

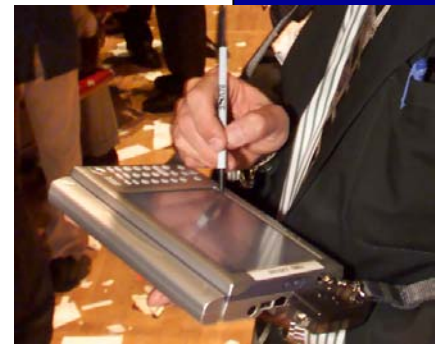
# NYSE e-Broker™

## Orders and Reports Everywhere

Busy brokers like you are thinking about automation. How can you remain competitive in this rapidly changing environment? You want portability and reliability. You also want something that fits with your demanding and individualized workflow. So, what will you use?

As a wireless, hand-held order management tool, the NYSE e-Broker™ enables brokers like you to electronically manage order flow and communicate directly with customers from anywhere on the floor of the Exchange.

Using the NYSE e-Broker dramatically increases your productivity and increases the accuracy of your data. You'll avoid time-consuming error corrections and be better positioned to increase your business. These benefits make NYSE e-Broker the intelligent choice for any firm.



### Stay Connected

Never be out of touch again. New orders find you, wherever you are. Reports are instantaneously sent directly to your booth and to your customer. Market looks are sent to your upstairs trader using email. The latest market information is available to you while in the crowd. NYSE e-Broker lets you be as mobile as you need to be—no wires, no synching, no worries.

### Work Smart—Travel Lite

The NYSE e-Broker places the power of the Broker Booth Support System<sup>SM</sup> (BBSS<sup>SM</sup>) in the palm of your hand, wherever you are. Track your working orders, enter reports from anywhere on the floor, at any time. Reduce errors, breaks and time spent correcting them. Eliminate paper and re-keying at the booth. Streamline your communication with the booth and your upstairs traders—all with the NYSE e-Broker.

- Receive and View Orders
- Enter Execution Reports
- Track Cancel and Cancel/Replace Messages
- Retrieve Market Information including VWAP data
- Communicate electronically with the Trading Desk
- Electronically book orders on the specialists' book

#### NYSE e-Broker™

#### NYSE e-Broker™ Lite

Businesses are different and not everyone works the same way; that is why we developed two NYSE e-Broker platforms. Depending on your needs, you can select the device that is right for you—NYSE e-Broker provides you with the capabilities you need when you are on the move.

#### NYSE e-Broker

With the NYSE e-Broker full service device, you have the ability to work your orders and enter reports with complete flexibility. Allocate executions against multiple open orders or report on crosses, all with one click. You can even view a full order history at any time during the day. In a hurry? Use the Ink Pad to write down report information in a hectic crowd.

#### NYSE e-Broker Lite

With NYSE e-Broker Lite, being mobile has never been easier. The latest release of NYSE e-Broker Lite combines the mobility of the prior NYSE e-Broker Lite device with key functionality of the full service device. Incorporating the ability to receive orders and send reports on the Lite, never before have you been able to do so much with such a small device that will continue to get smaller—look for the IPAQ in 2002.

Regardless of the platform you prefer, you will benefit from the powerful features of the NYSE e-Broker.

## Functionality Comparison

Review the feature sets of the new NYSE e-Broker Lite Release 2.0 and the Full Service device.

Function	Lite	FS	Function	Lite	FS
<b>Order Management</b>			<b>Messaging</b>		
New Order Mailbox	Y	Y	Message Mailbox	Y	Y
Working Order List	Y	Y	Message List	Y	Y
Closed Order List	N	Y	Ink Messages	Y	Y
Full Order Details	Y	Y	Ink Eraser	Y	N
CXL Mailbox	Y	Y	Message Reply	Y	Y
CXL and CXL/REP	Y	Y	Unsolicited Message	Y	Y
Order Accept/Reject	Y	Y	Forward Message	Y	N
Order Reroute	N	Y	Text Messages	N	Y
Order Callback	Y	Y	Open/Close Messaging	Y	N
Order Report History	N	Y	<b>Miscellaneous</b>		
Pad	N	Y	Market Data Inquiry	Y	Y
Admin Mailbox	Y	Y	Screen Lock	N	Y
Admin Status Responses	N	Y	Battery Meter	N	Y
<b>Reporting</b>			Stock List	Y	Y
Full Reports (inc. names)	Y	Y	Contra List	Y	Y
Verbal Reports	Y	Y	Badge List	N	Y
Names Later Reports	N	Y	Address & Group List	Y	Y
Allocation	N	Y	Paging	Y	Y
Cross	N	Y	Recovery	Y	Y

## Device Specifications

The NYSE provides all hardware, connectivity and training, so start up is made easy. The choice in product is yours.

NYSE e-Broker full service device runs on the Casio Fiva device. Weighing 2.5lbs. and measuring 8.3 x 5.2 x 1.1 inches, this is one of the smallest mobile PCs around.

NYSE e-Broker Lite runs on the Casio Cassiopeia device weighing only 13oz. and measuring 7x4.4x.8 inches. Totally flexible, you can choose to enter data using the software keyboard or through stored lists of frequently used information.



## Contact Information

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