

SPONSORED PARTICIPANT CONSENT – NEW YORK BLOCK EXCHANGESM

To be completed by the Sponsored Participant that intends to use the New York Block ExchangeSM (“NYBX”). See NYSE Rule 1600 for definitions and requirements.

The undersigned Sponsored Participant, as an authorized User of the NYBX, hereby acknowledges and agrees to the terms and provisions of Rule 1600 New York Block Exchange, and shall comply with the Exchange’s Certificate of Incorporation, Bylaws, Rules and procedures, as amended.

Full Legal Name: _____ CRD No.: _____
(if applicable)

Business Address: _____

Authorized Signature: _____

Name and Title: _____ Date: _____

Phone: _____ Fax: _____ Email: _____