

**NYSE MEMBER FIRMS DEALING WITH PUBLIC
(\$ in Millions)**

	3rd QTR 2006	3rd QTR 2005	2nd QTR 2006	YTD 2006	YTD 2005
Revenue	\$81,760*	\$61,129	\$78,635	\$237,958*	\$163,673
Expense	\$77,697*	\$58,334	\$74,100	\$224,626*	\$156,523
After Tax Profit Loss	2,641	1,817	2,948	8,666	4,648
After Tax Annualized Return on Capital	5.1%	4.0%	5.8%	5.8%	3.5%
Assets	\$4,838,611*	\$4,228,907	\$4,584,734	\$4,838,611*	\$4,228,907
Capital and subordinated liabilities	\$207,613*	\$184,785	\$204,959	\$207,613*	\$184,785
Commission Revenues	\$6,042	\$6,447	\$6,979	\$20,185	\$19,040
Firms	206	219	213	221	232
Profitable Firms	133	164	151	159	154
Aggregate PreTax Earnings of Profitable Firms	\$4,613	\$3,366	\$4,722	\$15,037	\$9,834
Unprofitable Firms	73	55	62	62	78
Aggregate PreTax Loss of Unprofitable Firms	(\$550)	(\$571)	(\$187)	(\$1,705)	(\$2,684)

*** Record level**

Generally excludes specialists, but includes firms that primarily trade for their own account, rather than doing a public business.

Assumes a regular corporate tax rate or credit of 35 percent