



Reg. NMS Industry Test – June 30, 2007
Request for Registration by Monday, June 25, 2007

In anticipation of the July 9th Reg. NMS Pilot Phase, the NYSE looks forward to supporting our firms by participating in an industry-wide test on Saturday, June 30. The purpose of the test is for you to ensure changes you have made to your systems to comply with Reg. NMS.

The NYSE will bring its systems up on Saturday, June 30, at 9:00 am, Eastern Time (ET), with a normal 9:30 am, ET, open and a close at 12:00 p.m., ET with the test concluding at 12:30 pm ET.

The NYSE selected the following securities so firms may sustain concentrated liquidity for a more efficient test:

BA	C
CE	CL
DOW	ETH
GNW	HEW
KBR	SYU
TXN	UIS

We will use the last sales and open order files for the 12 securities from the close of business on Friday, June 29, 2007. Please note that you will only receive executions, UR Outs, etc., on these 12 securities. If you enter orders in symbols other than the 12 above, the orders will not reject; you will not, however, receive an acknowledgement of the order.

CTS/CQS will be open during the test. NYSE will transmit market data information (quotes and trades) to firms with SFTI connections for market data information. If you do not connect directly to the Exchange for this information, please check with your vendor on availability.

BBSS and Floor Broker Handhelds will not be in operation during the day of the test, but orders will be routed to the other markets participating in the test, as warranted. The NYSE urges firms to send order flow that is realistic to a typical days trading activity, as this is not a capacity test.

To provide the most realistic environment for the test, the NYSE is not using a separate test environment. We will use the systems you use every day to route order flow to the NYSE. Therefore, any residual test data left in the lines after the test is completed will be purged.

Whether or not you participate in the test, we advise all member firms not to allow messages/orders, etc., to be queued over the weekend in CMS for Monday morning.



Member Firm Participant Registration

Please respond by Monday, June 25, with the following information:

Name of Member Firm _____

Member Firm Contact Name _____

Email _____

Phone Number _____

Phone Number on Day of Test _____

Member Firm Mnemonics You Plan to Use during the Test:

Please respond to this email by Monday, June 25, with the above information if you plan to participate in the Reg. NMS Industry Wide Test or send the information directly to memberfirmsales@nyse.com.

If you have further questions about this test, please contact your sales representative directly or the SFTI Help Desk at 1-866-USE-SIAC.