TRIBUNE	5V	LABRANCHE AND CO.
2/1/2007	GG	GOLDCORP INC	5S	LABRANCHE AND CO.
2/1/2007	GG WS	GOLDCORP INC	5S	LABRANCHE AND CO.
2/1/2007	LYO	LYONDELL PETROCHEMICAL	5S	LABRANCHE AND CO.
2/1/2007	MOS	THE MOSAIC COMPANY	5S	LABRANCHE AND CO.
2/1/2007	NE	NOBLE CORP (NEW) (CAYMAN ISLANDS)	5S	LABRANCHE AND CO.
2/1/2007	NL	NL INDUSTRIES	5S	LABRANCHE AND CO.
2/1/2007	NVL	NOVELIS	5S	LABRANCHE AND CO.
2/1/2007	SLW	SILVER WHEATON	5S	LABRANCHE AND CO.
2/1/2007	WLL	WHITING PETROLEUM	5S	LABRANCHE AND CO.
2/1/2007	XOM	EXXON MOBIL	5S	LABRANCHE AND CO.
2/1/2007	ALK	ALASKA AIR GROUP	6E	LABRANCHE AND CO.
2/1/2007	CAL	CONTINENTAL CLASS B COMMON	6E	LABRANCHE AND CO.
2/1/2007	CVS	CVS DELAWARE	6E	LABRANCHE AND CO.
2/1/2007	DPZ	DOMINO'S PIZZA INC	6E	LABRANCHE AND CO.
2/1/2007	MLR	MILLER INDUSTRIES	6E	LABRANCHE AND CO.
2/1/2007	MRT	MORTON'S RESTAURANT	6E	LABRANCHE AND CO.
2/1/2007	NKE	NIKE	6E	LABRANCHE AND CO.
2/1/2007	SAH	SONIC AUTOMOTIVE	6E	LABRANCHE AND CO.
2/1/2007	UPS	UNITED PARCEL SERVICE	6E	LABRANCHE AND CO.
2/1/2007	VC	VISTEON	6E	LABRANCHE AND CO.
2/1/2007	WCN	WASTE CONNECTIONS INC	6E	LABRANCHE AND CO.
2/1/2007	AGO	ASSURED GUARANTY LTD	6S	LABRANCHE AND CO.
2/1/2007	FMD	THE FIRST MARBLEHEAD CORP	6S	LABRANCHE AND CO.
2/1/2007	FNB	FNB	6S	LABRANCHE AND CO.
2/1/2007	HIG	HARTFORD FIN SERVICES GP	6S	LABRANCHE AND CO.
2/1/2007	HRH	HILB ROGAL AND HOBBS CO	6S	LABRANCHE AND CO.
2/1/2007	MA	MASTERCARD	6S	LABRANCHE AND CO.
2/1/2007	NI	NISOURCE	6S	LABRANCHE AND CO.
2/1/2007	OGE	OGE ENERGY HOLDING COMPANY	6S	LABRANCHE AND CO.
2/1/2007	PRS	PRIMUS GUARANTY	6S	LABRANCHE AND CO.
2/1/2007	RDN	RADIAN GROUP	6S	LABRANCHE AND CO.
2/1/2007	SPG	SIMON PROPERTY GROUP	6S	LABRANCHE AND CO.
2/1/2007	SPG PRI	SIMON PROPERTY GROUP	6S	LABRANCHE AND CO.
2/1/2007	SRP	SIERRA PACIFIC RESOURCES	6S	LABRANCHE AND CO.
2/1/2007	THG	HANOVER INSURANCE GROUP INC	6S	LABRANCHE AND CO.
2/1/2007	UB	UNIONBANCAL COMMON STOCK	6T	LABRANCHE AND CO.
2/1/2007	WCI	WCI COMMUNITIES INC	6T	LABRANCHE AND CO.
2/1/2007	WR	WESTAR ENERGY INC	6T	LABRANCHE AND CO.
2/1/2007	XEL	XCEL ENERGY	6T	LABRANCHE AND CO.
2/1/2007	ZNT	ZENITH NATIONAL INSURANCE	6T	LABRANCHE AND CO.
2/8/2007	DLM	DEL MONTE FOODS	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	FDP	FRESH DEL MONTE PRODUCE	100	VAN DER MOOLEN SPECIALISTS

2/8/2007	HLF	HERBALIFE LTD	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	HRL	HORMEL FOODS	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	LLY	ELI LILLY & COMPANY	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	MED	MEDIFAST INC	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	PKI	PERKINELMER	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	SFD	SMITHFIELD FOODS	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	WAT	WATERS	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	WBD	WIMM BILL DANN FOODS OJSC	100	VAN DER MOOLEN SPECIALISTS
2/8/2007	AEL	AMERICAN EQUITY INV LIFE HLDG	13K	BANC OF AMERICA SPECIALIST
2/8/2007	ETH	ETHAN ALLEN INTERIORS	13K	BANC OF AMERICA SPECIALIST
2/8/2007	GNW	GENWORTH FINANCIAL INC	13K	BANC OF AMERICA SPECIALIST
2/8/2007	GNW PRE	GENWORTH FINANCIAL INC	13K	BANC OF AMERICA SPECIALIST
2/8/2007	MFG	MIZUHO FINANCIAL GROUP INC	13K	BANC OF AMERICA SPECIALIST
2/8/2007	SYU	SYSCO	13K	BANC OF AMERICA SPECIALIST
2/8/2007	WOR	WORTHINGTON INDUSTRIES	13K	BANC OF AMERICA SPECIALIST
2/8/2007	FXA	CurrencyShares Australian Dollar Trust	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	FXE	Currencyshares Euro Trust	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	FXF	CurrencyShares Swiss Franc Trust	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	FXS	CurrencyShares Swedish Krona Trust	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	GLD	streetTRACKS Gold Trust	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	IEF	ISHARES LEHMAN 7-10 EAS FD	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	SHY	ISHARES 1-3 YR S BD	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	TLT	ISHARES 20+ YR S BD	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	TIP	IShares Lehman TIPS Bond Fund	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	UTH	UTILITIES HOLDRS TR	15G	BEAR HUNTER STRUCTURED PRODUCTS
2/8/2007	DHS	HIGH-YIELDING EQUITY	15R	SLK INDEX SPECIALIST
2/8/2007	DTD	TOTAL DIVIDEND	15R	SLK INDEX SPECIALIST
2/8/2007	GSG	ISHARES GSCI COMMODITY-INDEXED	15R	SLK INDEX SPECIALIST
2/8/2007	IAK	I SHRS DOW JONES US INSURANCE FD	15R	SLK INDEX SPECIALIST
2/8/2007	IAT	I SHRS DOW JONES US REGIONAL FD	15R	SLK INDEX SPECIALIST
2/8/2007	IEO	I SHRS DOW JONES US OIL & GAS FD	15R	SLK INDEX SPECIALIST
2/8/2007	ITA	I SHRS DOW JONES US AEROSPACE FD	15R	SLK INDEX SPECIALIST
2/8/2007	OIL	IPATH ETN GSCI CRUDE OIL	15R	SLK INDEX SPECIALIST
2/8/2007	VTI	VANGUARD TOTAL STOCK MKT VIPERS	15A	SIG INDEX SPECIALISTS
2/8/2007	AFN	ALESCO FINANCIAL INC	16B	KELLOGG SPECIALIST GROUP
2/8/2007	AHD	ATLAS PIPELINE	16B	KELLOGG SPECIALIST GROUP
2/8/2007	ANH	ANWORTH MORTGAGE ASSET CORP	16B	KELLOGG SPECIALIST GROUP
2/8/2007	APL	ATLAS PIPELINE PARTNERS	16B	KELLOGG SPECIALIST GROUP
2/8/2007	ATN	ATLAS ENERGY RESOURCES LLC	16B	KELLOGG SPECIALIST GROUP
2/8/2007	AVK	ADVENT CLAYMORE CONVERTIBLE & FD	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	CAO	CSK AUTO	16B	KELLOGG SPECIALIST GROUP
2/8/2007	CDR	CEDAR SHOPPING CENTERS INC	16B	KELLOGG SPECIALIST GROUP
2/8/2007	FEO	FIRST TRUST /ABERDEEN EMERGING	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	FHI	FRT TR STR HI INC FD	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	FHY	FIRST TRUST STRATEGIC HIGH	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	FMY	FIRST TRUST/FIDAC MORTGAGE	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	HC	HANOVER COMPRESSOR	16B	KELLOGG SPECIALIST GROUP
2/8/2007	HMB	HOMEBANC CORP	16B	KELLOGG SPECIALIST GROUP
2/8/2007	LUM	LUMINENT MORTGAGE CAPITAL INC	16B	KELLOGG SPECIALIST GROUP
2/8/2007	NTR	NEW YORK MORTGAGE TRUST INC	16B	KELLOGG SPECIALIST GROUP
2/8/2007	PCK	PIMCO CALIFORNIA MUNI FD II	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	PFN	PIMCO FLOATING RATE STRATEGY FD	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	PGP	PIMCO GLOBAL STOCKSPUS & INCOME	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	PHK	PIMCO HIGH INCOME FUND	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	PML	PIMCO MUNICIPAL INCOME FUND II	16AB	KELLOGG SPECIALIST GROUP

2/8/2007	PMX	PIMCO MUNICIPAL INCOME FUND III	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	PTY	PIMCO CORPORATE OPPORTUNITY FUND	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	PZC	PIMCO CALIFORNIA MUNI FD III	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	RAS	RAIT FINANCIAL TRUST	16B	KELLOGG SPECIALIST GROUP
2/8/2007	RSO	RESOURCE CAPITAL	16B	KELLOGG SPECIALIST GROUP
2/8/2007	TYW	TS&W/CLAYMORE TAX-ADVANTAGED	16AB	KELLOGG SPECIALIST GROUP
2/8/2007	EWJ	I SHARES MSCI JAPAN INDEX FUND	17H	LABRANCHE STRUCTURED PRODUCTS
2/8/2007	EWZ	ISHARES MSCI BRAZIL	17H	LABRANCHE STRUCTURED PRODUCTS
2/8/2007	ADI	ANALOG DEVICES	1H	SIG SPECIALISTS, INC
2/8/2007	BOW	BOWATER	1H	SIG SPECIALISTS, INC
2/8/2007	CCL	CARNIVAL	1H	SIG SPECIALISTS, INC
2/8/2007	DDR	DEVELOPERS DEV REALTY	1H	SIG SPECIALISTS, INC
2/8/2007	HPT	HOSPITALITY PROPERTIES TRUST	1H	SIG SPECIALISTS, INC
2/8/2007	MWY	MIDWAY GAMES	1H	SIG SPECIALISTS, INC
2/8/2007	WMS	WMS INDUSTRIES	1H	SIG SPECIALISTS, INC
2/8/2007	BHI	BAKER HUGHES	4N	SPEAR, LEEDS AND KELLOGG SPEC.
2/8/2007	IAR	IDEARC INC	4N	SPEAR, LEEDS AND KELLOGG SPEC.
2/8/2007	MIR	MIRANT CORP	4N	SPEAR, LEEDS AND KELLOGG SPEC.
2/8/2007	MIR WSA	MIRANT CORP WS A	4N	SPEAR, LEEDS AND KELLOGG SPEC.
2/8/2007	MIR WSB	MIRANT CORP WS B	4N	SPEAR, LEEDS AND KELLOGG SPEC.
2/8/2007	SCA	SECURITY CAPITAL ASSURANCE LTD	4N	SPEAR, LEEDS AND KELLOGG SPEC.
2/8/2007	TXU	TXU CORP HOLDING	4N	SPEAR, LEEDS AND KELLOGG SPEC.
2/8/2007	ABD	ACCO BRANDS CORP	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	AVP	AVON PRODUCTS	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	CHD	CHURCH & DWIGHT	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	CHH	CHOICE HOTELS INTERNATIONAL	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	HST	HOST HOTELS & RESORTS	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	KMB	KIMBERLY CLARK	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	MAR	MARRIOTT INTERNATIONAL	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	PBH	PRESTIGE BRANDS HOLDINGS	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	PG	PROCTER & GAMBLE	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	SAI	SAIC INC	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	SPC	SPECTRUM BRANDS	8C	BEAR WAGNER SPECIALISTS, LLC
2/8/2007	BNE	BOWNE & CO	1P	SIG SPECIALISTS, INC
2/8/2007	GKK	GRAMERCY CAPITAL CORP	1P	SIG SPECIALISTS, INC
2/8/2007	IHP	IHOP	1P	SIG SPECIALISTS, INC
2/8/2007	NMX	NYMEX HOLDINGS INC	1P	SIG SPECIALISTS, INC
2/8/2007	REV	REVLON	1P	SIG SPECIALISTS, INC
2/8/2007	SLG	SL GREEN REALTY	1P	SIG SPECIALISTS, INC
2/8/2007	SLG PRC	SL GREEN REALTY CORP	1P	SIG SPECIALISTS, INC
2/8/2007	SLG PRD	SL GREEN REALTY CORP	1P	SIG SPECIALISTS, INC
2/8/2007	SLR	SOLECTRON	1P	SIG SPECIALISTS, INC
2/8/2007	UNF	UNIFIRST	1P	SIG SPECIALISTS, INC
2/13/2007	AGM	FED AGRICUL MTG CLC NON VOTING	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	AGM A	FED AGRICULTURAL MTG CLA VOTING	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	DKS	DICKS SPORTING GOODS INC	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	GBM	GENERAL MOTORS CORPORATION	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	GM	GENERAL MOTORS	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	HS	HEALTHSPRING	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	IGT	INTERNATIONAL GAME TECHNOLOGY	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	SBR	SABINE ROYALTY TR UT BI	14B	BANC OF AMERICA SPECIALIST INC
2/13/2007	DES	SMALLCAP DIVIDEND	15F	BEAR HUNTER STRUCTURED PRODUCTS
2/13/2007	DON	MIDCAP DIVIDEND	15F	BEAR HUNTER STRUCTURED PRODUCTS
2/13/2007	IWC	I SHRS RUSSELL MICROCAP IDX FD	15F	BEAR HUNTER STRUCTURED PRODUCTS
2/13/2007	IWM	ISHARES RUSSELL 2000 INDEX FUND	15F	BEAR HUNTER STRUCTURED PRODUCTS

2/13/2007	JKG	ISHARES MORNINGSTAT MID CORE FD	15F	BEAR HUNTER STRUCTURED PRODUCTS
2/13/2007	JKH	ISHARES MORNINGSTAR MID GROWTH	15F	BEAR HUNTER STRUCTURED PRODUCTS
2/13/2007	JKI	ISHARES MORNINGSTAR MID VALUE FD	15F	BEAR HUNTER STRUCTURED PRODUCTS
2/13/2007	AVD	AMERICAN VANGUARD CORPORATION	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	CBM	CAMBREX	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	CCC	CALGON CARBON	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	CRH	CRH PUBLIC LIMITED CO	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	FOE	FERRO	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	GEF	GRIEF INC	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	GEF B	GRIEF INC CLASS B	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	KOP	KOPPERS	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	MIC	MACQUARIE INFRASTRUCTURE	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	MTX	MINERALS TECHNOLOGIES	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	NLC	NALCO HOLDING	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	PKG	PACKAGING OF AMERICA	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	RYN	RAYONIER	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	SCL	STEPAN	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	SCL PR	STEPAN 5 1/2% CV PFD	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	SPP	SAPPI	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	SSD	SIMPSON MANUFACTURING	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	SWM	SCHWEITZER-MAUDUIT INTERNATIONAL	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	XRM	XERIUM TECHNOLOGIES	7G	BEAR WAGNER SPECIALISTS, LLC
2/13/2007	ERF	ENERPLUS RESOURCAS	16G	KELLOGG SPECIALIST GROUP LLC
2/13/2007	GGL	GOODMAN GLOBAL INC	16G	KELLOGG SPECIALIST GROUP LLC
2/13/2007	PQ	PETROQUEST ENERGY INC WD	16G	KELLOGG SPECIALIST GROUP LLC
2/13/2007	SSW	SEASPAN CORP	16G	KELLOGG SPECIALIST GROUP LLC
2/13/2007	SWN	SOUTHWESTERN ENERGY	16G	KELLOGG SPECIALIST GROUP LLC
2/13/2007	AGR	AGERE SYSTEMS	5V	LABRANCHE AND CO.
2/13/2007	KDE	4KIDS ENTERTAINMENT	5V	LABRANCHE AND CO.
2/13/2007	MTZ	MASTEC	5V	LABRANCHE AND CO.
2/13/2007	NOK	NOKIA	5V	LABRANCHE AND CO.
2/13/2007	T	AT&T INC	5V	LABRANCHE AND CO.
2/13/2007	TU	TELUS CORP	5V	LABRANCHE AND CO.
2/13/2007	EWV	ISHARES MSCI MEXICO	17H	LABRANCHE STRUCTURED PRODUCTS
2/13/2007	FXI	ISHARES FTSE/XINHUA CHINA 25	17H	LABRANCHE STRUCTURED PRODUCTS
2/13/2007	FXM	MEXICAN PESO TRUST	17H	LABRANCHE STRUCTURED PRODUCTS
2/13/2007	CIU	ISHARES TRUST LEHMAN INTR BOND	15AB	SIG INDEX SPECIALISTS, LLC
2/13/2007	DBN	BASIC MATERIALS SECTOR FUND	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	DJP	IPATH DOW JONES-AIG ETN	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	DPC	CONSUMER CYCLICAL SECTOR FUND	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	FEU	DJ STOXX 50 ETF STREET TRAC	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	FEZ	DJ EURO STOXX 50 ETF STREET TRAC	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	GVI	ISHARES TRUST LEHMAN INT GOV	15AB	SIG INDEX SPECIALISTS, LLC
2/13/2007	IAI	I SHRS DOW JONES US BROKER DEALE	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	INP	BARCLAYS BANK PLC	15AB	SIG INDEX SPECIALISTS, LLC
2/13/2007	IOO	I SHARES TR S&P GBL 100 IDX FD	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	ITB	I SHRS DOW JONES US HOME CON STR	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	IWB	ISHARES RUSSELL 1000	15AB	SIG INDEX SPECIALISTS, LLC
2/13/2007	IWD	ISHARES RUSSELL 2000 INDEX FUND	15A	SIG INDEX SPECIALISTS, LLC
2/13/2007	IWF	ISHARES RUSSELL 1000 GROWTH	15AB	SIG INDEX SPECIALISTS, LLC
2/13/2007	ABC	AMERISOURCEBERGEN	1N	SIG SPECIALISTS, INC
2/13/2007	BDE	BOIS D'ARC ENERGY	1N	SIG SPECIALISTS, INC
2/13/2007	CGI	COMMERCE GROUP	1N	SIG SPECIALISTS, INC
2/13/2007	CRK	COMSTOCK RESOURCES	1N	SIG SPECIALISTS, INC
2/13/2007	DOM	DOMINION RES BLACK WARRIOR TR	1N	SIG SPECIALISTS, INC

2/13/2007	GFF	GRIFFON	1N	SIG SPECIALISTS, INC
2/13/2007	KSP	K-SEA TRANSPORTATION PARTNERS LP	1N	SIG SPECIALISTS, INC
2/13/2007	IVE	ISHARES S&P 500 VAL INDEX	15L	SLK INDEX SPECIALIST LLC
2/13/2007	IVV	ISHARES S&P 500	15L	SLK INDEX SPECIALIST LLC
2/13/2007	IVW	ISHARES S&P 500 GROWTH IND	15L	SLK INDEX SPECIALIST LLC
2/13/2007	IYE	ISHARES DOW JONES U.S. ENERGY	15L	SLK INDEX SPECIALIST LLC
2/13/2007	IYR	SHARES DOW JONES U.S. REAL ESTAT	15L	SLK INDEX SPECIALIST LLC
2/13/2007	ACP	AMERICAN REAL ESTATE PARTNERS	4T	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/13/2007	BAC	BANK OF AMERICA	4U	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/13/2007	BTU	PEABODY ENERGY	4T	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/13/2007	HLX	HELIX ENERGY SOLUTIONS	4T	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/13/2007	LVS	LAS VEGAS SANDS CORP	4T	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/13/2007	SE	SPECTRA ENERGY CORP WI	4T	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/13/2007	WLP	WELLPOINT INC	4U	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/13/2007	CAG	CONAGRA FOODS	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	CCK	CROWN HOLDINGS INC	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	CYH	COMMUNITY HEALTH	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	KND	KINDRED HEALTHCARE	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	MR	MINDRAY MEDICAL INTERNATIONAL	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	SEE	SEALED AIR CV PFD STK SER A	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	SYK	STRYKER	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	WYE	WYETH	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/13/2007	WYE PR	WYETH 2CUM CV PR	10R	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	CLX	COLOROX	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	LEA	LEAR	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	LNC	LINCOLN NATIONAL	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	LNC PR	LINCOLN NATL 3 CV PFD A	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	LNC PRF	LINCOLN NATIONAL CAPITAL VI	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	LNC PRG	LINCOLN NATIONAL	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	MTG	MGIC INVESTMENT	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	NYT	NEW YORK TIMES	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	PBI	PITNEY BOWES	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	PBI PR	PITNEY BOWES 2.12 CONV PR	12H	BANC OF AMERICA SPECIALIST INC
2/15/2007	DLN	LARGECAP DIVIDEND	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	DTN	DIVIDEND TOP 100	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	IYF	ISHARES DOW JONES U.S.	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	IYG	SHARES DOW JONES U.S. FINANCIAL	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	NYC	ISHARES TR COMPOSITE IDX FD	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	RKH	REGIONAL BANK HOLDRS	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	RTH	RETAIL HOLDRS	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	SPY	STANDARD & POOR'S DEPOSITARY	15D	BEAR HUNTER STRUCTURED PRODUCTS
2/15/2007	C	CITIGROUP	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	CRZ	CRYSTAL RIVER CAPITAL	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	FRC	FIRST REPUBLIC BANK	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	GHL	GREENHILL & CO INC	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	MER	MERRILL LYNCH & CO	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	MMC	MARSH & MCLENNAN COS	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	RJF	RAYMOND JAMES FINANCIAL	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	TD	TORONTO DOMINION BANK	8E	BEAR WAGNER SPECIALISTS, LLC
2/15/2007	AAV	ADVANTAGE ENERGY INCOME FUND	16LM	KELLOGG SPECIALIST GROUP LLC
2/15/2007	BRW	BRISTOL WEST HOLDINGS	16M	KELLOGG SPECIALIST GROUP LLC
2/15/2007	HQL	H&Q LIFE SCIENCES INVESTORS	16M	KELLOGG SPECIALIST GROUP LLC
2/15/2007	IGA	ING GLOBAL ADVANTAGE	16M	KELLOGG SPECIALIST GROUP LLC
2/15/2007	IGD	ING GBL EQ DIVIDEND & PRE OPP FD	16M	KELLOGG SPECIALIST GROUP LLC
2/15/2007	IRR	ING RISK MANAGED NATURAL	16LM	KELLOGG SPECIALIST GROUP LLC

2/15/2007	JOF	JAPAN SMALLER CAPITALIZATION FD	16M	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	NOA	NORTH AMERICAN ENERGY PARTNERS	16M	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	PAC	GRUPO AEROPORTUARIO DEL PACIFICO	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	PWE	PENN WEST ENERGY TRUST	16M	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	RFO PRA	ROYCE FOCUS TRUST INC	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	RMT	ROYCE MICRO-CAP TRUST INC	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	RMT PRA	ROYCE MICRO-CAP TRUST INC	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	RNE	MORGAN STANLEY EASTERN EUROPE FD	16M	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	RVT	ROYCE VALUE TRUST	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	RVT PRB	ROYCE VALUE TRUST INC	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	SOR	SOURCE CAPITAL	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	SOR PR	SOURCE CAPITAL 2.40PFD	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	SXC	STANTEC INC	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	TOO	TEEKAY OFFSHORE PARTNERS L P	16LM	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	UCO	UNIVERSAL COMPRESSION HOLDING	16M	KELLOGG	SPECIALIST GROUP LLC
2/15/2007	AVY	AVERY INTERNATIONAL	9C	LABRANCHE AND CO.	
2/15/2007	BMY	BRISTOL MYERS SQUIBB	9C	LABRANCHE AND CO.	
2/15/2007	BMY PR	BRISTOL MYERS SQUIBB CV PFD	9C	LABRANCHE AND CO.	
2/15/2007	EL	ESTEE LAUDER COMPANIES	9C	LABRANCHE AND CO.	
2/15/2007	IQW	QUEBECOR WORLD	9C	LABRANCHE AND CO.	
2/15/2007	KCI	KINETIC CONCEPTS INC	9C	LABRANCHE AND CO.	
2/15/2007	LRW	LABOR READY	9C	LABRANCHE AND CO.	
2/15/2007	LYV	LIVE NATION INC	9C	LABRANCHE AND CO.	
2/15/2007	MDT	MEDTRONIC	9C	LABRANCHE AND CO.	
2/15/2007	MO	ALTRIA GROUP	9C	LABRANCHE AND CO.	
2/15/2007	OO	OAKLEY	9C	LABRANCHE AND CO.	
2/15/2007	PBG	PEPSI BOTTLING GROUP	9C	LABRANCHE AND CO.	
2/15/2007	PRD	PRIMUS GUARANTY LTD	9BC	LABRANCHE AND CO.	
2/15/2007	TAP	MOLSON COORS BREWING CO	9C	LABRANCHE AND CO.	
2/15/2007	TAP A	MOLSON COORS BREWING CO	9C	LABRANCHE AND CO.	
2/15/2007	TGX	THERAGENICS	9C	LABRANCHE AND CO.	
2/15/2007	TRF	TEMPLETON RUSSIA & EAST EUROPEAN	9C	LABRANCHE AND CO.	
2/15/2007	UHS	UNIVERSAL HEALTH SERVICES	9C	LABRANCHE AND CO.	
2/15/2007	CFT	ISHARES LEHMAN CREDIT BOND FUND	17K	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	CSJ	ISHARES TRUST LEHMAN CREDIT BOND	17K	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EFG	ISHARES TRUST	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EFV	ISHARES TRUST	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EPP	ISHARES MSCI PACIFIC EX JAPAN	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EWA	ISHARES MSCI AUSTRALIA	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EWG	ISHARES MSCI GERMANY	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EWI	ISHARES MSCI ITALY	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EWQ	ISHARES MSCI FRANCE	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EXI	ISHARES S&P GLOBAL INDUSTRIES	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	EZA	ISHARES MSCI SOUTH AFRICA	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	GBF	ISHARES TRUST LEHMAN GOV BOND	17K	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	IEV	ISHARES S&P EUROPE 350	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	JXI	ISHARES S&P GLOBAL UTILITIES	17JK	LABRANCHE STRUCTURED PRODUCTS	
2/15/2007	BDH	BROADBAND HOLDRS	15V	SIG INDEX SPECIALISTS, LLC	
2/15/2007	DEB	EUROPE TOTAL DIVIDEND	15AB	SIG INDEX SPECIALISTS, LLC	
2/15/2007	DEW	EUROPE HIGH YIELDING EQUITY	15AB	SIG INDEX SPECIALISTS, LLC	
2/15/2007	EKH	EUROPE 2001 HOLDRS	15V	SIG INDEX SPECIALISTS, LLC	
2/15/2007	IAH	INTERNET ARCHITECTURE HOLDRS	15V	SIG INDEX SPECIALISTS, LLC	
2/15/2007	KXI	ISHARES S&P GLOBAL CONSUMER	15V	SIG INDEX SPECIALISTS, LLC	
2/15/2007	LQD	I SHARES IBOX \$ INV CRP BND FD	15V	SIG INDEX SPECIALISTS, LLC	
2/15/2007	SWH	SOFTWARE HOLDRS	15V	SIG INDEX SPECIALISTS, LLC	

2/15/2007	TTH	TELECOM HOLDRS	15V	SIG INDEX SPECIALISTS, LLC
2/15/2007	XLF	FINANCIAL SELECT SECTOR SPDR	15V	SIG INDEX SPECIALISTS, LLC
2/15/2007	XLU	UTILITIES SELECT SECTOR SPDR	15V	SIG INDEX SPECIALISTS, LLC
2/15/2007	XLV	SELECT SECTOR SPDR FD HEALTHCARE	15V	SIG INDEX SPECIALISTS, LLC
2/15/2007	XLY	SEL SECTOR SPDR FD CYCLICAL TRAN	15V	SIG INDEX SPECIALISTS, LLC
2/15/2007	B	BARNES GROUP	1I	SIG SPECIALISTS, INC
2/15/2007	GDI	GARDNER DENVER	1I	SIG SPECIALISTS, INC
2/15/2007	LLL	L3 COMMUNICATIONS HOLDINGS	1I	SIG SPECIALISTS, INC
2/15/2007	MKC	MCCORMICK & COMPANY	1I	SIG SPECIALISTS, INC
2/15/2007	MKC V	MCCORMICK & COMPANY, INC	1I	SIG SPECIALISTS, INC
2/15/2007	TMO	THERMO FISHER SCIENTIFIC INC	1I	SIG SPECIALISTS, INC
2/15/2007	TRI	TRIAD HOSPITALS	1I	SIG SPECIALISTS, INC
2/15/2007	AZO	AUTOZONE NEVADA	4H	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/15/2007	BBY	BEST BUY	4H	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/15/2007	BJS	BJ SERVICES	4H	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/15/2007	FDX	FEDEX	4H	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/15/2007	OSK	OSHKOSH TRUCK CORPORATION	4I	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/15/2007	ZQK	QUICKSILVER	4I	SPEAR, LEEDS AND KELLOGG SPEC. LLC
2/15/2007	APA	APACHE	11F	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	CAM	CAMERON INTERNATIONAL	11F	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	COH	COACH	11E	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	DRQ	DRIL-QUIP	11F	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	KSS	KOHL'S	11E	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	NFX	NEWFIELD EXPLORATION	11F	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	TIF	TIFFANY & CO	11E	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	UA	UNDER ARMOUR INC	11E	VAN DER MOOLEN SPECIALISTS USA LLC
2/15/2007	HHD	HEALTHSHARES DIAGNOSTICS	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	HHJ	HEALTHSHARES EMERGING CANCER	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	MKH	MARKET 2000+ HOLDRS	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	PPH	PHAMACEUTICAL HOLDRS	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	XLB	SELECT SECTOR SPDR FUND	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	XLE	ENERGYSPDR	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	XLI	INDUSTRIAL SELECT SECTOR SPDR	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	XLK	TECHNOLOGY SPDR	15LM	SLK INDEX SPECIALIST LLC
2/15/2007	XLP	CONSUMER STAPLES SDPR	15LM	SLK INDEX SPECIALIST LLC

