

Hybrid Market Pilot Securities

Total # of Approved Securities 366*

Symbol	Corporate Name	Loc.	Specialist
A	AGILENT TECHNOLOGIES	6A	LABRANCHE AND CO.
AA	ALCOA	20L	BEAR WAGNER SPECIALISTS, LLC
ACI	ARCH COAL	10K	VAN DER MOOLEN SPECIALISTS USA LLC
ACS	AFFILIATED COMPUTER SERVICES	18B	BEAR WAGNER SPECIALISTS, LLC
AEL	AMERICAN EQUITY INVESTMENT LIFE HLDG	13K	BANC OF AMERICA SPECIALIST INC
AGR	AGERE SYSTEMS	5T	LABRANCHE AND CO.
ALJ	ALON USA ENERGY INC	18I	BEAR WAGNER SPECIALISTS, LLC
ALU	ALCATEL - LUCENT	6D	LABRANCHE AND CO.
ANR	ALPHA NATURAL RESOURCES	18I	BEAR WAGNER SPECIALISTS, LLC
APH	AMPHENOL	3F	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ARB	ARBITRON	18B	BEAR WAGNER SPECIALISTS, LLC
ATK	ALLIANT TECH SYSTEMS	6D	LABRANCHE AND CO.
AXP	AMERICAN EXPRESS	6A	LABRANCHE AND CO.
AYR	AIRCASTLE LIMITED	1K	KELLOGG SPECIALIST GROUP LLC
BBK	BLACKROCK MUNICIPAL BOND TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BDY	BRADLEY PHARMACEUTICALS INC	18B	BEAR WAGNER SPECIALISTS, LLC
BFC	BLACKROCK CA INSURED MUNI 2008 TERM TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BHD	BLACKROCK STRATEGIC BOND TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BHI	BAKER HUGHES	4N	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BHY	BLACKROCK HIGH YIELD TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BIE	BLACKROCK FLORIDA MUNICIPAL BOND	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BJZ	BLACKROCK CA MUNI 2018 TERM TR	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BKN	BLACKROCK INVESTMENT QUALITY MUNI TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BLH	BLACKROCK NY MUNI 2018 TERM TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BLN	BLACKROCK NY INSURED MUNI 2008 TERM TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BMT	BLACKROCK INSURED MUNI TERM TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BMY	BRISTOL MYERS SQUIBB	8E	LABRANCHE AND CO.
BMY PR	BRISTOL MYERS SQUIBB CV PFD	8E	LABRANCHE AND CO.
BN	BANTA CORP	9L	LABRANCHE AND CO.
BNE	BOWNE & CO	8O	SIG SPECIALISTS, INC
BPK	BLACKROCK MUNI 2018 TERM TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BQH	BLACKROCK NY MUNICIPAL BOND TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BSX	BOSTON SCIENTIFIC	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BYD	BOYD GAMING CORP	18I	BEAR WAGNER SPECIALISTS, LLC
BZA	BLACKROCK CALIFORNIA MUNICIPAL BOND TRUST	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CAR	AVIS BUDGET GROUP INC	11E	VAN DER MOOLEN SPECIALISTS USA LLC
CAT	CATERPILLAR	12G	BANC OF AMERICA SPECIALIST INC
CBS	CBS CORP	6U	LABRANCHE AND CO.
CBS A	CBS CORP CL A	6U	LABRANCHE AND CO.
CE	CELANESE CORP	19N	BEAR WAGNER SPECIALISTS, LLC
CE PR	CELANESE CORP PFD	19N	BEAR WAGNER SPECIALISTS, LLC
CFC	COUNTRYWIDE FINANCIAL CORP	18I	BEAR WAGNER SPECIALISTS, LLC
CHK	CHESAPEAKE ENERGY CORP	9L	LABRANCHE AND CO.
* CHK PRB	CHESAPEAKE ENERGY CORP PFD 5.00%	9L	LABRANCHE AND CO.
CHK PRD	CHESAPEAKE ENERGY CORP 4.50% CNV PFD	9L	LABRANCHE AND CO.
CHK PRE	CHESAPEAKE ENERGY CORP PFD E	9L	LABRANCHE AND CO.
CHS	CHICO'S FAS INC	10K	VAN DER MOOLEN SPECIALISTS USA LLC
CLB	CORE LABORATORIES	20B	BEAR WAGNER SPECIALISTS, LLC
CNE	CANETIC RESOURCES TRUST	7F	LABRANCHE AND CO.
COO	COOPER COMPANIES	6A	LABRANCHE AND CO.
CRZ	CRYSTAL RIVER CAPITAL INC	18B	BEAR WAGNER SPECIALISTS, LLC
CSC	COMPUTER SCIENCES	5T	LABRANCHE AND CO.
CVX	CHEVRON CORPORATION	7F	LABRANCHE AND CO.
DUK	DUKE ENERGY CORP	11E	VAN DER MOOLEN SPECIALISTS USA LLC
DVN	DEVON ENERGY	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EDR	EDUCATION REALTY TRUST INC	5J	KELLOGG SPECIALIST GROUP LLC
EFR	EATON VANCE SENIOR FLOATING RATE	1K	KELLOGG SPECIALIST GROUP LLC
EFT	EATON VANCE FLOATING-RATE INC TR	1K	KELLOGG SPECIALIST GROUP LLC
EMC	EMC CORP	20B	BEAR WAGNER SPECIALISTS, LLC
EOP	EQUITY OFFICE PROPERTIES TRUST	1GH	KELLOGG SPECIALIST GROUP LLC
EOP PRB	EQUITY OFFICE PROP TR 5.25% PFD B	1KL	KELLOGG SPECIALIST GROUP LLC
EPD	ENTERPRISE PRODUCTS PARTNERS	5J	KELLOGG SPECIALIST GROUP LLC
EPE	ENTERPRISE GP HOLDINGS L.P.	5J	KELLOGG SPECIALIST GROUP LLC
ETE	ENERGY TRANSFER EQUITY	5J	KELLOGG SPECIALIST GROUP LLC
ETG	EATON VANCE TAX ADVANTAGED GLOBAL DIVIDEND	1K	KELLOGG SPECIALIST GROUP LLC
ETO	EATON VANCE TAX-ADVANTAGED GLOBAL DIVIDEND OPP	1K	KELLOGG SPECIALIST GROUP LLC
ETP	ENERGY TRANSFER PARTNERS L.P.	5J	KELLOGG SPECIALIST GROUP LLC

EVT	EATON VANCE TAX-ADVANTAGED DIVIDEND INCOME FUN	1K	KELLOGG SPECIALIST GROUP LLC
F	FORD MOTOR	8E	LABRANCHE AND CO.
F PRA	FORD MOTOR COMPANY 7.50% NTS	8E	LABRANCHE AND CO.
F PRS	FORD MOTOR COMPANY CAPITAL TRUST II	8E	LABRANCHE AND CO.
FDO	FAMILY DOLLAR STORES	10K	VAN DER MOOLEN SPECIALISTS USA LLC
FIC	FAIR ISAAC INC	6U	LABRANCHE AND CO.
FL	FOOT LOCKER INC	3F	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FLC	FLAHERTY & CRUMRINE/CLAYMORE TOTAL RETURN FUNI	1K	KELLOGG SPECIALIST GROUP LLC
FNM	FANNIE MAE	3F	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FRE	FREDDIE MAC	9L	LABRANCHE AND CO.
FRZ	REDDY ICE HOLDINGS INC	5J	KELLOGG SPECIALIST GROUP LLC
GDP	GOODRICH PETROLEUM CORP	19E	BEAR WAGNER SPECIALISTS, LLC
GG	GOLDCORP INC	7F	LABRANCHE AND CO.
GKK	GRAMERCY CAPITAL CORP	8O	SIG SPECIALISTS, INC
GLW	CORNING INC	19E	BEAR WAGNER SPECIALISTS, LLC
GNW	GENWORTH FINANCIAL INC	13K	BANC OF AMERICA SPECIALIST INC
GNW PRE	GENWORTH FINANCIAL INC EQTY UNIT	13K	BANC OF AMERICA SPECIALIST INC
GSL	GLOBAL SIGNAL INC	5J	KELLOGG SPECIALIST GROUP LLC
GYC	CORPORATE ASSET BACKED CORP	6D	LABRANCHE AND CO.
HAR	HARMAN INTERNATIONAL IND.	19N	BEAR WAGNER SPECIALISTS, LLC
HIS	BLACKROCK HIGH INCOME SHARES	1B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HNT	HEALTH NET INC	12G	BANC OF AMERICA SPECIALIST INC
IHP	IHOP CORP	8O	SIG SPECIALISTS, INC
IPX	INTERPOOL INC	19E	BEAR WAGNER SPECIALISTS, LLC
IR	INGERSOLL-RAND CO LTD	19N	BEAR WAGNER SPECIALISTS, LLC
ITT	ITT CORP	20B	BEAR WAGNER SPECIALISTS, LLC
JBL	JABIL CIRCUIT INC	20B	BEAR WAGNER SPECIALISTS, LLC
JEC	JACOBS ENGINEERING GROUP	5T	LABRANCHE AND CO.
JOE	ST JOE CO.	20B	BEAR WAGNER SPECIALISTS, LLC
JTX	JACKSON HEWITT TAX SERVICE INC	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KAR	ADESA INC	5J	KELLOGG SPECIALIST GROUP LLC
KEA	KEANE INC	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KYE	KAYNE ANDERSON ENERGY TOTAL RETURN FUND	5JK	KELLOGG SPECIALIST GROUP LLC
KYN	KAYNE ANDERSON MLP INVESTMENT CO	5JK	KELLOGG SPECIALIST GROUP LLC
LAB	LABRANCHE & CO	11M	VAN DER MOOLEN SPECIALISTS USA LLC
LPL	LG PHILIPS LCD CO LTD	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
**LU	LUCENT TECHNOLOGIES	6D	LABRANCHE AND CO.
LYV	LIVE NATION INC	6D	LABRANCHE AND CO.
MA	MASTERCARD	9L	LABRANCHE AND CO.
MAN	MANPOWER	6U	LABRANCHE AND CO.
MCD	MCDONALDS	14A	BANC OF AMERICA SPECIALIST INC
MER	MERRILL LYNCH & CO	18B	BEAR WAGNER SPECIALISTS, LLC
MGM	MGM MIRAGE	14A	BANC OF AMERICA SPECIALIST INC
MIC	MACQUARIE INFRASTRUCTURE COMPANY TRUST	18B	BEAR WAGNER SPECIALISTS, LLC
MIR	MIRANT CORP	4N	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MIR WSA	MIRANT CORP WARRANTS A	4N	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MIR WSB	MIRANT CORP WARRANTS B	4N	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MMR	MCMORAN EXPLORATION CO	19N	BEAR WAGNER SPECIALISTS, LLC
MOD	MODINE MANUFACTURING CO	19E	BEAR WAGNER SPECIALISTS, LLC
MT	MITTAL STEEL CO NV	10J	VAN DER MOOLEN SPECIALISTS USA LLC
NBR	NABORS INDUSTRIES	18I	BEAR WAGNER SPECIALISTS, LLC
NCT	NEWCASTLE INVESTMENT CORP	5J	KELLOGG SPECIALIST GROUP LLC
NE	NOBLE CORP (NEW) (CAYMAN)	7F	LABRANCHE AND CO.
NGG	NATIONAL GRID PLC	1K	KELLOGG SPECIALIST GROUP LLC
NLC	NALCO HOLDING	20L	BEAR WAGNER SPECIALISTS, LLC
NRF	NORTHSTAR REALTY FINANCE CORP	18I	BEAR WAGNER SPECIALISTS, LLC
NRF PRA	NORTHSTAR REALTY FINANCE PFD A	18I	BEAR WAGNER SPECIALISTS, LLC
NVL	NOVELIS INC	7F	LABRANCHE AND CO.
NVT	NAVTEQ CORP	20L	BEAR WAGNER SPECIALISTS, LLC
NWK	NETWORK EQUIPMENT TECHNOLOGIES	3F	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NWL	NEWELL RUBBERMAID	11G	VAN DER MOOLEN SPECIALISTS USA LLC
ODP	OFFICE DEPOT	9L	LABRANCHE AND CO.
OMC	OMNICOM GROUP	14A	BANC OF AMERICA SPECIALIST INC
OMG	OM GROUP	20L	BEAR WAGNER SPECIALISTS, LLC
OMI	OWENS & MINOR INC	20B	BEAR WAGNER SPECIALISTS, LLC
OPX	OPTEUM INC	12G	BANC OF AMERICA SPECIALIST INC
PFK	PRUDENTIAL FINANCIAL	12G	BANC OF AMERICA SPECIALIST INC
PG	PROCTER & GAMBLE	19N	BEAR WAGNER SPECIALISTS, LLC
POT	POTASH CORP OF SASKATCHEWAN	10K	VAN DER MOOLEN SPECIALISTS USA LLC
PRU	PRUDENTIAL FINANCIAL INC	12G	BANC OF AMERICA SPECIALIST INC
RDN	RADIAN GROUP	5T	LABRANCHE AND CO.

REV	REVLON	8N	SIG SPECIALISTS, INC
RHI	ROBERT HALF INTERNATIONAL	20L	BEAR WAGNER SPECIALISTS, LLC
ROG	ROGERS CORP	6D	LABRANCHE AND CO.
RPT	RAMCO-GERSHENSON PROPERTIES TRUST	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RPT PRC	RAMCO-GERSHENSON PROPERTIES TRUST PFD C	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RYL	RYLAND GROUP	5T	LABRANCHE AND CO.
SCA	SECURITY CAPITAL ASSURANCE LTD	4N	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SGR	SHAW GROUP	20L	BEAR WAGNER SPECIALISTS, LLC
SLG	SL GREEN REALTY	8O	SIG SPECIALISTS, INC
SLG PRC	SL GREEN REALTY CORP PFD C	8O	SIG SPECIALISTS, INC
SLG PRD	SL GREEN REALTY CORP PFD D	8O	SIG SPECIALISTS, INC
SLR	SOLECTRON	8O	SIG SPECIALISTS, INC
SNF	SPAIN FUND INC	5JK	KELLOGG SPECIALIST GROUP LLC
SRP	SIERRA PACIFIC RESOURCES	5T	LABRANCHE AND CO.
SWY	SAFEWAY	3F	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SYY	SYSCO CORP	13K	BANC OF AMERICA SPECIALIST INC
T	AT&T INC	6D	LABRANCHE AND CO.
TM	TOYOTA MOTOR	7F	LABRANCHE AND CO.
TPL	TEXAS PACIFIC LAND TRUST	6A	LABRANCHE AND CO.
TPX	TEMPUR-PEDIC INTERNATIONAL INC	10P	VAN DER MOOLEN SPECIALISTS USA LLC
TSG	SABRE HOLDINGS	3F	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TSM	TAIWAN SEMICONDUCTOR MANUFACTURING CO	8E	LABRANCHE AND CO.
TXU	TXU CORP	4N	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TYG	TORTOISE ENERGY INFRASTRUCTURE	5JK	KELLOGG SPECIALIST GROUP LLC
TYN	TORTOISE NORTH AMERICAN ENERGY	5JK	KELLOGG SPECIALIST GROUP LLC
TYY	TORTOISE ENERGY CAPITAL	5JK	KELLOGG SPECIALIST GROUP LLC
UNF	UNIFIRST	8O	SIG SPECIALISTS, INC
USS	U.S. SHIPPING PARTNERS L.P.	19E	BEAR WAGNER SPECIALISTS, LLC
VSH	VISHAY INTERTECHNOLOGY	18B	BEAR WAGNER SPECIALISTS, LLC
WAT	WATERS CORP	11E	VAN DER MOOLEN SPECIALISTS USA LLC
WEN	WENDYS INTERNATIONAL	11G	VAN DER MOOLEN SPECIALISTS USA LLC
WHI	W HOLDING COMPANY	1K	KELLOGG SPECIALIST GROUP LLC
WIN	WINDSTREAM CORP	19E	BEAR WAGNER SPECIALISTS, LLC
WMK	WEIS MARKETS INC	12G	BANC OF AMERICA SPECIALIST INC
WPS	WPS RESOURCES CORP	9L	LABRANCHE AND CO.
WSH	WILLIS GROUP HOLDINGS LMT	1K	KELLOGG SPECIALIST GROUP LLC
WWE	WORLD WRESTLING ENTERTAINMENT	2L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WYN	WYNDHAM WORLDWIDE CORP	6U	LABRANCHE AND CO.
XSS	UNITED STATES STEEL LLC	14A	BANC OF AMERICA SPECIALIST INC
YCC	YANKEE CANDLE	6U	LABRANCHE AND CO.

Additional Hybrid Market Pilot Securities

Symbol	Corporate Name	Loc.	Specialist
ADI	ANALOG DEVICES	8B	SIG SPECIALISTS
AMP	AMERIPRISE FINANCIAL INC WI	7D	LABRANCHE AND CO.
CKH	SEACOR HOLDINGS INC.	18P	BEAR WAGNER SPECIALISTS
CPO	CORN PRODUCTS INTERNATIONAL	5O	LABRANCHE AND CO.
DLB	DOLBY LABORATORIES	5S	LABRANCHE AND CO.
EQT	EQUITABLE RESOURCES	8K	LABRANCHE AND CO.
FAF	FIRST AMERICAN	8B	SIG SPECIALISTS
FFH	FAIRFAX FINANCIAL HOLDINGS LMT	7D	LABRANCHE AND CO.
FRP	FAIRPOINT COMMUNICATIONS INC	7D	LABRANCHE AND CO.
GGL	GOODMAN GLOBAL INC	1N	KELLOGG SPECIALIST GROUP LLC
GHS	GATEHOUSE MEDIA INC	5J	KELLOGG SPECIALIST GROUP LLC
GNI	GREAT NORTH IRON ORE CTFBIN	7D	LABRANCHE AND CO.
HAL	HALLIBURTON HOLDING COMPANY	8K	LABRANCHE AND CO.
KEM	KEMET	8B	SIG SPECIALISTS
LEH	LEHMAN BROTHERS HOLDINGS	5O	LABRANCHE AND CO.
LGF	LIONS GATE ENTERTAINMENT	5S	LABRANCHE AND CO.
MDT	MEDTRONIC	5S	LABRANCHE AND CO.
MS	MORGAN STANLEY	7D	LABRANCHE AND CO.
MS PRA	MORGAN STANLEY SERIES A	7D	LABRANCHE AND CO.
NOK	NOKIA	8K	LABRANCHE AND CO.
PQ	PETROQUEST ENERGY INC WD	1N	KELLOGG SPECIALIST GROUP LLC
RF	REGIONS FINANCIAL CORPORATION	3Q	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SAI	SAIC INC	19N	BEAR WAGNER SPECIALISTS
SLB	SCHLUMBERGER	5O	LABRANCHE AND CO.
SMA	SYMMETRY MEDICAL	5O	LABRANCHE AND CO.
SSW	SEASPARAN CORP	1N	KELLOGG SPECIALIST GROUP LLC
SWN	SOUTHWESTERN ENERGY	1N	KELLOGG SPECIALIST GROUP LLC
TYC	TYCO INTERNATIONAL	8K	LABRANCHE AND CO.

WGO	WINNEBAGO INDS	50	LABRANCHE AND CO.
WLL	WHITING PETROLEUM	5S	LABRANCHE AND CO.
XOM	EXXON MOBIL	5S	LABRANCHE AND CO.
ZMH	ZIMMER HOLDINGS INC	50	LABRANCHE AND CO.

ETFs and Holders			
Symbol	Corporate Name	Loc.	Specialist
BBH	BIOTECH HOLDRS	15H	BEAR HUNTER STRUCTURED PRODUCTS
BDH	BROADBAND HOLDRS TRUST	15V	SIG INDEX SPECIALISTS, LLC
BHH	B2B INTERNET HOLDRS	15D	BEAR HUNTER STRUCTURED PRODUCTS
DEB	WISDOMTREE EUROPE TOTAL DIVIDEND FUND	15AB	SIG INDEX SPECIALISTS, LLC
DES	WISDOMTREE SMALLCAP DIVIDEND	15H	BEAR HUNTER STRUCTURED PRODUCTS
DEW	WISDOMTREE EUROPE HIGH YIELDING EQUITY FUND	15AB	SIG INDEX SPECIALISTS, LLC
DFE	WISDOMTREE EUROPE SMALLCAP DIVIDEND FUND	15O	SLK INDEX SPECIALIST LLC
DFJ	WISDOMTREE JAPAN SMALLCAP FUND	15O	SLK INDEX SPECIALIST LLC
DHS	WISDOMTREE HIGH-YIELDING EQUITY FUND	15Q	SLK INDEX SPECIALIST LLC
DIA	DIAMONDS TR	15J	BEAR HUNTER STRUCTURED PRODUCTS
DIM	WISDOMTREE INTERNATIONAL MIDCAP DIVIDEND FUND	15O	SLK INDEX SPECIALIST LLC
DJP	IPATH DOW JONES-AIG ETN	15A	SIG INDEX SPECIALISTS, LLC
DLN	WISDOMTREE LARGE CAP DIVIDEND	15H	BEAR HUNTER STRUCTURED PRODUCTS
DLS	WISDOMTREE INTERNATIONAL SMALLCAP FUND	15O	SLK INDEX SPECIALIST LLC
DND	WISDOMTREE PACIFIC EX-JAPAN TOTAL DIVIDEND	15O	SLK INDEX SPECIALIST LLC
DNH	WISDOMTREE PACIFIC EX-JAPAN HIGH-YIELDING EQUITY	15O	SLK INDEX SPECIALIST LLC
DNL	WISDOMTREE JAPAN HIGH-YIELDING EQUITY FUND	15O	SLK INDEX SPECIALIST LLC
DOL	WISDOMTREE INTERNATIONAL LARGE CAP DIVIDEND FUN	15O	SLK INDEX SPECIALIST LLC
DON	WISDOMTREE MIDCAP DIVIDEND	15H	BEAR HUNTER STRUCTURED PRODUCTS
DOO	WISDOMTREE INTERNATIONAL DIVIDEND TOP 100 FUND	15O	SLK INDEX SPECIALIST LLC
DTD	WISDOMTREE TOTAL DIVIDEND FUND	15Q	SLK INDEX SPECIALIST LLC
DTH	WISDOMTREE DIEFA HIGH-YIELDING EQUITY FUND	15O	SLK INDEX SPECIALIST LLC
DTN	WISDOMTREE DIVIDEND TOP 100	15H	BEAR HUNTER STRUCTURED PRODUCTS
DVY	ISHARES DOW JONES SELECT DIVIDEND INDEX FUND	15R	SLK INDEX SPECIALIST LLC
DWM	WISDOMTREE DIEFA FUND	15O	SLK INDEX SPECIALIST LLC
DXJ	WISDOMTREE JAPAN TOTAL DIVIDEND FUND	15O	SLK INDEX SPECIALIST LLC
EFA	ISHARES MSCI EAFE INDEX	15N	SLK INDEX SPECIALIST LLC
EFG	ISHARES MSCI GROWTH INDEX FUND	15S	LABRANCHE STRUCTURED PRODUCTS
EFV	ISHARES MSCI VALUE INDEX FUND	15S	LABRANCHE STRUCTURED PRODUCTS
EKH	EUROPE 2001 HOLDRS	15V	SIG INDEX SPECIALISTS, LLC
EPP	ISHARES MSCI PACIFIC EX-JAPAN	15S	LABRANCHE STRUCTURED PRODUCTS
EWA	ISHARES MSCI AUSTRALIA	15S	LABRANCHE STRUCTURED PRODUCTS
EWD	ISHARES MSCI SWEDEN INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWG	ISHARES MSCI GERMANY	15S	LABRANCHE STRUCTURED PRODUCTS
EWH	ISHARES MSCI HONG KONG INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWI	ISHARES MSCI ITALY	15S	LABRANCHE STRUCTURED PRODUCTS
EWJ	ISHARES MSCI JAPAN INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
EWK	ISHARES MSCI BELGIUM INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWL	ISHARES MSCI SWITZERLAND INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWM	ISHARES MSCI MALAYSIA INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWN	ISHARES MSCI NETHERLANDS INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWP	ISHARES MSCI SPAIN INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWQ	ISHARES MSCI FRANCE	15S	LABRANCHE STRUCTURED PRODUCTS
EWS	ISHARES MSCI SINGAPORE INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWT	ISHARES MSCI TAIWAN INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWU	ISHARES MSCI UK INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWV	ISHARES MSCI MEXICO	15T	LABRANCHE STRUCTURED PRODUCTS
EWY	ISHARES MSCI SOUTH KOREA INDEX FUND	15N	SLK INDEX SPECIALIST LLC
EWZ	ISHARES MSCI BRAZIL INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
EXI	ISHARES S&P GLOBAL INDUSTRIALS SECTOR INDEX FUND	15S	LABRANCHE STRUCTURED PRODUCTS
EZA	ISHARES MSCI SOUTH AFRICA	15S	LABRANCHE STRUCTURED PRODUCTS
FEU	STREETTRACKS DOW JONES STOXX 50 FUND	15A	SIG INDEX SPECIALISTS, LLC
FEZ	STREET TRACKS DOW JONES EURO STOXX 50 FUND	15A	SIG INDEX SPECIALISTS, LLC
FXA	CURRENCYSHARES AUSTRALIAN DOLLAR TRUST	15DE	BEAR HUNTER STRUCTURED PRODUCTS
FXB	CURRENCYSHARES BRITISH POUND STERLING TRUST	15O	SLK INDEX SPECIALIST LLC
FXC	CURRENCYSHARES CANADIAN DOLLAR TRUST	15O	SLK INDEX SPECIALIST LLC
FXE	CURRENCYSHARES EURO TRUST	15DE	BEAR HUNTER STRUCTURED PRODUCTS
FXF	CURRENCYSHARES SWISS FRANC TRUST	15DE	BEAR HUNTER STRUCTURED PRODUCTS
FXI	ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	15T	LABRANCHE STRUCTURED PRODUCTS
FXM	CURRENCYSHARES MEXICAN PESO TRUST	15T	LABRANCHE STRUCTURED PRODUCTS
FXS	CURRENCYSHARES SWEDISH KRONA TRUST	15DE	BEAR HUNTER STRUCTURED PRODUCTS
GLD	STREETTRACKS GOLD TRUST	15G	BEAR HUNTER STRUCTURED PRODUCTS
GSG	ISHARES GSCI COMMODITY-INDEXED TRUST FUND	15Q	SLK INDEX SPECIALIST LLC
GSP	IPATH EXCHANGE TRADED NOTES GSCI TOTAL RETURN II	15T	LABRANCHE STRUCTURED PRODUCTS

HHH	INTERNET HOLDRS TR	15J	BEAR HUNTER STRUCTURED PRODUCTS
IAH	INTERNET ARCHITECTURE HOLDRS	15V	SIG INDEX SPECIALISTS, LLC
IAI	ISHARES DOW JONES BROKER DEALERS INDEX FUND	15A	SIG INDEX SPECIALISTS, LLC
IAK	ISHARES DOW JONES US INSURANCE INDEX FUND	15Q	SLK INDEX SPECIALIST LLC
IAT	ISHARES DOW JONES US REGIONAL BANKS INDEX FUND	15Q	SLK INDEX SPECIALIST LLC
ICF	ISHARES COHEN & STEERS REALTY	15P	SLK INDEX SPECIALIST LLC
IDU	ISHARES DOW JONES U.S. UTILITIES SECTOR INDEX FUND	15LM	SLK INDEX SPECIALIST LLC
IEF	ISHARES LEHMAN 7-10 YEAR TREASURY	15H	BEAR HUNTER STRUCTURED PRODUCTS
IEO	ISHARES DOW JONES US OIL & GAS EXPLORATION & PRO	15K	SLK INDEX SPECIALIST LLC
IEV	ISHARES S&P EUROPE 350 INDEX FUND	15S	LABRANCHE STRUCTURED PRODUCTS
IEZ	ISHARES DOW JONES US OIL EQUIPMENT & SERVICES INI	15U	LABRANCHE STRUCTURED PRODUCTS
IHE	ISHARES DOW JONES US PHARMACEUTICAL INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
IHF	ISHARES DOW JONES US HEALTH CARE PROVIDERS INDE	15U	LABRANCHE STRUCTURED PRODUCTS
IHI	I SHRS DOW JONES US MEDICAL DEVICES INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
IIH	INTERNET INFRASTRUCTURE HOLDRS	15H	BEAR HUNTER STRUCTURED PRODUCTS
IJH	ISHARES S&P MIDCAP 400 INDEX FUND	15M	SLK INDEX SPECIALIST LLC
IJJ	ISHARES S&P MIDCAP 400 VALUE INDEX FUND	15M	SLK INDEX SPECIALIST LLC
IJK	ISHARES S&P MIDCAP 400 GROWTH INDEX FUND	15M	SLK INDEX SPECIALIST LLC
IJR	ISHARES S&P SMALL CAP 600 INDEX FUND	15K	SLK INDEX SPECIALIST LLC
IJS	ISHARES S&P SMALLCAP 600 VALUE INDEX FUND	15K	SLK INDEX SPECIALIST LLC
IJT	ISHARES S&P SMALLCAP 600 GROWTH INDEX FUND	15K	SLK INDEX SPECIALIST LLC
IOO	ISHARES S&P GBL 100 INDEX FUND	15A	SIG INDEX SPECIALISTS, LLC
ISI	ISHARES S&P 1500 INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
ITA	ISHARES DOW JONES US AEROSPACE & DEFENSE INDEX	15Q	SLK INDEX SPECIALIST LLC
ITB	ISHARES DOW JONES US HOME CONSTRUCTION INDEX FI	15A	SIG INDEX SPECIALISTS, LLC
IVE	ISHARES S&P 500 VALUE INDEX FUND	15L	SLK INDEX SPECIALIST LLC
IVV	ISHARES S&P 500 INDEX FUND	15L	SLK INDEX SPECIALIST LLC
IWW	ISHARES S&P 500 GROWTH INDEX FUND	15L	SLK INDEX SPECIALIST LLC
IWB	ISHARES RUSSELL 1000	15AB	SIG INDEX SPECIALISTS, LLC
IWC	ISHARES RUSSELL MICROCAP INDEX FD	15C	BEAR HUNTER STRUCTURED PRODUCTS
IWD	ISHARES RUSSELL 1000 VALUE	15A	SIG INDEX SPECIALISTS, LLC
IWF	ISHARES RUSSELL 1000 GROWTH	15AB	SIG INDEX SPECIALISTS, LLC
IWM	ISHARES RUSSELL 2000 INDEX	15D	BEAR HUNTER STRUCTURED PRODUCTS
IWN	ISHARES RUSSELL 2000 VALUE	15M	SLK INDEX SPECIALIST LLC
IWO	ISHARES RUSSELL 2000 GROWTH	15M	SLK INDEX SPECIALIST LLC
IYC	ISHARES DOW JONES U.S. CONSUMER SVCS SECTOR INC	15LM	SLK INDEX SPECIALIST LLC
IYE	ISHARES DOW JONES U.S. ENERGY SECTOR FUND	15L	SLK INDEX SPECIALIST LLC
IYF	ISHARES DOW JONES U.S. FINANCIAL SECTOR INDEX FUM	15G	BEAR HUNTER STRUCTURED PRODUCTS
IYG	SHARES DOW JONES U.S. FINANCIAL SERVICES INDEX FU	15D	BEAR HUNTER STRUCTURED PRODUCTS
IYH	ISHARES DOW JONES U.S. HEALTHCARE SECTOR INDEX F	15K	SLK INDEX SPECIALIST LLC
IYJ	ISHARES DOW JONES U.S. INDUSTRIAL SECTOR INDEX FL	15LM	SLK INDEX SPECIALIST LLC
IYK	ISHARES DOW JONES U.S. CONSUMER GOODS SECTOR II	15LM	SLK INDEX SPECIALIST LLC
IYM	ISHARES DOW JONES U.S. BASIC MATERIALS SECTOR INC	15LM	SLK INDEX SPECIALIST LLC
IYR	SHARES DOW JONES U.S. REAL ESTATE INDEX FUND	15L	SLK INDEX SPECIALIST LLC
IYT	ISHARES DOW JONES TRANSPORTATION AVERAGE INDE)	15U	LABRANCHE STRUCTURED PRODUCTS
IYW	ISHARES DOW JONES U.S. TECHNOLOGY SECTOR INDEX	15D	BEAR HUNTER STRUCTURED PRODUCTS
IYY	ISHARES DOW JONES U.S. TOTAL MARKET INDEX FUND	15M	SLK INDEX SPECIALIST LLC
IYZ	ISHARES DOW JONES U.S. TELECOMMUNICATIONS SECTO	15LM	SLK INDEX SPECIALIST LLC
JKD	ISHARES MORNINGSTAR LARGE CORE INDEX FUND	15R	SLK INDEX SPECIALIST LLC
JKE	ISHARES MORNINGSTAR LARGE GROWTH INDEX FUND	15R	SLK INDEX SPECIALIST LLC
JKF	ISHARES MORNINGSTAR LARGE VALUE INDEX FUND	15R	SLK INDEX SPECIALIST LLC
JKG	ISHARES MORNINGSTAR MID CORE INDEX FUND	15C	BEAR HUNTER STRUCTURED PRODUCTS
JKH	ISHARES MORNINGSTAR MID GROWTH INDEX FUND	15C	BEAR HUNTER STRUCTURED PRODUCTS
JKI	ISHARES MORNINGSTAR MID VALUE INDEX FUND	15C	BEAR HUNTER STRUCTURED PRODUCTS
JKJ	ISHARES MORNINGSTAR SMALL CORE INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
JKK	ISHARES MORNINGSTAR SMALL GROWTH INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
JKL	ISHARES MORNINGSTAR SMALL VALUE INDEX FUND	15U	LABRANCHE STRUCTURED PRODUCTS
JXI	ISHARES S&P GLOBAL UTILITIES SECTOR INDEX FUND	15S	LABRANCHE STRUCTURED PRODUCTS
KLD	I SHRS TR KLD SELECT SOCIAL INDEX FUND	15P	SLK INDEX SPECIALIST LLC
KXI	ISHARES S&P GLOBAL CONSUMER STAPLES SECTOR IND	15V	SIG INDEX SPECIALISTS, LLC
LQD	ISHARES GS \$ INVESTOP CRP BOND FUND	15V	SIG INDEX SPECIALISTS, LLC
MDY	MIDCAP SPDR TR	15M	SLK INDEX SPECIALIST LLC
MKH	MARKET 2000+ HOLDRS	15P	SLK INDEX SPECIALIST LLC
MXI	ISHARES S&P GLOBAL MATERIALS SECTOR INDEX FUND	15N	SLK INDEX SPECIALIST LLC
NY	ISHARES NYSE 100 INDEX FUND	15P	SLK INDEX SPECIALIST LLC
NYC	ISHARES NYSE COMPOSITE INDEX FUND	15C	BEAR HUNTER STRUCTURED PRODUCTS
OIH	OIL SERVICE HOLDERS	15Q	SLK INDEX SPECIALIST LLC
OIL	IPATH ETN GSCI CRUDE OIL TOTAL RETURN INDEX	15Q	SLK INDEX SPECIALIST LLC
PPH	PHAMACEUTICAL HOLDRS	15P	SLK INDEX SPECIALIST LLC
PRF	POWERSHARES FTSE RAFI US 1000	15U	LABRANCHE STRUCTURED PRODUCTS
RKH	REGIONAL BANK HOLDRS	15J	BEAR HUNTER STRUCTURED PRODUCTS

RTH	RETAIL HOLDRS	15J	BEAR HUNTER STRUCTURED PRODUCTS
RXI	ISHARES S&P GLOBAL CONSUMER DISCRETIONARY SECT	15N	SLK INDEX SPECIALIST LLC
SHY	ISHARES LEHMAN 1- 3 YEAR TREASURY BD	15H	BEAR HUNTER STRUCTURED PRODUCTS
SMH	SEMICONDUCTOR HOLDRS	15J	BEAR HUNTER STRUCTURED PRODUCTS
SPY	SPDR TR	15D	BEAR HUNTER STRUCTURED PRODUCTS
SWH	SOFTWARE HOLDRS	15V	SIG INDEX SPECIALISTS, LLC
TIP	ISHARES LEHMAN TIPS BOND FUND	15H	BEAR HUNTER STRUCTURED PRODUCTS
TLT	I SHRS LEH 20+ YEAR TREASURY BD	15H	BEAR HUNTER STRUCTURED PRODUCTS
TTH	TELECOM HOLDRS	15V	SIG INDEX SPECIALISTS, LLC
UTH	UTILITIES HOLDRS	15J	BEAR HUNTER STRUCTURED PRODUCTS
VTI	VANGUARD TOTAL STOCK MARKET	15A	SIG INDEX SPECIALISTS, LLC
WMH	WIRELESS HOLDRS	15J	BEAR HUNTER STRUCTURED PRODUCTS
XLB	MATERIALS SELECT SECTOR SPDR	15P	SLK INDEX SPECIALIST LLC
XLE	ENERGY SELECT SECTOR SPDR	15R	SLK INDEX SPECIALIST LLC
XLF	FINANCIAL SELECT SECTOR SPDR	15V	SIG INDEX SPECIALISTS, LLC
XLI	INDUSTRIAL SELECT SECTOR SPDR	15P	SLK INDEX SPECIALIST LLC
XLK	TECHNOLOGY SELECT SECTOR SPDR	15P	SLK INDEX SPECIALIST LLC
XLP	CONSUMER STAPLES SELECT SECTOR SDPR	15P	SLK INDEX SPECIALIST LLC
XLU	UTILITIES SELECT SECTOR SPDR	15V	SIG INDEX SPECIALISTS, LLC
XLV	SELECT SECTOR SPDR FD HEALTHCARE	15V	SIG INDEX SPECIALISTS, LLC
XLY	SELECT SECTOR SPDR FD CONSUMER DISTRETIONARY	15V	SIG INDEX SPECIALISTS, LLC

* The total # of approved securities has been changed to 365 due to symbol CHK_PRB being folded into CHK as of 11/20.

** Effective 12/1 LU (Lucent) will trade under symbol ALU (Alucatel-Lucent).