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PT 131

Date: October 2, 2006

To: All OCS Users

Subject: **OCS Specialist Trade Date Correction Functionality**

Effective October 9, 2006, the On-Line Comparison System (The NYSE OCS[®]) will be modified to allow trade date update functionality to Specialist users. Currently Specialist users can only browse compared and uncomparing items on trade date. The new functionality will mirror the current as-of functionality with the exception that Specialists shall not be entitled to perform trade date adds. Specialists shall be able to change, delete, pair-off and accept trade date items.

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NYSE T-DATE EQUITY-SYSTEM SPECIALIST UNCOMPARED 09/24/06 10:12
                ALL UNCOMPARED USER-NAME: SPEC TEST
SPECIALIST: 9999 TRD-DATE: 09 21 06 SYM: _____
      B/S: _ MAJ-FIRM: _____ CONTRA-FIRM: _____ PRICE: _____
  
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LN	S	MAJR	B	CNTR	CNTR	MAJR	S	CA	EXEC					
NO	C	I	DK	FIRM	S	QUANTITY	SYMBOL	PRICE	FIRM	BDGE	BDGE	P	ND	TIME
**	*	*	*	*****	*	TRD DATE: 09/21/06	TOP OF DATA	****	****	****	*	****	**	****
02				DOT	B	1200	ABC	81.61	ZZZ	SPEC	8888			1556
03				ZZZ	S	1200	ABC	81.61	DOT	7777	SPEC			1556
04														
05														
06														
07														
08														

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SEL COD: D=DELETE C=CHANGE P=PAIRING K=DK A=ACCEPT Z=PX-CHG ENTER-EDIT
PF KEYS: PF1-UPDATE PF2-SCROLL PF7-BKWD PF8-FWD PF12-MENU CLEAR-REFRESH
  
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Note: Uncomparing items containing the specialist inhibitor (I), such as LMT comparison sides, cannot be modified by specialist users.

On August 25th the OCS Match Program was modified to allow A1 exact matches only as highlighted in Post Trade Notice PT129, dated August 15, 2006, subject "OCS Match Changes". The changes in this subsequent enhancement allow the specialist to correct erroneously captured badge numbers at the point-of-sale on trade date (DOT comparison sides).

Omnibus Imbalances

Specialist users are advised that omnibus imbalances are not calculated on trade date and therefore not represented on their screens. Any action taken on trade date will be reflected in the "T+1" omnibus imbalance the following day.

Any questions regarding OCS Matching or this circular should be directed to John Schwarzbeck at (212) 656-5462 or Larry Moreno at (212) 656-4648

Any comments regarding this notice should be directed to the undersigned, Thomas Fay at (212) 656-2238.


