

<u>Field Name</u>	<u>Position</u>	<u>Length</u>	<u>Field Description</u>
Extract Number	1-7	7	A numeric field that identifies the sequence number assigned to the new extract record for the particular destination.
Resend Indicator	8	1	An alphanumeric field that indicates the record has been resent. Valid values are: Space = Original record R = A copy of the original record The copy has the same extract number as the original record.
Status Code Indicator	9	1	An alphabetic field that indicates the status of the trade. Valid values are: A = Added Clearing record C = Changed or Modified Clearing record D = Deleted Clearing record E = Execution record L = Linkage record X = Contra records
Entry Date	10-17	8	A numeric field that indicates the date the trade was entered into POPS. Format CCYYMMDD, where: CC = current century YY = current year MM = current month DD = current day
Entry Time	18-23	6	A numeric field that indicates the time the trade was entered, changed or cancelled in POPS. Format HHMMSS (EST), where: HH = hour entered MM = minute entered SS = second entered.
Filler	24-26	3	Reserved space.
As-Of Date	27-34	8	A numeric field that indicates the as-of date in which the trade is assumed to be in effect. Format CCYYMMDD, where: CC = as-of century YY = as-of year MM = as-of month DD = as-of day

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Execution Time	35-40	6	A numeric field that indicates the time the trade was executed. Format HHMMSS (EST), where: HH = hour executed (value 00 – 12 or 99) MM = minute executed (value 00 – 59 or 99) SS = second executed (value 00 – 59 or 99). 99 indicates the undefined execution time.
Filler	41-43	3	Reserved space.
Security Symbol	44-49	6	An alphabetic field that uniquely identifies the underlying security.
Expiration Year	50-53	4	A numeric field that indicates the year the option expires (CCYY).
Expiration Month	54-55	2	A numeric field that indicates the month the option expires.
Expiration Day	56-57	2	A numeric field that indicates the day the option expires.
Put/Call Indicator	58-58	1	An alphabetic field that identifies the type of transaction. Valid values are: C = Call Transaction P = Put Transaction
Strike Price	59-66	8	A numeric field that indicates the strike price of the option. The dollar amount occupies the first five positions and the decimal amount the next three positions.
FLEX Execution style	67-67	1	A numeric field that indicates FLEX Execution style. Valid values are: 0 = Non FLEX trade 1 = American 2 = European 3 = Capped.
Buy/Sell Indicator	68-68	1	A numeric field that identifies the side of the trade. Valid values are: 1 = Sell 2 = Buy

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Order Amount	69-75	7	A numeric field that identifies the number of contracts ordered. For the Contra records this field will be set to Number of Contracts.
Number of Contracts	76-82	7	A numeric field that indicates the number of contracts traded.
Premium	83-93	11	A numeric field that indicates the price of the option traded. The dollar amount occupies the first five positions and the decimal amount the next six positions. If the dollar portion value is zero and the decimal portion value is all nines (00000999999), the trade is a Cabinet Trade.
Cabinet Trade Indicator	94-94	1	An alphanumeric field that is designated by a space or by "C".
Clearing Firm Symbol	95-98	4	An alphanumeric field that identifies the Exchange symbol for the firm through which the trade will clear. This field contains spaces for the Contra and Linkage records (Status Code Indicator = 'X' and 'L').
OCC Clearing Number	99-103	5	A numeric field that identifies the OCC clearing number for the introducing firm through which the trade will clear. This field contains zeros for the Execution and Contra records.
CMTA Number	104-108	5	An alphanumeric field that identifies the OCC clearing number on whose behalf the trade was executed and into whose account the trade will clear if a valid CMTA agreement exists at OCC.
Executing OTP holder Symbol	109-112	4	An alphanumeric field that identifies the individual who executes the trade on the trading floor. Exceptions include: 'AUTO' = trade has been immediately executed 'OPEN' = trade has been executed at the opening Post symbol = post identifier where trade was executed (for book trades only). Spaces = Linkage records.

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Executing Firm Symbol	113-116	4	An alphanumeric field that identifies the firm or individual buying or selling the option. Spaces = Contra records.
Filler	117-130	14	Reserved space.
Contra OTP holder Symbol	131-134	4	An alphanumeric field that identifies the individual buying or selling the trade. Exceptions Include: ‘AUTO’ = trade has been immediately executed ‘OPEN’ = trade is an open rotation trade Post symbol = post identifier where trade was executed (for book trades only) Spaces = Linkage records.
Contra Firm Symbol	135-138	4	An alphanumeric field that identifies the firm buying/selling a trade. Spaces = Linkage records and unmatched Executed records.
Non OTP holder Symbol	139-142	4	An alphanumeric field that identifies the market maker/specialist from other exchanges for trades executed at NYSE ARCA Options . For Linkage record, this field identifies the NYSE Arca Options San Francisco market maker that created the linkage order. This field always contains spaces for the Contra records.

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Optional Data	143-158	16	An alphanumeric field that contains information provided by the firm and passed to the OCC.

For Linkage trades executed at the **NYSE ARCA Options**, San Francisco, this field contains a code that details the linkage order type and the originating exchange.

Position	Value
1-2	'LK'
3	Type of order: 'P' = Principal Linkage order 'A' = Principal as Agent Linkage order 'R' = Satisfaction Linkage order
4	Originating exchange: 'A' = AMEX 'B' = BOX 'C' = CBOE 'I' = ISE 'P' = NYSE Arca Options San Francisco 'X' = PHLX
5-8	'-MM-'
9-12	Non OTP holder symbol
13-16	Space

For Linkage trades executed at an away exchange, this field contains a code that details the linkage order type and the executing exchange.

Position	Value
1-2	'LE'
3	Type of order: 'P' = Principal Linkage order 'A' = Principal as Agent Linkage order 'R' = Satisfaction Linkage order
4	Executing exchange: 'A' = AMEX 'B' = BOX 'C' = CBOE 'I' = ISE 'P' = NYSE Arca Options San Francisco 'X' = PHLX
5-16	Space

This field always contains spaces for the Contra records.

<u>Field Name</u>	<u>Position</u>	<u>Length</u>	<u>Field Description</u>
Position Type	159-159	1	An alphabetic field that identifies the position as either open or closed. Valid values are: O = Open C = Close This field always contains a space for the Contra records.
Clearance Account	160-160	1	An alphabetic field that identifies the clearing account type. Valid values are: C = Customer F = Firm M = Market Maker This field always contains a space for the Contra records.
Filler	161-164	4	Reserved space.
Ticket Number	165-171	7	A numeric field that identifies the trade ticket used to convey the order to the top. This field always contains zeros for the Contra records.
Execution Number	115-118	4	A numeric field that identifies executed trades on a ticket. This field always contains zeros for the Contra records.
Exchange Code	172-173	2	A numeric field that identifies the NYSE Arca Options Exchange. Valid values are: 04 = PSE 02 = AMEX
Sequence Number	174-180	7	A numeric field that uniquely identifies the trade in POPS; it is assigned by POPS. This field contains zeros for the Linkage, Execution and Contra records.

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Trade ID	181-197	17	An alphanumeric field that uniquely identifies an execution. It is assigned by the Exchange and contains one alphanumeric character that identifies the matching engine, followed by two numeric digits for the Julian Year and three numeric digits for the Julian Day, followed by seven alphanumeric characters that represent the Exchange assigned hexadecimal order sequence number, followed by four numeric digits that represent the execution number of the order.
Filler	198-200	3	Reserved space.
Trade ID Execution Type	201-201	1	An alphabetic field that identifies the Trade ID execution type. Valid values are: B = Liquidity Adding Trade C = Open Auction Trade F = Liquidity Taking Trade H = Hand Held Trade M = Complex Orders Stock + Options O = Opening Trade R = POETS Wheel Rotation or PCX Plus Quote Execution T = Complex Orders Options Only
Contra Trade ID Execution Type	202-203	1	An alphabetic field that identifies the Trade ID execution type for the contra side of the trade. (See Trade ID Execution Type for valid values.)
Client Order ID	203-234	32	An alphanumeric field that represents the order ID assigned by the firm and sent to the Exchange for execution. Please note that only first 20 characters of the Client Order ID are being passed to the OCC as "Branch and Sequence Number" field.
Customer ID	235-244	10	An alphanumeric field provided by the firms and passed to OCC as "Exchange Data" field.
Filler	245-300	56	Reserved space.