



2009 Chief Regulatory Officers' Conference

Please join us for this important conference!

DATES/TIMES

Afternoon of Wednesday, October 14, 2009, through evening of Thursday, October 15, 2009

LOCATION

New York Stock Exchange
11 Wall Street, New York, NY
USA

RSVP

Please [click here](#) to register

Please [click here](#) for up-to-date conference information

NYSE Regulation and FINRA invite you to participate in the 5th Annual Chief Regulatory Officers' Conference at the New York Stock Exchange in the financial district of New York City.

This annual conference affords an opportunity for market regulators from around the world to come together to share their experiences and exchange ideas regarding the challenges of today and tomorrow, and how best to confront them. Participants include not only those involved in market supervision, but also financial intermediaries and their advisors, policymakers and thought leaders, all of whom benefit from a vibrant dialogue and exchange of ideas. Fragmentation, dark pools, direct market access, algorithmic trading, co-location - these are the kind of structural issues that are currently front and center, and will provide grist for a fruitful interchange among conference participants.

Event details:

- **Wednesday, October 14** – The conference opens at 1 pm for registration. Sessions will run from 2:00 pm through 5:15 pm, followed by a reception hosted by NYSE Regulation on the New York Stock Exchange Trading Floor.
- **Thursday, October 15** – Sessions will run from 7:30 am through 4:30 pm, followed by dinner hosted by FINRA.
- **Friday, October 16** – If your organization is a member of the World Federation of Exchanges and you plan to attend the Regulation Committee meeting on Friday morning at the New York Stock Exchange, please respond to jpurushotham@nyx.com. Additional information will be forwarded by the World Federation of Exchanges to attendees under separate cover.

Please [click here](#) for agenda

