

Trading Technology

March 20, 2008

REVISED



TO: All Members and Member Organizations/Chief Operations Officers/Managing Partners/Operations Managers/Data Processing Managers and P&S Managers of Member Organizations/Merged Order Log Users

SUBJECT: C2L Application Migration

PT 142

Effective **April 11, 2008**, the After-Hours and Comparison System will be migrated to the C2L Platform. In NYSE Euronext Circular PT139, dated August 28, 2007, subject "C2L Application Migration", the Shared Data Center (SDC) announced that individual applications would be moving on to the new Distributed Server Platform. The next group of systems to migrate is the after-hours systems that create the Merged Order Log and associated outputs as well as the Comparison Systems and their associated output. Although After-Hours testing has been available since December, additional firm testing will be available up until the implementation date.

Participant Testing & Feedback

Customer feedback during initial After-Hours Systems testing has resulted in some minor software changes on our side and a revised implementation schedule. This revised schedule provides sufficient time for additional After-Hours System testing as well as Comparison System testing. It is requested that all firms complete testing as quickly as possible and provide feedback on any processing issues regarding the individual application and your operating platform.

The full list of the products that are migrating in this phase as well as the OCS submission details are listed below. It is recommended that each firm request a test file to ensure data integrity has been maintained.

OCS MQ Users

All clearing firms and service bureaus that are eligible to interact with the NYSE OCS[®] (for either the Equity Comparison product or the Step-Out Service) via the MQM interface are encouraged to test to ensure the integrity of data translations.

MQ Testing

The OCS Test Region (OCQ) is now assigned to the new platform on Mondays through Wednesdays. Please contact Rajneet Sodhbans (rsodhban@siac.com) at 212-383-9454 to schedule testing.

OCS Screen Users

Today Special Settlement Terms such as Cash, Next Day, and Seller's Option sort to the top of the OCS Screen, followed by regular way settlement trades. Users are advised that on the new platform the screen sort will change. Seller's Option will appear first, then Regular-Way trades, followed by Cash and Next Day Settlement.

After-hours/Comparison Output

All associated outputs will be available for firms to receive a test copy beginning February 1. All firms are encouraged to request test output in order to ensure the data integrity. For each product applicable to your firm contact the personnel listed below to receive your test copy. The test output will be sent using the Test Product ID to your production destination.

File Retransmissions

Stella Ripa (212) 383 - 9214

Vinny Caramico (212) 383 - 5423

Client Connectivity

Harold Nunez (212) 383 - 6881

Bessie Lurito (212) 383 - 5469

Vinny Caramico (212) 383 - 5423

Any questions regarding this circular should be directed to John Schwarzbeck at (212) 656-5462 or Larry Moreno at (212) 656-4648.

Attachment: Listing of Products and Product IDs

List of After Hours and Comparison Output

Output	Prod ID	Test ID
OCS Firm Output		
OCS Unc/Adv (file)	06010984	06980984
OCS Unc/Adv (print-image)	06011177	06981177
OCS Act Log (print-image)	06010503	06980503
SOS Firm Output		
SOS Activity Log (file)	06010983	06980983
SOS Activity Log (print-image)	06010779	06980779
SOS Unc/Alt (print-image)	06011176	06981176
OCS Specialist Print-image Output		
OCS Spec Imbalance	06011520	06981520
OCS Spec PTP	06011521	06981521
OCS Spec OCS	06011522	06981522
OCS Spec Activity Log	06011024	06981024
OCS Spec Uncompared Report	06011536	06981536
Merged Order Log		
Clearing Firm MOL File	06220143	06990143
Entering Firm MOL File	06220174	06990174
Badge MOL File	06201029	06981029
Agency MOL File	06010176	06980176
Clearing Firm MOL Print-image	06010641	06980641
Entering Firm MOL Print-image	06222466	06992466
Badge MOL Print-image	06201028	06981028
Agency MOL Print-image	06010166	06980166
Open Order/Reconciliation		
Member Firm Open Order Print Image (Migrated March 13, 2008)	06010610	06980610
Limit Order Reconciliation File	06240532	06990532
Limit Order Reconciliation Print-image	06240512	06990512
Specialist Output		
Specialist Position Minder File	06010123	06990123
Specialist Activity Report Print-image	06010615	06980184

