

Trader Update

Date: August 6, 2009

Audience: NYSE Arca Equities Customers

Subject: Rollout of Universal Trading Platform on NYSE Arca

Highlights

- **Universal Trading Platform to rollout on NYSE Arca equities in mid-October**
 - **The rollout will be phased in by ticker symbol groups**
 - **ArcaDirect (AD) customers will need to upgrade to 3.2 or 4.0 to support the changes**
 - **ArcaBook will be upgraded to a new version**
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Summary

NYSE Euronext is pleased to announce that we will begin the U.S. rollout of the Universal Trading Platform (UTP) in mid-October beginning with NYSE Arca equities. The NYSE Arca equities rollout will be done in phases by ticker symbol. A rollout schedule will be published with exact dates and greater details as we finalize the first phase of the plan. The rollout to other U.S. platforms: NYSE cash equities, NYSE Arca and NYSE Amex options, derivatives, ArcaEdge and fixed income will follow NYSE Arca equities.

Background

Once the rollout has been completed across all NYSE Euronext platforms, the Universal Trading Platform will provide customers with one single gateway to all NYSE Euronext markets and define a new industry standard for trading systems. Benefits include:

- A powerful trading platform based on state-of-the-art technology: scalability, high capacity and ultra low latency
- The ability to maintain innovative and competitive market models based on industry best practices
- Standard gateways to all NYSE Euronext trading services facilitating cross-membership and business growth
- A common framework to support upcoming initiatives by NYSE Euronext

Connectivity Changes

In order to successfully deliver the new system, customers will need to make changes to their current connections. ArcaDirect customers will need to upgrade to AD version 3.2 or 4.0 as versions 2.0 and 3.1 will be retired sometime before the rollout. The ArcaBook API will be upgraded to a new version as well. Detailed specifications and coding for ArcaDirect and ArcaBook will be provided in separate notices.

The UTP order id for NYSE Arca equities will expand from 32 bit to 64 bit. As a result of this change the following ArcaDirect, FIX, and FIX drop copy fields will be 64 bit:

Tag 37 (Order Id)
Tag17 (ExecId)
Tag19 (ExecRefId)
9731 (ARCA ID) (this field is a custom session setting)

A test platform will be available for testing in the coming weeks. Please call the connectivity desk at (888) 689-7739 or email connectivity@nyx.com with questions about these changes.

Trader Update

Contact Info:

If you have further questions contact your Relationship Manager at RelationshipMgt@nyx.com or Paul Adcock at padcock@nyx.com or (312) 442 7046.

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