

Clearing Firm Agreement

The NYSE member or member organization identified below as "Introducing Firm" has permission to give up the name of the undersigned clearing firm, and the NSCC clearing number set forth below, for all orders entered into the New York Stock Exchange Anonymous Super DOT system by the Introducing Firm.

The undersigned clearing firm understands that the New York Stock Exchange will issue a unique entering firm mnemonic for these orders, and that we will be responsible as the clearing agent for orders entered with this mnemonic.

The undersigned clearing firm further understands that it will receive all after-hours reports related to the orders with that unique mnemonic.

Name of Introducing Firm:

Name of Clearing Firm: _____
NSCC Clearing Number of Clearing Firm: _____

Attention: _____

Address: _____

e-mail: _____

Phone: _____

Authorized Signature of Clearing Firm:

Name: _____

Title: _____

Date: _____