

Information Memo



11 Wall Street
New York, NY 10005

April 22, 2010

TO: **ALL MEMBERS, MEMBER ORGANIZATIONS
and Vendors Interfacing with the Common Customer GatewaySM
(CCG)**

SUBJECT: **NYSE Amex Trading of NASDAQ-Listed Securities Under UTP -
Update**

Overview:

NYSE Amex has previously announced plans to trade NASDAQ-listed equities and select ETFs on an Unlisted Trading Privilege (UTP) basis as an additional trading venue. On April 19, 2010, the SEC formally published the NYSE's rule filing in the Federal Register.

The NYSE Amex is offering two additional weekend testing opportunities on May 1 and May 15, 2010. Please reference prior notices for testing and registration procedures.

Pending SEC approval, rollout of Nasdaq-listed symbols on the NYSE Amex will be phased in over several weeks, beginning on a date to be announced, with additional symbols announced on NYSE Trader and published on the NYSE Equities References page.

Contacts:

Should you have any questions, please contact:

- Michael Paulyson at mpaulyson@nyx.com or 212.656.2721
- Your Relationship Manager

Reference Documents and Links:

- SEC Release no. 34-61890; File No. SR-NYSEAmex-2010-31
<http://edocket.access.gpo.gov/2010/pdf/2010-8859.pdf>
- NYSE Equities Reference Page
<http://www.nyse.com/equities/nyseequities/1167176215703.html>
- NYSE Amex Trading of NASDAQ-Listed Securities under UTP
http://www.nyse.com/pdfs/NYSETradingTechnology_NASDAQ_UTP_20090908.pdf
- NYSE Amex Trading of NASDAQ Symbols Under UTP – Industry Testing
http://www.nyse.com/pdfs/UTPC_Test_Notice_2010_0115.pdf