

**Trading Technology**

August 28, 2007



**TO:** All Members and Member Organizations/Chief Operations Officers/Managing Partners/Operations Managers/Data Processing Managers and P&S Managers of Member Organizations/Merged Order Log Users/OCS Users

**SUBJECT:           Application off Mainframe Migration**

**PT 139**

In NYSE Euronext Circular PT137, dated June 8, 2007, subject “SIAC Mainframe Migration”, the Shared Data Center (SDC) announced that it is moving off of the Mainframe Platform to a Distributed Server Platform for connectivity. Users are advised that individual Applications will be moved on to the new Platform independently and it is important for firms to test these applications to make sure the integrity of data inbound and outbound is maintained.

**OCS**

OCS Users should test their MQM transmissions to ensure data translations are completed successfully when the OCS Application is moved onto the C2L Platform. The test region will be available starting in October for firms to send messages in and receive back solicited and unsolicited messages as applicable. Firms will send messages to the test “Q” region the same as is done today and the SDC will direct to the C2L platform. The OCS Application is scheduled to move to the new platform in November.

**SAH**

It is recommended that SAH recipients receive a test copy of their Merged Order Log output to ensure data integrity prior to when the Applications are moved to the C2L Platform. Test Output will be available in September 2007. SAH is scheduled to migrate to the new platform in October 2007. This will affect any firm that receives any of the products below:

- Clearing Firm MOL File – Product 06220143
- Clearing Firm Print-Image Report– (06040641)
- Broker Badge MOL File – (06201029)
- Broker Badge Print Log – (06201028)
- Entering Firm MOL File – (06220174)
- Entering Firm Print-Image Report (06222466)
- Agency Output – (06010176)
- Specialist Activity Report (06010615)

### **Summary**

Please note, depending on your internal platform, if you do not test the appropriate output changes once the Application is converted your data transmissions may become unformatted or illegible.

For any questions regarding connectivity or the specific changes your firm needs to make, please contact the SDC's Client Connectivity Group ([HNUNEZ@SIAC.COM](mailto:HNUNEZ@SIAC.COM), [VCARAMIC@SIAC.COM](mailto:VCARAMIC@SIAC.COM), [GMIKHAIL@SIAC.COM](mailto:GMIKHAIL@SIAC.COM), [BLURITO@SIAC.COM](mailto:BLURITO@SIAC.COM), [CCG@SIAC.COM](mailto:CCG@SIAC.COM))

Any questions regarding this circular should be directed to John Schwarzbeck at (212) 656-5462 or Larry Moreno at (212) 656-4648.