

**Trading Technology**

June 8, 2007



**TO:** All Members and Member Organizations/Chief Operations Officers/Managing Partners/Operations Managers/Data Processing Managers and P&S Managers of Member Organizations

**SUBJECT: SIAC Mainframe Migration**

**PT137**

As previously announced by the SIAC Shared Data Center (SDC), **effective October 1, 2007** SIAC will complete migration off the current mainframe platform to a distributed platform. The SIAC Client Connectivity Group has sent packets to each member firm in October 2006 outlining the changes and necessary action by the firms. All users are required to make changes in order to send Datatrak Files, receive Autoroute products, send and receive MQ messages and connect to OCS. Firms that do not convert will be unable to access these SDC services. SIAC is currently running the new platform in parallel with the production platform for member firms wishing to move over today or test on the new platform. There are no file format changes as part of this effort, only the connectivity method has changed.

SDC Services Impacted:

- Autoroute Distribution
- Datatrak Submission (NDM / FTP)
- MQ Users
- OCS TN3270 Users

All products supported by SDC are impacted by the change above. Examples include:

- **OCS Connectivity**
  - Dial-up users must move to SFTI or Sector connection.
  - SFTI users must update their connectivity in order to have their data transmitted
- **OCS MQM Interface**
  - Users must update connectivity in order to transmit MQM input and receive solicited and unsolicited output messages
- **Datatrak Submission**
  - MARGIN EXTENSION (Sysid 12272)
  - FIRM TRADING INFORMATION BlueSheet (12343)
  - NYSE PROGRAM TRADING (12347)
  - ISG OPT LARGE POSITION (28044)
  - RJE LOF RECON (28441)
  - NON-CTA REPORTED TRANSACTIONS (12257)

Listed below are additional NYSE Post Trade Products impacted.

<b>Product</b>	<b>File</b>	<b>Print-Image</b>
<b>Merged Order Log Output</b>		
Clearing Firm MOL	06220143	06010641
Entering Firm MOL	06220174	06222466
Broker Badge MOL	06201029	06201028
BBSS Agency MOL	06010176	06010166
<b>Limit Order Reconciliation</b>		
Discrepancy Output	06240532	06240512
<b>Open Orders</b>		
Firm Open Order Log		06010610
<b>Specialist Outputs</b>		
Position Minder	06010123	
Specialist Activity Report		06010615
OCS Activity Log (specialist)		06011024
OCS Specialist TD Compared		06011522
Specialist TD Locked-In		06011521
OCS Omnibus Imbalance (spec)		06011520
Specialist Uncompared Report		06011536
<b>OCS Firm Output</b>		
Trade Reconciliation (uncompared/advisory)	06010984	06011177
OCS Activity Log (firm)		06010503
SOS Activity Log		06010779
SOS Unaffirmed/Alert	06010983	06010777

### **Summary**

Please note that if you do not make the appropriate connectivity changes, you will not have access to these services after October 1, 2007. Attached to this circular is a copy of the packet previously distributed by the SDC.

For any questions regarding connectivity or the specific changes your firm needs to make, please contact the SDC's Client Connectivity Group ([HNUNEZ@SIAC.COM](mailto:HNUNEZ@SIAC.COM), [VCARAMIC@SIAC.COM](mailto:VCARAMIC@SIAC.COM), [GMIKHAIL@SIAC.COM](mailto:GMIKHAIL@SIAC.COM), [BLURITO@SIAC.COM](mailto:BLURITO@SIAC.COM), [CCG@SIAC.COM](mailto:CCG@SIAC.COM))

Any questions regarding this circular should be directed to John Schwarzbeck at (212) 656-5462 or Larry Moreno at (212) 656-4648.

Attachment.



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