

DATE: October 8, 2009

FROM: Floor Operations

TO: Floor Distribution

RE: LRP Group Change Volume

Effective Monday 12 October, the following stocks will move to a different LRP group due to the quarterly average daily volume and the volume bucket calculations.

<u>Symbol</u>	<u>Post/Panel</u>	<u>DMM Firm</u>	<u>Volume Group Change for 4th Qtr. 2009</u>	
			<u>From</u>	<u>To</u>
ACC	08LM	BARCLAYS CAPITAL	MEDIUM	LOW
ACF	03G	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
AF	10O	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
AI	06B	LABRANCHE AND CO.	MEDIUM	LOW
AIZ	10C	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
ALD	10C	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
ANH	09M	KELLOGG CAPITAL MARKETS LLC	LOW	MEDIUM
ANX	02P	COHEN CAPITAL GROUP LLC	MEDIUM	LOW
APD	03I	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
APH	04E	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
ARE	06S	LABRANCHE AND CO.	MEDIUM	LOW
ARM	11O	BARCLAYS CAPITAL	LOW	MEDIUM
ASH	09GH	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
AVT	10N	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
AXP	06F	LABRANCHE AND CO.	HIGH	MEDIUM
AXS	10R	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
BAC PRE	04M	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
BCS	03F	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
BDK	04V	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
BG	10B	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
BK	08V	BARCLAYS CAPITAL	HIGH	MEDIUM
BQI	02O	COHEN CAPITAL GROUP LLC	MEDIUM	LOW
BRE	06S	LABRANCHE AND CO.	MEDIUM	LOW
BRO	06B	LABRANCHE AND CO.	MEDIUM	LOW
BYD	08G	BARCLAYS CAPITAL	MEDIUM	LOW
BZH	03I	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
C PRP	08M	BARCLAYS CAPITAL	MEDIUM	LOW
CBB	04E	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
CCI	04U	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
CCJ	03E	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
CCK	11L	BARCLAYS CAPITAL	MEDIUM	LOW
CE	08P	BARCLAYS CAPITAL	MEDIUM	LOW
CHT	03E	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
CIT	06E	LABRANCHE AND CO.	MEDIUM	HIGH
CLI	07K	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
CLX	10O	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
CNI	03D	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
COF	06U	LABRANCHE AND CO.	HIGH	MEDIUM
CPC	08D	BARCLAYS CAPITAL	MEDIUM	LOW
CPT	06S	LABRANCHE AND CO.	MEDIUM	LOW
CRM	03H	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
CS	10T	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW



CTV	06J	LABRANCHE AND CO.	MEDIUM	LOW
CUZ	06S	LABRANCHE AND CO.	LOW	MEDIUM
CVA	08E	BARCLAYS CAPITAL	MEDIUM	LOW
CVC	08UV	BARCLAYS CAPITAL	MEDIUM	LOW
CVM	02R	COHEN CAPITAL GROUP LLC	LOW	MEDIUM
CYH	11J	BARCLAYS CAPITAL	MEDIUM	LOW
DAN	11J	BARCLAYS CAPITAL	LOW	MEDIUM
DB	10R	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
DGW	09A	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
DGX	08G	BARCLAYS CAPITAL	LOW	MEDIUM
DKS	10G	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
DLR	06T	LABRANCHE AND CO.	MEDIUM	LOW
DO	07O	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
DOV	04B	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
DOW	03J	SPEAR, LEEDS AND KELLOGG SPEC. LLC	HIGH	MEDIUM
DRH	08UV	BARCLAYS CAPITAL	MEDIUM	LOW
EL	06D	LABRANCHE AND CO.	MEDIUM	LOW
ETR	06Q	LABRANCHE AND CO.	MEDIUM	LOW
EV	10B	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
FAF	09IJ	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
FCE A	04S	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
FNM	03N	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	HIGH
FO	08E	BARCLAYS CAPITAL	MEDIUM	LOW
FRE	06K	LABRANCHE AND CO.	MEDIUM	HIGH
FRP	06H	LABRANCHE AND CO.	LOW	MEDIUM
FRT	06T	LABRANCHE AND CO.	MEDIUM	LOW
FTI	08P	BARCLAYS CAPITAL	MEDIUM	LOW
GES	11J	BARCLAYS CAPITAL	MEDIUM	LOW
GLG	04R	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
GNK	09K	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
GNW	10C	BANC OF AMERICA SPECIALIST INC	HIGH	MEDIUM
GSK	06P	LABRANCHE AND CO.	MEDIUM	LOW
GTI	10P	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
GXP	06I	LABRANCHE AND CO.	MEDIUM	LOW
HAR	08G	BARCLAYS CAPITAL	LOW	MEDIUM
HBC	06GH	LABRANCHE AND CO.	MEDIUM	LOW
HCC	06B	LABRANCHE AND CO.	MEDIUM	LOW
HD	10G	BANC OF AMERICA SPECIALIST INC	HIGH	MEDIUM
HIG	06U	LABRANCHE AND CO.	HIGH	MEDIUM
HIW	08O	BARCLAYS CAPITAL	MEDIUM	LOW
HP	07L	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
HPQ	11E	BARCLAYS CAPITAL	HIGH	MEDIUM
HR	06Q	LABRANCHE AND CO.	MEDIUM	LOW
HST	08V	BARCLAYS CAPITAL	HIGH	MEDIUM
ICE	03M	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
ICO	09B	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
IM	09M	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
ITT	11M	BARCLAYS CAPITAL	MEDIUM	LOW
IVN	11S	BARCLAYS CAPITAL	LOW	MEDIUM
IVR	08G	BARCLAYS CAPITAL	MEDIUM	LOW
JCG	11O	BARCLAYS CAPITAL	MEDIUM	LOW
JLL	06J	LABRANCHE AND CO.	MEDIUM	LOW
JNJ	10O	BANC OF AMERICA SPECIALIST INC	HIGH	MEDIUM
JOE	08S	BARCLAYS CAPITAL	MEDIUM	LOW



KEG	09CD	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
KFN	08O	BARCLAYS CAPITAL	LOW	MEDIUM
KNX	06I	LABRANCHE AND CO.	MEDIUM	LOW
KRC	08A	BARCLAYS CAPITAL	MEDIUM	LOW
KSU	10N	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
LEG	04B	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
LHO	06Q	LABRANCHE AND CO.	MEDIUM	LOW
LUK	10C	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
LXP	09FG	LABRANCHE AND CO.	MEDIUM	LOW
MA	06T	LABRANCHE AND CO.	MEDIUM	LOW
MF	09M	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
MHK	06T	LABRANCHE AND CO.	MEDIUM	LOW
MIR	03P	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
MMR	08P	BARCLAYS CAPITAL	LOW	MEDIUM
MTB	06T	LABRANCHE AND CO.	MEDIUM	LOW
MTU	03E	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
MWA	10L	BANC OF AMERICA SPECIALIST INC	LOW	MEDIUM
MXB	08S	BARCLAYS CAPITAL	MEDIUM	LOW
NFX	11P	BARCLAYS CAPITAL	MEDIUM	LOW
NLY	03O	SPEAR, LEEDS AND KELLOGG SPEC. LLC	HIGH	MEDIUM
NNN	04S	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
NU	03P	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
O	04S	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
OFC	08OY	BARCLAYS CAPITAL	MEDIUM	LOW
OHI	11O	BARCLAYS CAPITAL	MEDIUM	LOW
ORI	04A	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
OSK	03L	SPEAR, LEEDS AND KELLOGG SPEC. LLC	LOW	MEDIUM
PBR	03C	SPEAR, LEEDS AND KELLOGG SPEC. LLC	HIGH	MEDIUM
PCP	06T	LABRANCHE AND CO.	MEDIUM	LOW
PDS	10T	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
PER	11P	BARCLAYS CAPITAL	LOW	MEDIUM
PGN	11H	BARCLAYS CAPITAL	MEDIUM	LOW
PKI	11S	BARCLAYS CAPITAL	MEDIUM	LOW
PL	09IJ	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
PLD	06F	LABRANCHE AND CO.	HIGH	MEDIUM
PSS	04P	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
QTM	03L	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
RDN	06K	LABRANCHE AND CO.	LOW	MEDIUM
RDS A	10RS	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
RHI	08E	BARCLAYS CAPITAL	MEDIUM	LOW
RJF	08U	BARCLAYS CAPITAL	MEDIUM	LOW
ROK	11S	BARCLAYS CAPITAL	MEDIUM	LOW
RTK	02O	COHEN CAPITAL GROUP LLC	LOW	MEDIUM
RWT	08OZ	BARCLAYS CAPITAL	MEDIUM	LOW
RYL	06C	LABRANCHE AND CO.	MEDIUM	LOW
RYN	08G	BARCLAYS CAPITAL	MEDIUM	LOW
SAP	11K	BARCLAYS CAPITAL	MEDIUM	LOW
SFI	04S	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
SHO	08UV	BARCLAYS CAPITAL	MEDIUM	LOW
SHW	09JK	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
SLT	03F	SPEAR, LEEDS AND KELLOGG SPEC. LLC	LOW	MEDIUM
SNY	06P	LABRANCHE AND CO.	MEDIUM	LOW
SOA	08J	BARCLAYS CAPITAL	LOW	MEDIUM
SRE	08J	BARCLAYS CAPITAL	MEDIUM	LOW



STI	06R	LABRANCHE AND CO.	HIGH	MEDIUM
STM	03D	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
STR	06LM	LABRANCHE AND CO.	MEDIUM	LOW
SVA	02N	COHEN CAPITAL GROUP LLC	LOW	MEDIUM
TC	09B	KELLOGG CAPITAL MARKETS LLC	LOW	MEDIUM
TCO	06Q	LABRANCHE AND CO.	MEDIUM	LOW
TDC	03L	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
TMK	07J	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
TMX	06O	LABRANCHE AND CO.	LOW	MEDIUM
TRW	03L	SPEAR, LEEDS AND KELLOGG SPEC. LLC	LOW	MEDIUM
TS	06P	LABRANCHE AND CO.	MEDIUM	LOW
TSM	06G	LABRANCHE AND CO.	HIGH	MEDIUM
TSS	07L	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
UFS	08A	BARCLAYS CAPITAL	MEDIUM	LOW
UN	10R	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
UPL	04C	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
VAR	06LM	LABRANCHE AND CO.	MEDIUM	LOW
VCP	06O	LABRANCHE AND CO.	MEDIUM	LOW
VG	09I	KELLOGG CAPITAL MARKETS LLC	LOW	MEDIUM
VLY	04D	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
VMC	04V	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW
VRX	10N	BANC OF AMERICA SPECIALIST INC	MEDIUM	LOW
VSH	08E	BARCLAYS CAPITAL	MEDIUM	LOW
WMS	09A	KELLOGG CAPITAL MARKETS LLC	MEDIUM	LOW
WPI	06LM	LABRANCHE AND CO.	MEDIUM	LOW
WR	06S	LABRANCHE AND CO.	MEDIUM	LOW
WRB	06J	LABRANCHE AND CO.	MEDIUM	LOW
WRE	04S	SPEAR, LEEDS AND KELLOGG SPEC. LLC	MEDIUM	LOW