

Trader Update

Date: November 4, 2009

Audience: NYSE Amex ATP Holders and NYSE Arca OTP Holders

Subject: OCC Symbology Update

Summary:

The third industry-wide OSI test will take place on Saturday, November 14, 2009. Information regarding the test can be found in the Symbology Initiative portion of the OCC Website at www.theocc.com. Those firms wishing to participate via NYSE Amex and NYSE Arca must advise the exchanges' Connectivity team (connectivity@nyx.com) of their intention to do so and must provide the following two pieces of information:

- 1) FIX/ArcaDirect domain used by the firm in the Amex or Arca test environment
- 2) FIX/ArcaDirect domain used by the firm in the Amex or Arca production environment

On Saturday, November 14th, NYSE Euronext will provide a conference bridge for the support of those firms participating in the test. This bridge will be opened at 8 a.m. ET and will be kept open until the conclusion of the test. The conference bridge information is as follows:

(877)322-9648
Code: 244254

Contact Info:

Inquiries regarding this memo may be directed to the Connectivity team (connectivity@nyx.com) or the FIX hotline: 888-689-7739 (option 1).

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