

**SPONSORING MEMBER ORGANIZATION CONSENT –
New York Block ExchangeSM (“NYBX”)**

To be completed by the approved NYSE Member or Member Organization that intends to act as a Sponsoring Member Organization. See NYSE Rule 1600 New York Block ExchangeSM (“NYBX”) for definitions and requirements.

The undersigned NYSE Member or Member Organization (“Sponsoring Member Organization”) hereby notifies New York Stock Exchange LLC (“NYSE”) that it intends to act as a Sponsoring Member Organization for the following firm in the capacity of a Sponsored Participant:

Full Legal Name: _____ CRD No.: _____
(if applicable)

Contact Name and Title: _____

Business Address: _____

Phone: _____ Fax: _____ Email: _____

The Sponsoring Member Organization hereby certifies that it is a Member or Member Organization of NYSE in good standing and has been designated by the firm identified above (“Sponsored Participant”) to execute transactions resulting on or through NYBX.

The Sponsoring Member Organization hereby acknowledges and agrees that it is responsible for any and all actions taken by the Sponsored Participant, or by any person acting on behalf of or in the name of the Sponsored Participant, on or through NYBX and for all related contractual and regulatory obligations thereto. Any orders entered into NYBX by the Sponsored Participant, or any person acting on behalf of or in the name of the Sponsored Participant, that identify the undersigned as the Sponsoring Member Organization, and any execution occurring as a result of such orders, are binding in all respects on the Sponsoring Member Organization.

Sponsoring Member Organization: _____

Authorized Signature: _____ CRD No.: _____

Title: _____ Phone Number: _____

Name: _____ Date: _____