



NYSE Amex LLC

SPONSORING MEMBER ORGANIZATION CONSENT – NYSE Amex Bonds

To be completed by the approved NYSE Amex Member or Member Organization that intends to act as a Sponsoring Member Organization. See Rule 86 – NYSE Amex Equities for definitions and requirements.

The undersigned NYSE Amex Member or Member Organization hereby notifies NYSE Amex LLC (“NYSE Amex”) that it intends to act as a Sponsoring Member Organization for the following firm in the capacity of a Sponsored Participant:

Full Legal Name: _____ CRD No.: _____
(if applicable)

Contact Name and Title: _____

Business Address: _____

Phone: _____ Fax: _____ Email: _____

The undersigned (“Sponsoring Member Organization”) hereby certifies it is a Member or Member Organization of NYSE Amex in good standing and has been designated by the firm identified above (“Sponsored Participant”) to execute transactions resulting on or through NYSE Amex Bonds.

The Sponsoring Member Organization hereby acknowledges and agrees that any orders entered into NYSE Amex Bonds by the Sponsored Participant, or any person acting on behalf of or in the name of the Sponsored Participant, that identify the undersigned as the Sponsoring Member Organization, and any execution occurring as a result of such orders, are binding in all respects on Sponsoring Member Organization. In that regard, the undersigned acknowledges and agrees that it is responsible for all contractual and regulatory obligations in relation to the Sponsored Participant’s use of NYSE Amex Bonds. Sponsoring Member Organization hereby acknowledges and agrees that it is responsible for any and all actions taken by the Sponsored Participant, or any person acting on behalf of or in the name of the Sponsored Participant, on or through NYSE Amex Bonds.

Sponsoring Member Organization

CRD No.: _____
(if applicable)

Authorized Signature

Print Name

Title _____ Date _____