

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
8/29/2008		
BGE PRB	BGE CAPITAL TRUST II 6.20%	BANC OF AMERICA SPECIALIST INC
CBL PRC	CBL & ASSOCIATES PROPERTIES INC	BANC OF AMERICA SPECIALIST INC
CBL PRD	CBL & ASSOCIATES PROPERTIES INC	BANC OF AMERICA SPECIALIST INC
DKC	MS STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
DKW	MS STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
FCH PRA	FELCOR LODGING TR SER A CUM CV	BANC OF AMERICA SPECIALIST INC
FCH PRC	FELCOR LODGING TRUST	BANC OF AMERICA SPECIALIST INC
GE PRA	GENERAL ELECTRIC CAPITAL CORP	BANC OF AMERICA SPECIALIST INC
GJO	SYNTHETIC FIXED-INCOME	BANC OF AMERICA SPECIALIST INC
GRT PRF	GLIMCHER REALTY TRUST	BANC OF AMERICA SPECIALIST INC
GRT PRG	GLIMCHER REALTY TRUST	BANC OF AMERICA SPECIALIST INC
HJG	MS STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
HJN	MS STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
HYK	STRUCTURED PRODUCTS CORP	BANC OF AMERICA SPECIALIST INC
JBO	LEHMAN ABS CORP	BANC OF AMERICA SPECIALIST INC
JZH	LEHMAN ABS CORP	BANC OF AMERICA SPECIALIST INC
KVR	STRUCTURED PRODUCTS CORP	BANC OF AMERICA SPECIALIST INC
MJV	MSDW STRUCTURED ASSET	BANC OF AMERICA SPECIALIST INC
PYB	MERRILL LYNCH DEPOSITOR INC	BANC OF AMERICA SPECIALIST INC
PYC	MERRILL LYNCH DEPOSITOR INC	BANC OF AMERICA SPECIALIST INC
PYK	MERRILL LYNCH DEPOSITOR INC	BANC OF AMERICA SPECIALIST INC
TAI	TRANSAMERICA INCOME SHS	BANC OF AMERICA SPECIALIST INC
WRI PRD	WEINGARTEN REALTY INVESTORS	BANC OF AMERICA SPECIALIST INC
WRI PRE	WEINGARTEN RLTY INV 6.95% SER E	BANC OF AMERICA SPECIALIST INC
WRI PRF	WEINGARTEN REALTY INVESTORS PR F	BANC OF AMERICA SPECIALIST INC
BKT	BLACKROCK INCOME TRUST	BANC OF AMERICA SPECIALIST INC
GAB	GABELLI EQUITY TRUST	BANC OF AMERICA SPECIALIST INC
GAB PRD	THE GABELLI EQUITY TRUST INC	BANC OF AMERICA SPECIALIST INC
GAM	GENERAL AMERICAN INVS	BANC OF AMERICA SPECIALIST INC
GAM PRB	GENERAL AMERICAN INVESTORS CO	BANC OF AMERICA SPECIALIST INC
GEA	GENERAL ELECTRIC CAPITAL CORP	BANC OF AMERICA SPECIALIST INC
GEC	GENERAL ELECTRIC CAPITAL 6.10%	BANC OF AMERICA SPECIALIST INC
GED	GENERAL ELECTRIC CAPITAL CORP	BANC OF AMERICA SPECIALIST INC
GEG	GENERAL ELECTRIC CAPITAL CORP	BANC OF AMERICA SPECIALIST INC
GEJ	GENERAL ELECTRIC CAPITAL CORP	BANC OF AMERICA SPECIALIST INC
GEP	GENERAL ELECTRIC CAPITAL	BANC OF AMERICA SPECIALIST INC
GER	GENERAL ELECTRIC CAPITAL CORP	BANC OF AMERICA SPECIALIST INC
GJW	SYNTHETIC FIXED INC SECURITIES	BANC OF AMERICA SPECIALIST INC
GUT	GABELLI UTILITY TRUST	BANC OF AMERICA SPECIALIST INC
GUT PRA	GABELLI UTILITY TRUST (THE)	BANC OF AMERICA SPECIALIST INC
HYP	HIGH YIELD PLUS FUND	BANC OF AMERICA SPECIALIST INC
MFT	BLACKROCK MUNIYIELD FLA INSURED	BANC OF AMERICA SPECIALIST INC
MPV	MASSMUTUAL PARTICIPATION INV	BANC OF AMERICA SPECIALIST INC
MVC	MVC CAPITAL	BANC OF AMERICA SPECIALIST INC
MYF	BLACKROCK MUNIYIELD FLA FUND	BANC OF AMERICA SPECIALIST INC
MYN	BLACK ROCK MUNIYIELD NY INS FUND	BANC OF AMERICA SPECIALIST INC
NNP	NUVEEN N Y PERF PLUS MUNI FUND	BANC OF AMERICA SPECIALIST INC
NPT	NUVEEN PREM INCM MUNI FUND 4	BANC OF AMERICA SPECIALIST INC
NQI	NUVEEN INSURED QUALITY MUNI FD	BANC OF AMERICA SPECIALIST INC
OIA	MS MUNI INC OPP TR	BANC OF AMERICA SPECIALIST INC
PIA	MS MUNI PRE INC TR	BANC OF AMERICA SPECIALIST INC
ADF	ACM MANAGED DOLLAR INCOME FUND	BANC OF AMERICA SPECIALIST INC
DKP	MS STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
DNP	DNP SELECT INCOME FUND INC	BANC OF AMERICA SPECIALIST INC
GAB PRF	THE GABELLI EQUITY TRUST INC	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
GMT PR	GATX 2.50 CUM CV PFD	BANC OF AMERICA SPECIALIST INC
GRX	THE GABELLI HLTH CARE & WELLNESS	BANC OF AMERICA SPECIALIST INC
GYA	CORPORATE ASSET BACKED CORP	BANC OF AMERICA SPECIALIST INC
HQH	H & Q HEALTHCARE FUND	BANC OF AMERICA SPECIALIST INC
JBK	LEHMAN ABS CORP	BANC OF AMERICA SPECIALIST INC
JZS	LEHMAN ABS CORPORATION	BANC OF AMERICA SPECIALIST INC
KSM	DWS STRATEGIC MUNI INCM TS	BANC OF AMERICA SPECIALIST INC
KTF	DWS MUNICIPAL INCOME TRUST	BANC OF AMERICA SPECIALIST INC
NAZ	NUVEEN ARIZONA PREM INCM MUNI	BANC OF AMERICA SPECIALIST INC
NBD CL	NB CAPITAL DEPOSITARY SHARES	BANC OF AMERICA SPECIALIST INC
NMO	NUVEEN MUNI MKT OPP FUND	BANC OF AMERICA SPECIALIST INC
NQN	NUVEEN NY INV QUALITY MUNI FND	BANC OF AMERICA SPECIALIST INC
NUC	NUVEEN CA QUAL INCM MUNI FUND	BANC OF AMERICA SPECIALIST INC
NUV	NUVEEN MUNICIPAL VALUE FUND	BANC OF AMERICA SPECIALIST INC
NW PRC	NATWEST BANK ADS SERIES C	BANC OF AMERICA SPECIALIST INC
PAI	WESTERN ASSET INCOME FUND	BANC OF AMERICA SPECIALIST INC
RFI	COHEN & STEERS TTL RTN RLTY FD	BANC OF AMERICA SPECIALIST INC
RNP	COHEN & STEERS REIT & PRD FD	BANC OF AMERICA SPECIALIST INC
VCV	VAN KEMPEN AMER CAP CA VAL MUNI	BANC OF AMERICA SPECIALIST INC
VOQ	VAN KAMPEN AMER CAP OH QUAL MN	BANC OF AMERICA SPECIALIST INC
VPV	VAN KAMPEN AMER CAP PA VAL MUNI	BANC OF AMERICA SPECIALIST INC
VTJ	VAN KAMP AM CAP TST INV GRD NJ	BANC OF AMERICA SPECIALIST INC
CPB	CAMPBELL SOUPCAPITAL	BANC OF AMERICA SPECIALIST INC
DBD	DIEBOLD	BANC OF AMERICA SPECIALIST INC
EEP	ENBRIDGE ENERGY PARTNERS	BANC OF AMERICA SPECIALIST INC
EEQ	ENBRIDGE ENERGY MANAGEMENT LLC	BANC OF AMERICA SPECIALIST INC
GAJ	GRT ATLANTIC & PACIFIC TEA QUIBS	BANC OF AMERICA SPECIALIST INC
GAP	GREAT ATLANTIC & PACIFIC TEA	BANC OF AMERICA SPECIALIST INC
MGG	MAGELLAN MIDSTREAM	BANC OF AMERICA SPECIALIST INC
MMP	MAGELLAN MIDSTREAM PARTNERS LP	BANC OF AMERICA SPECIALIST INC
OLN	OLIN	BANC OF AMERICA SPECIALIST INC
RX	IMS HEALTH	BANC OF AMERICA SPECIALIST INC
SJM	J M SMUCKER WI	BANC OF AMERICA SPECIALIST INC
SRT	STARTEK	BANC OF AMERICA SPECIALIST INC
SXI	STANDEX INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
TG	TREDEGAR	BANC OF AMERICA SPECIALIST INC
TRR	TRC COMPANIES	BANC OF AMERICA SPECIALIST INC
TRU	TORCH ENERGY ROYALTY TRUST	BANC OF AMERICA SPECIALIST INC
TSS	TOTAL SYSTEM SERVICES	BANC OF AMERICA SPECIALIST INC
BWP	BOARDWALK PIPELINE PARTNERS	BANC OF AMERICA SPECIALIST INC
CRL	CHARLES RIVER LAB	BANC OF AMERICA SPECIALIST INC
FST	FOREST OIL	BANC OF AMERICA SPECIALIST INC
HP	HELMERICH & PAYNE	BANC OF AMERICA SPECIALIST INC
MCD	MCDONALDS	BANC OF AMERICA SPECIALIST INC
MGM	MGM MIRAGE	BANC OF AMERICA SPECIALIST INC
NCC	NATIONAL CITY	BANC OF AMERICA SPECIALIST INC
NCC PRA	NATIONAL CITY CAPITAL TRUST II	BANC OF AMERICA SPECIALIST INC
NCC PRB	NATIONAL CITY CAPITAL TRUST III	BANC OF AMERICA SPECIALIST INC
NCC PRC	NATIONAL CITY CAPITAL TRUST IV	BANC OF AMERICA SPECIALIST INC
NCC PRF	NATIONAL CITY CORPORATION	BANC OF AMERICA SPECIALIST INC
AFL	AFLAC	BANC OF AMERICA SPECIALIST INC
GE	GENERAL ELECTRIC	BANC OF AMERICA SPECIALIST INC
GLS	GENESIS LEASE LIMITED	BANC OF AMERICA SPECIALIST INC
JCI	JOHNSON CONTROLS	BANC OF AMERICA SPECIALIST INC
OB	ONEBEACON INSURANCE GROUP LTD	BANC OF AMERICA SPECIALIST INC
RTI	RTI INTERNATIONAL METALS	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
WMB	WILLIAMS DELAWARE	BANC OF AMERICA SPECIALIST INC
WMZ	WILLIAMS PIPELINE PARTNERS LP	BANC OF AMERICA SPECIALIST INC
WPZ	WILLIAMS PARTNERS L.P.	BANC OF AMERICA SPECIALIST INC
WTU	WILLIAMS COAL SEAM GAS RTY TST	BANC OF AMERICA SPECIALIST INC
ZAP	ZAPATA	BANC OF AMERICA SPECIALIST INC
AF	ASTORIA FINANCIAL \$0.01	BANC OF AMERICA SPECIALIST INC
AVR	AVENTINE RENEWABLE ENERGY	BANC OF AMERICA SPECIALIST INC
BZ	BOISE INC	BANC OF AMERICA SPECIALIST INC
BZ WS	BOISE INC WS	BANC OF AMERICA SPECIALIST INC
CLX	CLOROX	BANC OF AMERICA SPECIALIST INC
JNJ	JOHNSON & JOHNSON	BANC OF AMERICA SPECIALIST INC
JNS	JANUS CAPITAL GROUP INC	BANC OF AMERICA SPECIALIST INC
M	MACY'S INC	BANC OF AMERICA SPECIALIST INC
MFG	MIZUHO FINANCIAL GROUP INC	BANC OF AMERICA SPECIALIST INC
PCS	METROPCS COMMUNICATIONS, INC.	BANC OF AMERICA SPECIALIST INC
STL	STERLING BANCORP	BANC OF AMERICA SPECIALIST INC
STL PRA	STERLING BANCORP TRUST I	BANC OF AMERICA SPECIALIST INC
TVL	LIN TV CORP	BANC OF AMERICA SPECIALIST INC
CPE	CALLON PETROLEUM	BANC OF AMERICA SPECIALIST INC
CRT	CROSS TIMBERS ROYALTY TRUST	BANC OF AMERICA SPECIALIST INC
CSA	COGDELL SPENCER INC	BANC OF AMERICA SPECIALIST INC
GS	GOLDMAN SACHS GROUP	BANC OF AMERICA SPECIALIST INC
HES	HESS CORP	BANC OF AMERICA SPECIALIST INC
RAI	REYNOLDS AMERICAN INC	BANC OF AMERICA SPECIALIST INC
WCC	WESCO INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
AXR	AMREP	BANC OF AMERICA SPECIALIST INC
BBT	BB&T	BANC OF AMERICA SPECIALIST INC
BG	BUNGE LIMITED	BANC OF AMERICA SPECIALIST INC
EGN	ENERGEN	BANC OF AMERICA SPECIALIST INC
ENS	ENERSYS	BANC OF AMERICA SPECIALIST INC
OXM	OXFORD INDUSTRIES	BANC OF AMERICA SPECIALIST INC
PSD	PUGET ENERGY	BANC OF AMERICA SPECIALIST INC
UNS	UNISOURCE ENERGY HOLDING COMPANY	BANC OF AMERICA SPECIALIST INC
UTF	COHEN & STEERS SELECT UTILITY	BANC OF AMERICA SPECIALIST INC
WLT	WALTER INDUSTRIES	BANC OF AMERICA SPECIALIST INC
ABK	AMBAC FINANCIAL GROUP	BANC OF AMERICA SPECIALIST INC
ABK PRZ	AMBAC FINANCIAL GROUP INC	BANC OF AMERICA SPECIALIST INC
BKS	BARNES & NOBLE	BANC OF AMERICA SPECIALIST INC
DE	DEERE & COMPANY	BANC OF AMERICA SPECIALIST INC
INT	WORLD FUEL SERVICES	BANC OF AMERICA SPECIALIST INC
NEU	NEWMARKET	BANC OF AMERICA SPECIALIST INC
PCU	SOUTHERN COPPER	BANC OF AMERICA SPECIALIST INC
X	UNITED STATES STEEL CORPORATION	BANC OF AMERICA SPECIALIST INC
BAM	BROOKFIELD ASSET MANAGEMENT	BANC OF AMERICA SPECIALIST INC
BIP	BROOKFIELD INFRA STR PARTNERS LP	BANC OF AMERICA SPECIALIST INC
BPO	BROOKFIELD PROP	BANC OF AMERICA SPECIALIST INC
CEE	CENTRAL EUROPE & RUSSIA FUND	BANC OF AMERICA SPECIALIST INC
CRP	CREDIT SUISSE 7.9%	BANC OF AMERICA SPECIALIST INC
CS	CREDIT SUISSE GROUP	BANC OF AMERICA SPECIALIST INC
DCE	DEUTSCHE BANK CAPITAL FUNDING	BANC OF AMERICA SPECIALIST INC
DKT	DEUTSCHE BANK CONTINGENT CAP TST	BANC OF AMERICA SPECIALIST INC
DTK	DEUTSCHE BANK CONTINGENT CAP TST	BANC OF AMERICA SPECIALIST INC
DTT	DEUTSCHE BK CAP FD NG TR IX 6.62	BANC OF AMERICA SPECIALIST INC
DUA	DEUTSCHE BANK CAPITAL FUNDING TR	BANC OF AMERICA SPECIALIST INC
DXB	DEUTSCHE BANK CONTIGENT CAP TST	BANC OF AMERICA SPECIALIST INC
GOL	GOL LINHAS AEREAS INTELIGENTES	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PDS	PRECISION DRILLING TRUST	BANC OF AMERICA SPECIALIST INC
RDS A	ROYAL DUTCH SHELL PLC	BANC OF AMERICA SPECIALIST INC
RDS B	ROYAL DUTCH SHELL PLC	BANC OF AMERICA SPECIALIST INC
SDA	SADIA S.A.	BANC OF AMERICA SPECIALIST INC
TDF	TEMPLETON DRAGON FUND	BANC OF AMERICA SPECIALIST INC
UN	UNILEVER NV	BANC OF AMERICA SPECIALIST INC
WBK	WESTPAC BANKING STRYPES	BANC OF AMERICA SPECIALIST INC
AXA	AXA	BANC OF AMERICA SPECIALIST INC
BHS	BROOKFIELD HOMES CORPORATION	BANC OF AMERICA SPECIALIST INC
CBD	BRASILEIRA DE DISTRIBUICAU	BANC OF AMERICA SPECIALIST INC
CPA	COPA HOLDINGS S A	BANC OF AMERICA SPECIALIST INC
EOC	EMPRESA NACIONAL DE ELECTRICIDAD	BANC OF AMERICA SPECIALIST INC
FMX	FOMENTO ECONOMICO MEXICANO	BANC OF AMERICA SPECIALIST INC
FTE	FRANCE TELECOM ADS RP 1 ORD FF	BANC OF AMERICA SPECIALIST INC
HDB	HDFC BANK LMT	BANC OF AMERICA SPECIALIST INC
KOF	COCA-COLA FEMSA, S.A.B. DE C.V.	BANC OF AMERICA SPECIALIST INC
NXY	NEXEN	BANC OF AMERICA SPECIALIST INC
NXY PRB	NEXEN INC	BANC OF AMERICA SPECIALIST INC
NZT	TELECOM CORP NEW ZEALAND 16 SHRS	BANC OF AMERICA SPECIALIST INC
PUK	PRUDENTIAL PLC	BANC OF AMERICA SPECIALIST INC
PUK PR	PRUDENTIAL PUBLIC LIMITED CO	BANC OF AMERICA SPECIALIST INC
PUK PRA	PRUDRNTIAL PUBLIC LIMITED CO	BANC OF AMERICA SPECIALIST INC
RDY	DR. REDDY'S LAB LMT	BANC OF AMERICA SPECIALIST INC
SAY	SATYAM COMPUTER	BANC OF AMERICA SPECIALIST INC
TDK	TDK AMER DEP SH	BANC OF AMERICA SPECIALIST INC
UBB	UNIBC UNIAO DE BANCOS BRASIELROS	BANC OF AMERICA SPECIALIST INC
YPF	YPF SOCIEDAD ANONIMA	BANC OF AMERICA SPECIALIST INC
AIB	ALLIED IRISH BANKS PUB	BANC OF AMERICA SPECIALIST INC
APF	MORGAN STANLEY ASIA PACIFIC FUND	BANC OF AMERICA SPECIALIST INC
AWC	ALUMINA	BANC OF AMERICA SPECIALIST INC
BSI	BLUE SQUARE ISRAEL	BANC OF AMERICA SPECIALIST INC
DB	DEUTSCHE BANK AKTIENGESELLSCHAFT	BANC OF AMERICA SPECIALIST INC
IHG	INTERCONTINENTAL HOTELS	BANC OF AMERICA SPECIALIST INC
IIT	PT INDOSAT TBK	BANC OF AMERICA SPECIALIST INC
MBT	MOBILE TELESYSTEMS	BANC OF AMERICA SPECIALIST INC
MC	MATSUSHITA ELEC INDL	BANC OF AMERICA SPECIALIST INC
SGF	SINGAPORE FUND	BANC OF AMERICA SPECIALIST INC
SQM	SOC QUIMICA Y MINERA DE CHILE	BANC OF AMERICA SPECIALIST INC
STO	STATOILHYDRO ASA	BANC OF AMERICA SPECIALIST INC
TGS	TRANSPORTADORA DE GAS DEL SUR	BANC OF AMERICA SPECIALIST INC
TKS	TOMKINS	BANC OF AMERICA SPECIALIST INC
TLM	TALISMAN ENERGY	BANC OF AMERICA SPECIALIST INC
UGP	U LTRAPAR PARTICIPACOES	BANC OF AMERICA SPECIALIST INC
VTO	VITRO SOCIEDAD ANOINIMA	BANC OF AMERICA SPECIALIST INC
AEL	AMERICAN EQUITY INV LIFE HLDG	BANC OF AMERICA SPECIALIST INC
AFC	ALLIED CAPITAL CORP 6.875% NOTES	BANC OF AMERICA SPECIALIST INC
ALD	ALLIED CAPITAL CORP	BANC OF AMERICA SPECIALIST INC
AVT	AVNET	BANC OF AMERICA SPECIALIST INC
AXS	AXIS CAPITAL HOLDINGS LIMITED	BANC OF AMERICA SPECIALIST INC
AXS PRA	AXIS CAPITAL HOLDINGS LIMITED	BANC OF AMERICA SPECIALIST INC
CEG	CONSTELLATION ENERGY GP HLDG CO	BANC OF AMERICA SPECIALIST INC
CEG PRA	CONSTELLATION ENGY GP INC 8.625%	BANC OF AMERICA SPECIALIST INC
ETH	ETHAN ALLEN INTERIORS	BANC OF AMERICA SPECIALIST INC
HEP	HOLLY ENERGY PARTNERS	BANC OF AMERICA SPECIALIST INC
HOC	HOLLY CORP	BANC OF AMERICA SPECIALIST INC
HS	HEALTHSPRING	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
IN	INTERMEC	BANC OF AMERICA SPECIALIST INC
PFG	PRINCIPAL FINANCIAL GROUP	BANC OF AMERICA SPECIALIST INC
PFG PRB	PRINCIPAL FINANCIAL GROUP	BANC OF AMERICA SPECIALIST INC
WDR	WADDELL & REED FINANCIAL	BANC OF AMERICA SPECIALIST INC
WFT	WEATHERFORD INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
WOR	WORTHINGTON INDUSTRIES	BANC OF AMERICA SPECIALIST INC
WY	WEYERHAEUSER	BANC OF AMERICA SPECIALIST INC
AB	ALLIANCE BERNSTEIN HOLDING L P	BANC OF AMERICA SPECIALIST INC
CL	COLGATE PALMOLIVE	BANC OF AMERICA SPECIALIST INC
ENH	ENDURANCE SPECIALTY HOLDINGS LTD	BANC OF AMERICA SPECIALIST INC
ENH PRA	ENDURANCE SPECIALTY HOLDINGS LTD	BANC OF AMERICA SPECIALIST INC
GCI	GANNETT	BANC OF AMERICA SPECIALIST INC
LPX	LOUISIANA PACIFIC	BANC OF AMERICA SPECIALIST INC
MNI	MCCLATCHY	BANC OF AMERICA SPECIALIST INC
MWA	MUELLER WATER PRODUCTS	BANC OF AMERICA SPECIALIST INC
MWA B	MUELLER WATER PRODUCTS INC SER B	BANC OF AMERICA SPECIALIST INC
PMB PR	PREMIER CAP TR I TR PR	BANC OF AMERICA SPECIALIST INC
PTP	PLATINUM UNDERWRITERS HOLDINGS	BANC OF AMERICA SPECIALIST INC
PTP PRA	PLATINUM UNDERWRITERS HOLDINGS	BANC OF AMERICA SPECIALIST INC
RDC	ROWAN COMPANIES	BANC OF AMERICA SPECIALIST INC
TBL	TIMBERLAND	BANC OF AMERICA SPECIALIST INC
CCT	COMCAST	BANC OF AMERICA SPECIALIST INC
CVB	LEHMAN ABS CORPORATION	BANC OF AMERICA SPECIALIST INC
DHM	STRUCTURED OBLIGATIONS CORP	BANC OF AMERICA SPECIALIST INC
DKY	MS STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
GBM	GENERAL MOTORS CORPORATION	BANC OF AMERICA SPECIALIST INC
GJD	SYNTHETIC FIXED - INCOME	BANC OF AMERICA SPECIALIST INC
GJK	SYNTHETIC FIXED-INCOME SEC INC	BANC OF AMERICA SPECIALIST INC
GPM	GENERAL MOTORS CORPORATION	BANC OF AMERICA SPECIALIST INC
GS PRA	GOLDMAN SACHS GROUP	BANC OF AMERICA SPECIALIST INC
GS PRB	GOLDMAN SACHS GROUP	BANC OF AMERICA SPECIALIST INC
GS PRC	GOLDMAN SACHS GROUP	BANC OF AMERICA SPECIALIST INC
GS PRD	GOLDMAN SACHS GROUP	BANC OF AMERICA SPECIALIST INC
GXM	GENERAL MOTORS CORPORATION	BANC OF AMERICA SPECIALIST INC
GYB	CORPORATE ASSET BACKED CORP	BANC OF AMERICA SPECIALIST INC
HJJ	MS STRUCTURED ASSET	BANC OF AMERICA SPECIALIST INC
HYH	STRUCTURED PRODUCTS CORP	BANC OF AMERICA SPECIALIST INC
HYL	STRUCTURED PRODUCTS CORP	BANC OF AMERICA SPECIALIST INC
JZC	LEHMAN ABS CORP	BANC OF AMERICA SPECIALIST INC
MJY	MSDW STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
PJT	MERRILL LYNCH DEPOSITOR INC	BANC OF AMERICA SPECIALIST INC
PYE	MERRILL LYNCH DEPOSITOR INC	BANC OF AMERICA SPECIALIST INC
PYG	MERRILL LYNCH DEPOSITOR PPLUS	BANC OF AMERICA SPECIALIST INC
PYV	MERRILL LYNCH DEPOSITOR INC	BANC OF AMERICA SPECIALIST INC
TDA	TELEPHONE AND DATA SYSTEMS	BANC OF AMERICA SPECIALIST INC
TDI	TELEPHONE AND DATA SYSTEMS	BANC OF AMERICA SPECIALIST INC
UZG	UNITED STATES CELLULAR CORP	BANC OF AMERICA SPECIALIST INC
UZV	UNITED STATES CELLULAR CORP	BANC OF AMERICA SPECIALIST INC
AOI	ALLIANCE ONE INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
BSC PRX	BEAR STEARNS CAPITAL	BANC OF AMERICA SPECIALIST INC
DKI	MS STRUCTURED ASSET SAT 8.625%	BANC OF AMERICA SPECIALIST INC
GJJ	SYNTHETIC FIXED-INCOME SEC INC	BANC OF AMERICA SPECIALIST INC
GJM	GMAC LLC	BANC OF AMERICA SPECIALIST INC
GJN	SYNTHETIC FIXED-INCOME SEC	BANC OF AMERICA SPECIALIST INC
GKM	GMAC LLC	BANC OF AMERICA SPECIALIST INC
GMA	GMAC LLC 7.30% PINES	BANC OF AMERICA SPECIALIST INC

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GMS	GENERAL MOTORS CORP	BANC OF AMERICA SPECIALIST INC
GMW	GENERAL MOTORS	BANC OF AMERICA SPECIALIST INC
GOM	GMAC LLC	BANC OF AMERICA SPECIALIST INC
GRM	GENERAL MOTORS CORP SER D	BANC OF AMERICA SPECIALIST INC
HGM	GENERAL MOTORS CORPORATION	BANC OF AMERICA SPECIALIST INC
HJL	MS STRUCTURED ASSET 6.00%	BANC OF AMERICA SPECIALIST INC
JPM PRE	JPMORGAN CHASE & CO SER E	BANC OF AMERICA SPECIALIST INC
JPM PRF	JPMORGAN CHASE & CO SER F	BANC OF AMERICA SPECIALIST INC
JPM PRG	JPMORGAN CHASE & CO SER G	BANC OF AMERICA SPECIALIST INC
JZK	LEHMAN ABS CORP	BANC OF AMERICA SPECIALIST INC
MJT	MSDW STRUCTURED ASSET CORP	BANC OF AMERICA SPECIALIST INC
ONE PRW	BANK ONE CAPITAL VI	BANC OF AMERICA SPECIALIST INC
RGM	GENERAL MOTORS CORPORATION	BANC OF AMERICA SPECIALIST INC
VNO PRE	VORNADO REALTY TRUST	BANC OF AMERICA SPECIALIST INC
VNO PRF	VORNADO REALTY TRUST	BANC OF AMERICA SPECIALIST INC
VNO PRG	VORNADO REALTY TRUST	BANC OF AMERICA SPECIALIST INC
VNO PRH	VORNADO REALTY TRUST	BANC OF AMERICA SPECIALIST INC
VNO PRI	VORNADO REALTY TRUST 6.625%	BANC OF AMERICA SPECIALIST INC
XGM	GENERAL MOTORS CORP	BANC OF AMERICA SPECIALIST INC
JPM PRJ	J P MORGAN CHASE CAPITAL X	BANC OF AMERICA SPECIALIST INC
JPM PRK	J.P. MORGAN CHASE CAPITAL XI	BANC OF AMERICA SPECIALIST INC
JPM PRP	JPMORGAN CHASE CAPITAL XVI	BANC OF AMERICA SPECIALIST INC
JPM PRS	JP MORGAN CHASE CAPITAL XIX	BANC OF AMERICA SPECIALIST INC
JPM PRW	JPMORGAN CHASE CAPITAL XXIV	BANC OF AMERICA SPECIALIST INC
JPM PRX	J P MORGAN CHASE CAPITAL XII	BANC OF AMERICA SPECIALIST INC
JPM PRY	JPMORGAN CHASE CAPITAL XIV	BANC OF AMERICA SPECIALIST INC
JPM PRZ	JPMORGAN CHASE CAPITAL XXVI	BANC OF AMERICA SPECIALIST INC
PSA A	PUBLIE STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRA	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRB	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRC	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRD	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRE	PUBLIC SPORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRF	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRG	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRH	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRI	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRK	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRL	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRM	PUBLIC STORAGE 6.625% SER E	BANC OF AMERICA SPECIALIST INC
PSA PRN	PUBLIC STORAGE 7% SER N	BANC OF AMERICA SPECIALIST INC
PSA PRV	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRW	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRX	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
PSA PRZ	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
ABI	APPLIED BIOSYSTEMS INC	BANC OF AMERICA SPECIALIST INC
AN	AUTONATION	BANC OF AMERICA SPECIALIST INC
AWR	AMERICAN STATES WATER COMPANY	BANC OF AMERICA SPECIALIST INC
BTH	BLYTH INC	BANC OF AMERICA SPECIALIST INC
CKR	CKE RESTAURANTS	BANC OF AMERICA SPECIALIST INC
GB	GREATBATCH INC	BANC OF AMERICA SPECIALIST INC
GMT	GATX	BANC OF AMERICA SPECIALIST INC
GTI	GRAFTECH INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
JNY	JONES APPAREL GROUP	BANC OF AMERICA SPECIALIST INC
MDS	MIDAS	BANC OF AMERICA SPECIALIST INC
NPK	NATIONAL PRESTO INDS	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
SBR	SABINE ROYALTY TR UT BI	BANC OF AMERICA SPECIALIST INC
TRC	TEJON RANCH	BANC OF AMERICA SPECIALIST INC
APU	AMERIGAS PARTNERS	BANC OF AMERICA SPECIALIST INC
ARJ	ARCH CHEMICALS	BANC OF AMERICA SPECIALIST INC
BEN	FRANKLIN RESOURCES	BANC OF AMERICA SPECIALIST INC
COP	CONOCO PHILLIPS	BANC OF AMERICA SPECIALIST INC
GME	GAMESTOP CORP	BANC OF AMERICA SPECIALIST INC
MON	MONSANTO CO	BANC OF AMERICA SPECIALIST INC
NX	QUANEX BUILDING PRODUCTS CORP	BANC OF AMERICA SPECIALIST INC
OII	OCEANEERING INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
OMC	OMNICOM GROUP	BANC OF AMERICA SPECIALIST INC
CNW	CON-WAY	BANC OF AMERICA SPECIALIST INC
ENR	ENERGIZER HOLDINGS	BANC OF AMERICA SPECIALIST INC
KO	COCA-COLA	BANC OF AMERICA SPECIALIST INC
MRO	MARATHON OIL CORPORATION	BANC OF AMERICA SPECIALIST INC
NOV	NATIONAL OILWELL VARCO, INC	BANC OF AMERICA SPECIALIST INC
NWY	NEW YORK \$0.01	BANC OF AMERICA SPECIALIST INC
XTO	XTO ENERGY INC	BANC OF AMERICA SPECIALIST INC
AES	AES	BANC OF AMERICA SPECIALIST INC
AES PRC	AES TRUST III PRC	BANC OF AMERICA SPECIALIST INC
ATG	AGL RESOURCES	BANC OF AMERICA SPECIALIST INC
CNP	CENTERPOINT ENERGY INC	BANC OF AMERICA SPECIALIST INC
DPL	DPL HOLDING CO	BANC OF AMERICA SPECIALIST INC
GNW	GENWORTH FINANCIAL INC	BANC OF AMERICA SPECIALIST INC
ITC	ITC HOLDINGS CORP	BANC OF AMERICA SPECIALIST INC
LUV	SOUTHWEST AIRLINES	BANC OF AMERICA SPECIALIST INC
NST	NSTAR	BANC OF AMERICA SPECIALIST INC
NWE	NORTHWESTERN CORPORATION	BANC OF AMERICA SPECIALIST INC
OKE	ONEOK	BANC OF AMERICA SPECIALIST INC
PCG	PG&E HOLDING COMPANY	BANC OF AMERICA SPECIALIST INC
POR	PORTLAND GENERAL ELECTRIC	BANC OF AMERICA SPECIALIST INC
RRI	RELIANT ENERGY INC	BANC OF AMERICA SPECIALIST INC
S	SPRINT NEXTEL	BANC OF AMERICA SPECIALIST INC
WEC	WISCONSIN ENERGY	BANC OF AMERICA SPECIALIST INC
ABA	ALABAMA POWER CO	BEAR WAGNER SPECIALISTS, LLC
ABR	ARBOR REALTY TRUST INC	BEAR WAGNER SPECIALISTS, LLC
ABW PRA	ASBC CAPITAL I	BEAR WAGNER SPECIALISTS, LLC
ACC	AMERICAN CAMPUS COMMUNITIES	BEAR WAGNER SPECIALISTS, LLC
AIV	APARTMENT INVESTMENT & MGMT	BEAR WAGNER SPECIALISTS, LLC
AIV PRG	APARTMENT INV & MGNT 9.375%	BEAR WAGNER SPECIALISTS, LLC
AIV PRT	APARTMENT INVESTMENT & MGMT	BEAR WAGNER SPECIALISTS, LLC
AIV PRU	APARTMENT INVESTMENT AND MGT	BEAR WAGNER SPECIALISTS, LLC
AIV PRV	APARTMENT INVESTMENT AND MGT	BEAR WAGNER SPECIALISTS, LLC
AIV PRY	APARTMENT INVESTMENT	BEAR WAGNER SPECIALISTS, LLC
ALF	ALABAMA POWER CO	BEAR WAGNER SPECIALISTS, LLC
ALM	AL PWR CO SER 2007B 5.875% SENIO	BEAR WAGNER SPECIALISTS, LLC
ALP PRN	ALABAMA POWER 5.20% CLASS A	BEAR WAGNER SPECIALISTS, LLC
ALP PRO	ALABAMA POWER 5.83% CLASS A	BEAR WAGNER SPECIALISTS, LLC
ALP PRP	ALABAMA POWER CO	BEAR WAGNER SPECIALISTS, LLC
ALQ	ALABAMA POWER	BEAR WAGNER SPECIALISTS, LLC
ALZ	ALABAMA POWER	BEAR WAGNER SPECIALISTS, LLC
AMB	AMB PROPERTY	BEAR WAGNER SPECIALISTS, LLC
AMB PRL	AMB PROPERTY CORP	BEAR WAGNER SPECIALISTS, LLC
AMB PRM	AMB PROPERTY CORP 6 3/4%	BEAR WAGNER SPECIALISTS, LLC
AMB PRO	AMB PROPERTY CORP	BEAR WAGNER SPECIALISTS, LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
AMB PRP	AMB PROPERTY CORP 6.85% SERIES P	BEAR WAGNER SPECIALISTS, LLC
BCF	BLACK ROCK REAL ASSET EQUITY	BEAR WAGNER SPECIALISTS, LLC
BDF	RIVUS BOND FUND	BEAR WAGNER SPECIALISTS, LLC
BFK	BLACKROCK MUNICIPAL INCOME TRUST	BEAR WAGNER SPECIALISTS, LLC
BFO	BLACKROCK FLORIDA MUNI 2020 TERM	BEAR WAGNER SPECIALISTS, LLC
BGT	BLACKROCK GLOBAL FLOATING RATE	BEAR WAGNER SPECIALISTS, LLC
BK PRE	BNY CAPITAL IV TRUST PR SEC	BEAR WAGNER SPECIALISTS, LLC
BK PRF	BNY CAPITAL V	BEAR WAGNER SPECIALISTS, LLC
BKK	BLACKROCK MUNICIPAL 2020 TERM TR	BEAR WAGNER SPECIALISTS, LLC
BMR	BIOMED REALTY TRUST INC	BEAR WAGNER SPECIALISTS, LLC
BMR PRA	BIOMED REALTY TRUST, INC. PR A	BEAR WAGNER SPECIALISTS, LLC
BSP	AMER STRAT INCOME PORTFOLIO II	BEAR WAGNER SPECIALISTS, LLC
BXS PRA	BANCORPSOUTH CAPITAL TRUST I	BEAR WAGNER SPECIALISTS, LLC
C PRE	CITIGROUP CAPITAL XVII	BEAR WAGNER SPECIALISTS, LLC
C PRF	CITIGRP CAP XIX 7.250% ENHANCED	BEAR WAGNER SPECIALISTS, LLC
C PRG	CITIGROUP CAPITAL XX 7.875%	BEAR WAGNER SPECIALISTS, LLC
C PRI	CITIGROUP INC	BEAR WAGNER SPECIALISTS, LLC
C PRM	CITIGRP INC 8.50% SER F	BEAR WAGNER SPECIALISTS, LLC
C PRO	CITIGROUP CAPITAL XIV 6.875%	BEAR WAGNER SPECIALISTS, LLC
C PRP	CITIGROUP INC	BEAR WAGNER SPECIALISTS, LLC
C PRQ	CITIGROUP CAPITAL XI	BEAR WAGNER SPECIALISTS, LLC
C PRR	CITIGROUP CAPITAL X	BEAR WAGNER SPECIALISTS, LLC
C PRS	CITIGROUP CAPITAL IX	BEAR WAGNER SPECIALISTS, LLC
C PRU	CITIGROUP CAPITAL XV 6.50%	BEAR WAGNER SPECIALISTS, LLC
C PRV	CITIGROUP CAPITAL VII	BEAR WAGNER SPECIALISTS, LLC
C PRW	CITIGROUP CAPITAL XVI 6.45%	BEAR WAGNER SPECIALISTS, LLC
C PRZ	CITIGROUP CAPITAL VIII	BEAR WAGNER SPECIALISTS, LLC
CBF	CBRE REALTY FINANCE INC	BEAR WAGNER SPECIALISTS, LLC
CCP PRA	CHEVY CHASE PFD CAP NON CUM A	BEAR WAGNER SPECIALISTS, LLC
CCX PRC	CHEVY CHASE BANK F.S.B.	BEAR WAGNER SPECIALISTS, LLC
CFC PRA	COUNTRYWIDE CAPITAL IV	BEAR WAGNER SPECIALISTS, LLC
CFC PRB	COUNTRYWIDE CAPITAL V	BEAR WAGNER SPECIALISTS, LLC
CMO	CAPSTEAD MORTGAGE	BEAR WAGNER SPECIALISTS, LLC
CMO PRA	CAPSTEAD MORTGAGE CUM 1.60	BEAR WAGNER SPECIALISTS, LLC
CMO PRB	CAPSTEAD MORTGAGE CUM CV PFD	BEAR WAGNER SPECIALISTS, LLC
CPP	MERRILL LYNCH DEPOSITOR	BEAR WAGNER SPECIALISTS, LLC
CSP	AMERICAN STRATEGIC INCM III	BEAR WAGNER SPECIALISTS, LLC
CWZ	LEHMAN ABS CORP	BEAR WAGNER SPECIALISTS, LLC
CYE	BLACKROCK CORP HIGH YIELD FUND	BEAR WAGNER SPECIALISTS, LLC
DDF	DELAWARE INV DIVIDEND & FD	BEAR WAGNER SPECIALISTS, LLC
DFP	DELPHI FIN GP INC	BEAR WAGNER SPECIALISTS, LLC
DFY	DELPHI FINANCIAL GROUP INC	BEAR WAGNER SPECIALISTS, LLC
DKK	MS STRUCTURED ASSET CORP	BEAR WAGNER SPECIALISTS, LLC
DKR	MS STRUCTURED ASSET CORP	BEAR WAGNER SPECIALISTS, LLC
DNY	THE DENALI FD INC	BEAR WAGNER SPECIALISTS, LLC
DSF	DEFINED STRATEGY FUND INC	BEAR WAGNER SPECIALISTS, LLC
DSU	BLACKROCK DEBT STRATEGY FUND	BEAR WAGNER SPECIALISTS, LLC
DVM	COHEN & STRS DIVIDEND MAJORS FD	BEAR WAGNER SPECIALISTS, LLC
ED PRA	CONSOLIDATED ED 5 CUM PFD	BEAR WAGNER SPECIALISTS, LLC
EDD	MORGAN STANLEY EMERGING MKTS	BEAR WAGNER SPECIALISTS, LLC
EDE PRD	EMPIRE DISTRICT ELEC TR I 8 1/2%	BEAR WAGNER SPECIALISTS, LLC
EFL	WESTERN ASSET EMERGING MARKETS	BEAR WAGNER SPECIALISTS, LLC
EMD	WESTERN ASSET EMERGING MARKETS	BEAR WAGNER SPECIALISTS, LLC
EOE	EATON VANCE CREDIT OPPORTUNITIES	BEAR WAGNER SPECIALISTS, LLC
ESD	WESTERN ASSET EMERGING MARKETS	BEAR WAGNER SPECIALISTS, LLC
ETY	EATON VANCE TAX MANAGED DIVERSI	BEAR WAGNER SPECIALISTS, LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
EXG	EATON VANCE TAX-MANAGED FD	BEAR WAGNER SPECIALISTS, LLC
FAM	FRT TRUST/ABERDEEN GBL OPP FD	BEAR WAGNER SPECIALISTS, LLC
FAV	FIRST TRUST ACTIVE DIVIDEND INCO	BEAR WAGNER SPECIALISTS, LLC
FCT	FRT TRUST/FOUR CORNERS SENIOR FD	BEAR WAGNER SPECIALISTS, LLC
FFC	FLAHERTY & CRUMRINE/CLAYMORE PRD	BEAR WAGNER SPECIALISTS, LLC
FHO	FIRST TRUST STRATEGIC HIGH	BEAR WAGNER SPECIALISTS, LLC
FOF	COHEN & STEERS CLOSED-END OPP FD	BEAR WAGNER SPECIALISTS, LLC
FPC PRA	FPC CAPITAL I QUIPS	BEAR WAGNER SPECIALISTS, LLC
FRB	BLACKROCK FLOATING RATE INCOME	BEAR WAGNER SPECIALISTS, LLC
GAH	GEORGIA POWER CO	BEAR WAGNER SPECIALISTS, LLC
GAR	GA PWR CO SER 2007D 6.375%	BEAR WAGNER SPECIALISTS, LLC
GFY	WESTERN ASSET VARIABLE RATE	BEAR WAGNER SPECIALISTS, LLC
GGT	GABELLI GLOBAL MULTIMEDIA TRUST	BEAR WAGNER SPECIALISTS, LLC
GGT PRB	GABELLE GLOBAL MULTIMEDIA TRUST	BEAR WAGNER SPECIALISTS, LLC
GJE	SYNTHETIC FIXED-INCOME SEC	BEAR WAGNER SPECIALISTS, LLC
GJP	SYNTHETIC FIXED-INCOME STRATS	BEAR WAGNER SPECIALISTS, LLC
GJR	SYNTHETIC FIXED INCOME SEC	BEAR WAGNER SPECIALISTS, LLC
GJX	SYNTHETIC FIXED INCOME SEC INC	BEAR WAGNER SPECIALISTS, LLC
GPD	GEORGIA POWER SERIES O 5.90%	BEAR WAGNER SPECIALISTS, LLC
GPE PRA	GEORGIA POWER CO	BEAR WAGNER SPECIALISTS, LLC
GPE PRX	GEORGIA POWER CAPITAL TRUST VII	BEAR WAGNER SPECIALISTS, LLC
GPJ	GEORGIA POWER COMPANY	BEAR WAGNER SPECIALISTS, LLC
GPU	GEORGIA POWER SERIES W 6%	BEAR WAGNER SPECIALISTS, LLC
GPW	GEORGIA POWER CO	BEAR WAGNER SPECIALISTS, LLC
GRE	S&P 500 GEARED FUND INC	BEAR WAGNER SPECIALISTS, LLC
GUI	GULF POWER COMPANY	BEAR WAGNER SPECIALISTS, LLC
GUL	GULF POWER COMPANY	BEAR WAGNER SPECIALISTS, LLC
GUQ	GULF POWER COMPANY 5.875%	BEAR WAGNER SPECIALISTS, LLC
HCN PRD	HEALTH CARE REIT 7 7/8% SERIES D	BEAR WAGNER SPECIALISTS, LLC
HCN PRF	HEALTH CARE REIT INC SER F	BEAR WAGNER SPECIALISTS, LLC
HCN PRG	HEALTH CARE REIT INC 7.5% SER G	BEAR WAGNER SPECIALISTS, LLC
HIW PRB	HIGHWOODS PROP 8% SER B CUM	BEAR WAGNER SPECIALISTS, LLC
HJO	MS STRUCTURED ASSET CORP	BEAR WAGNER SPECIALISTS, LLC
HJV	MS STRUCTURED ASSET CORP.	BEAR WAGNER SPECIALISTS, LLC
HSF	HARTFORD INCOME SHARES FUND INC	BEAR WAGNER SPECIALISTS, LLC
HSM	THE HYPERION BROOKFIELD STR MTG	BEAR WAGNER SPECIALISTS, LLC
HST PRE	HOST HOTELS & RESORTS	BEAR WAGNER SPECIALISTS, LLC
ICS	MS INSURED CA MUNI	BEAR WAGNER SPECIALISTS, LLC
IGR	ING CLARION GLOBAL REL ESTATE FD	BEAR WAGNER SPECIALISTS, LLC
IIA	ING CLARION REAL ESTATE INC FD	BEAR WAGNER SPECIALISTS, LLC
IID	ING INTL HIGH DIV EQTY INC FD	BEAR WAGNER SPECIALISTS, LLC
IMF	WESTERN ASSET INFLATION MGMT FD	BEAR WAGNER SPECIALISTS, LLC
INB	COHEN & STRS GBL INC BUILDER INC	BEAR WAGNER SPECIALISTS, LLC
IPL PRB	INTERSTATE POWER & LIGHT 8.375%	BEAR WAGNER SPECIALISTS, LLC
IPL PRC	INTERSTATE POWER AND LIGHT CO	BEAR WAGNER SPECIALISTS, LLC
IQM	MS QUAL MUNI	BEAR WAGNER SPECIALISTS, LLC
JBS	SELECT ASSET INC.	BEAR WAGNER SPECIALISTS, LLC
JLA	NUVEEN EQUITY PREMIUM	BEAR WAGNER SPECIALISTS, LLC
JTP	NUVEEN QUALITY PREFERRED FD	BEAR WAGNER SPECIALISTS, LLC
JZV	LEHMAN ABS CORP	BEAR WAGNER SPECIALISTS, LLC
KRJ	STRUCTURED PRODUCTS	BEAR WAGNER SPECIALISTS, LLC
KTP	CORTS TR FOR JC PENNEY DEBS TR	BEAR WAGNER SPECIALISTS, LLC
KVF	STRUCTURED PRODUCTS CORP	BEAR WAGNER SPECIALISTS, LLC
KVW	STRUCTURED PRODUCTS 8.00% CL A	BEAR WAGNER SPECIALISTS, LLC
LGI	LAZARD GLOBAL TOTAL RETURN	BEAR WAGNER SPECIALISTS, LLC
LOR	LAZARD WORLD DIVIDEND & INCOME	BEAR WAGNER SPECIALISTS, LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
LSE PRA	CAPLEASE INC	BEAR WAGNER SPECIALISTS, LLC
MER PRD	MER LN PRD CAP TR III TOPRS	BEAR WAGNER SPECIALISTS, LLC
MER PRE	MER LN PRD CAP TR IV TOPRS	BEAR WAGNER SPECIALISTS, LLC
MER PRF	MERRILL LYNCH PRD CAP TR V TOPRS	BEAR WAGNER SPECIALISTS, LLC
MER PRG	MERRILL LYNCH & CO INC	BEAR WAGNER SPECIALISTS, LLC
MER PRH	MERRILL LYNCH & CO INC	BEAR WAGNER SPECIALISTS, LLC
MER PRI	MERRILL LYNCH & CO INC 6.375%	BEAR WAGNER SPECIALISTS, LLC
MER PRJ	MERRILL LYNCH & CO INC	BEAR WAGNER SPECIALISTS, LLC
MER PRK	MERRILL LYNCH CAPITAL TRUST 6:45%	BEAR WAGNER SPECIALISTS, LLC
MER PRL	MERRILL LYNCH & CO DEP SHS SER 5	BEAR WAGNER SPECIALISTS, LLC
MER PRM	MERRILL LYNCH CAPITAL TRUST II	BEAR WAGNER SPECIALISTS, LLC
MER PRN	MERRILL LYNCH & CO INC 6.70%	BEAR WAGNER SPECIALISTS, LLC
MER PRO	MERRILL LYNCH & CO INC 6.25%	BEAR WAGNER SPECIALISTS, LLC
MER PRP	MERRILL LYNCH CAPITAL TRUST III	BEAR WAGNER SPECIALISTS, LLC
MER PRQ	MERRILL LYNCH AND CO INC	BEAR WAGNER SPECIALISTS, LLC
MFD	MACQUARIE/FIRST TRUST GLOBAL	BEAR WAGNER SPECIALISTS, LLC
MGF	MFS GOVT MARKETS INCOME TRUST	BEAR WAGNER SPECIALISTS, LLC
MHN	BLACKROCK MUNI HLDGS NEW YORK	BEAR WAGNER SPECIALISTS, LLC
MP PRD	MISSISSIPPI POWER CO	BEAR WAGNER SPECIALISTS, LLC
MPJ	MISSISSIPPI PWR E 5 5/8% NTS	BEAR WAGNER SPECIALISTS, LLC
MSF	MORGAN STANLEY EMERGING MKTS FD	BEAR WAGNER SPECIALISTS, LLC
MUC	BLACKRO MUNI HLDGS CA INSURED FD	BEAR WAGNER SPECIALISTS, LLC
MUI	BLACKROCK MUNI INTERMEDIATE FD	BEAR WAGNER SPECIALISTS, LLC
MUJ	BLACKROCK MUNI HLDGS NJ	BEAR WAGNER SPECIALISTS, LLC
MYI	BLACKROCK MUNIYIELD INSURED FUND	BEAR WAGNER SPECIALISTS, LLC
NCV	NICHOLAS APPLGATE CONVERTIBLE	BEAR WAGNER SPECIALISTS, LLC
NMA	NUVEEN MUNI ADVANTAGE FUND	BEAR WAGNER SPECIALISTS, LLC
NMI	NUVEEN MUNICIPAL INCOME FUND	BEAR WAGNER SPECIALISTS, LLC
NMT	NUVEEN MASS PREM INCM MUNI FND	BEAR WAGNER SPECIALISTS, LLC
NPC	NUVEEN INS CA PREM INCM FUND	BEAR WAGNER SPECIALISTS, LLC
NPV	NUVEEN VA PREM INCM MUNI FND	BEAR WAGNER SPECIALISTS, LLC
NQF	NUVEEN FLA INV QUAL MUNI FUND	BEAR WAGNER SPECIALISTS, LLC
NQS	NUVEEN SELECT QUAL MUNI FUND	BEAR WAGNER SPECIALISTS, LLC
NRF PRA	NORTHSTAR REALTY FINANCE	BEAR WAGNER SPECIALISTS, LLC
NRF PRB	NORTH STAR REALTY FIN CORP PRB	BEAR WAGNER SPECIALISTS, LLC
NTC	NUVEEN CONN PREM INC MNI FND	BEAR WAGNER SPECIALISTS, LLC
NVN	NUVEEN NY SELECT QUAL MUNI FND	BEAR WAGNER SPECIALISTS, LLC
PYT	MERRILL LYNCH DEPOSITOR INC	BEAR WAGNER SPECIALISTS, LLC
OFC PRG	CORPORATE OFFICE PROPERTIES	BEAR WAGNER SPECIALISTS, LLC
OFC PRH	CORP OFFICE PROPERTIES TRUST	BEAR WAGNER SPECIALISTS, LLC
OFC PRJ	CORPORATE OFFICE PROPERTIES	BEAR WAGNER SPECIALISTS, LLC
PIM	PUTNAM MASTER INTERM INC FUND	BEAR WAGNER SPECIALISTS, LLC
PJJ	MERRILL LYNCH DEPOSITOR INC	BEAR WAGNER SPECIALISTS, LLC
PSW	BLACKROCK PRD & CRP STRATEG FD	BEAR WAGNER SPECIALISTS, LLC
RCC	SMALL CAP PREMIUM & DIVIDEND	BEAR WAGNER SPECIALISTS, LLC
RWF	COHEN & STEERS WORLDWIDE REALTY	BEAR WAGNER SPECIALISTS, LLC
RYJ	CLAYMORE RAYMOND JAMES	BEAR WAGNER SPECIALISTS, LLC
SBG	WESTERN ASSET 2008 WORLDWIDE	BEAR WAGNER SPECIALISTS, LLC
SCD	LMP CAPITAL AND INCOME FUND INC	BEAR WAGNER SPECIALISTS, LLC
SCG PR	SOUTH CAROLINA E G 5 PFD	BEAR WAGNER SPECIALISTS, LLC
SGZ	SELECTIVE INSURANCE GROUP INC	BEAR WAGNER SPECIALISTS, LLC
SHO PRA	SUNSTONE HOTEL INVESTORS INC	BEAR WAGNER SPECIALISTS, LLC
SUS PRA	SUSQUEHANNA CAPITAL I	BEAR WAGNER SPECIALISTS, LLC
SVJ	SAVANNAH ELECTRIC AND POWER	BEAR WAGNER SPECIALISTS, LLC
TLI	LMP CORPORATE LOAN FUND INC	BEAR WAGNER SPECIALISTS, LLC
VBF	VAN KAMPEN AMER CAP BOND FD	BEAR WAGNER SPECIALISTS, LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
VGM	VAN KAMPEN AMER CAP TST INV GR	BEAR WAGNER SPECIALISTS, LLC
VTA	VAN KAMP DYNAMIC CRT OPP FD	BEAR WAGNER SPECIALISTS, LLC
VVR	VAN KAMP AM CAP SENIOR INC TR	BEAR WAGNER SPECIALISTS, LLC
XFH	LEHMAN ABS CORPORATION	BEAR WAGNER SPECIALISTS, LLC
XFJ	LEHMAN ABS CORPORATION	BEAR WAGNER SPECIALISTS, LLC
ZIF	WESTERN ASSET ZENIX INCOME FUND	BEAR WAGNER SPECIALISTS, LLC
HCN	HEALTH CARE REIT	BEAR WAGNER SPECIALISTS, LLC
HIW	HIGHWOODS PROPERTIES	BEAR WAGNER SPECIALISTS, LLC
HT PRA	HERSHA HOSPITALITY TRUST 8.00%	BEAR WAGNER SPECIALISTS, LLC
KFN	KKR FINANCIAL HOLDINGS LLC	BEAR WAGNER SPECIALISTS, LLC
LRY	LIBERTY PROPERTY TRUST	BEAR WAGNER SPECIALISTS, LLC
LSE	CAPLEASE INC	BEAR WAGNER SPECIALISTS, LLC
NRF	NORTHSTAR REALTY FINANCE CORP	BEAR WAGNER SPECIALISTS, LLC
OFC	CORPORATE OFFICE PROP TR	BEAR WAGNER SPECIALISTS, LLC
RWT	REDWOOD TRUST	BEAR WAGNER SPECIALISTS, LLC
YSI	U-STORE-IT TRUST	BEAR WAGNER SPECIALISTS, LLC
CMS	CMS ENERGY	BEAR WAGNER SPECIALISTS, LLC
D	DOMINION RESOURCES	BEAR WAGNER SPECIALISTS, LLC
DFG	DELPHI FINANCIAL GROUP	BEAR WAGNER SPECIALISTS, LLC
EAS	ENERGY EAST	BEAR WAGNER SPECIALISTS, LLC
ED	CONSOLIDATED EDISON HLDG CO	BEAR WAGNER SPECIALISTS, LLC
FCN	FTI CONSULTING INC	BEAR WAGNER SPECIALISTS, LLC
FE	FIRSTENERGY	BEAR WAGNER SPECIALISTS, LLC
LNT	ALLIANT ENERGY	BEAR WAGNER SPECIALISTS, LLC
PER	PEROT SYSTEMS CLA	BEAR WAGNER SPECIALISTS, LLC
PGN	PROGRESS ENERGY	BEAR WAGNER SPECIALISTS, LLC
PNW	PINNACLE WEST CAPITAL	BEAR WAGNER SPECIALISTS, LLC
RCI	ROGERS COMMUNICATIONS	BEAR WAGNER SPECIALISTS, LLC
SLF	SUN LIFE FINANCIAL INC	BEAR WAGNER SPECIALISTS, LLC
SO	SOUTHERN	BEAR WAGNER SPECIALISTS, LLC
SRE	SEMPRA ENERGY	BEAR WAGNER SPECIALISTS, LLC
SVR	SYNIVERSE HOLDINGS	BEAR WAGNER SPECIALISTS, LLC
TRI	THOMSON REUTERS CORPORATION	BEAR WAGNER SPECIALISTS, LLC
UTL	UNITIL CORPORATION	BEAR WAGNER SPECIALISTS, LLC
VVC	VECTREN	BEAR WAGNER SPECIALISTS, LLC
BE	BEARINGPOINT INC	BEAR WAGNER SPECIALISTS, LLC
BYD	BOYD GAMING	BEAR WAGNER SPECIALISTS, LLC
CBG	CB RICHARD ELLIS GROUP INC	BEAR WAGNER SPECIALISTS, LLC
CCC	CALGON CARBON	BEAR WAGNER SPECIALISTS, LLC
CNC	CENTENE CORP	BEAR WAGNER SPECIALISTS, LLC
CVD	COVANCE	BEAR WAGNER SPECIALISTS, LLC
DGX	QUEST DIAGNOSTICS	BEAR WAGNER SPECIALISTS, LLC
ELX	EMULEX CORPORATION	BEAR WAGNER SPECIALISTS, LLC
HAR	HARMAN INTL IND	BEAR WAGNER SPECIALISTS, LLC
HOO	CASCAL NV	BEAR WAGNER SPECIALISTS, LLC
ID	L-1 IDENTITY SOLUTIONS INC	BEAR WAGNER SPECIALISTS, LLC
ITG	INVESTMENT TECH GROUP	BEAR WAGNER SPECIALISTS, LLC
KG	KING PHARMACEUTICALS	BEAR WAGNER SPECIALISTS, LLC
LL	LUMBER LIQUIDATORS INC	BEAR WAGNER SPECIALISTS, LLC
MFE	MCAFFEE INC	BEAR WAGNER SPECIALISTS, LLC
MOT	MOTOROLA	BEAR WAGNER SPECIALISTS, LLC
NLC	NALCO HOLDING	BEAR WAGNER SPECIALISTS, LLC
PCL	PLUM CREEK TIMBER REIT	BEAR WAGNER SPECIALISTS, LLC
PII	POLARIS INDUSTRIES	BEAR WAGNER SPECIALISTS, LLC
PKG	PACKAGING OF AMERICA	BEAR WAGNER SPECIALISTS, LLC
PMC	PHARMERICA CORP	BEAR WAGNER SPECIALISTS, LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
RYN	RAYONIER	BEAR WAGNER SPECIALISTS, LLC
UIS	UNISYS	BEAR WAGNER SPECIALISTS, LLC
WIN	WINDSTREAM CORP	BEAR WAGNER SPECIALISTS, LLC
XRX	XEROX	BEAR WAGNER SPECIALISTS, LLC
BK	THE BANK OF NEW YORK MELLON CORP	BEAR WAGNER SPECIALISTS, LLC
BNI	BURLINGTON NORTHERN SANTA FE	BEAR WAGNER SPECIALISTS, LLC
CVC	CABLEVISION SYSTEMS	BEAR WAGNER SPECIALISTS, LLC
DRH	DIAMONDROCK HOSPITALITY	BEAR WAGNER SPECIALISTS, LLC
ESI	ITT EDUCATIONAL SERVICES	BEAR WAGNER SPECIALISTS, LLC
GWR	GENESEE & WYOMING INC	BEAR WAGNER SPECIALISTS, LLC
HST	HOST HOTELS & RESORTS	BEAR WAGNER SPECIALISTS, LLC
HT	HERSHA HOSPITALITY TRUST	BEAR WAGNER SPECIALISTS, LLC
MAR	MARRIOTT INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
MTN	VAIL RESORTS	BEAR WAGNER SPECIALISTS, LLC
OI	OWENS-ILLINOIS	BEAR WAGNER SPECIALISTS, LLC
OMX	OFFICEMAX	BEAR WAGNER SPECIALISTS, LLC
PEP	PEPSICO	BEAR WAGNER SPECIALISTS, LLC
SHO	SUNSTONE HOTEL INVESTORS	BEAR WAGNER SPECIALISTS, LLC
STZ	CONSTELLATION BRANDS	BEAR WAGNER SPECIALISTS, LLC
STZ B	CONSTELLATION BRANDS CLASS B	BEAR WAGNER SPECIALISTS, LLC
TGT	TARGET	BEAR WAGNER SPECIALISTS, LLC
BAP	CREDICORP	LEHMAN BROTHERS MARKET MAKERS
CHC	CENTERLINE HOLDING COMPANY	LEHMAN BROTHERS MARKET MAKERS
CNB	COLONIAL BANCGROUP	LEHMAN BROTHERS MARKET MAKERS
CNB PRB	COLONIAL CAPITAL TRUST IV	LEHMAN BROTHERS MARKET MAKERS
CSB	THE COLONIAL BANCGROUP INC	LEHMAN BROTHERS MARKET MAKERS
CSR	CHINA SEC & SURVEILLANCE TECHNOL	LEHMAN BROTHERS MARKET MAKERS
GFG	GUARANTY FINANCIAL GROUP INC	LEHMAN BROTHERS MARKET MAKERS
IT	GARTNER	LEHMAN BROTHERS MARKET MAKERS
KRC	KILROY REALTY	LEHMAN BROTHERS MARKET MAKERS
KRC PRE	KILROY REALTY CORP 7.80%	LEHMAN BROTHERS MARKET MAKERS
KRC PRF	KILROY REALTY 7.50% SERIES F	LEHMAN BROTHERS MARKET MAKERS
KVJ	STRUCTURED PORDUCTS CORP	LEHMAN BROTHERS MARKET MAKERS
LFG	LANDAMERICA FINANCIAL GROUP	LEHMAN BROTHERS MARKET MAKERS
MED	MEDIFAST INC	LEHMAN BROTHERS MARKET MAKERS
MR	MINDRAY MEDICAL INTERNATIONAL	LEHMAN BROTHERS MARKET MAKERS
MTR	MESA ROYALTY TR UTBEN INT	LEHMAN BROTHERS MARKET MAKERS
NFP	NATIONAL FINANCIAL PARTNERS CORP	LEHMAN BROTHERS MARKET MAKERS
ORA	ORMAT TECHNOLOGIES	LEHMAN BROTHERS MARKET MAKERS
PCR	PERINI CORP	LEHMAN BROTHERS MARKET MAKERS
REP	REPSOL AMERICAN DEP SHRS	LEHMAN BROTHERS MARKET MAKERS
REP PRA	REPSOL INTL 7.45% NON CUM A	LEHMAN BROTHERS MARKET MAKERS
WDG	WOODBRIIDGE HOLDINGS CORPORATION	LEHMAN BROTHERS MARKET MAKERS
WPL	W P STEWART	LEHMAN BROTHERS MARKET MAKERS
AIR	AAR	LEHMAN BROTHERS MARKET MAKERS
AKO A	EMBOTELLADORA ANDINA SERIES A	LEHMAN BROTHERS MARKET MAKERS
AKO B	EMBOTELLADORA ANDINA SERIES B	LEHMAN BROTHERS MARKET MAKERS
BHE	BENCHMARK ELECTRONICS	LEHMAN BROTHERS MARKET MAKERS
CP	CANADIAN PACIFIC RAILWAY LIMITED	LEHMAN BROTHERS MARKET MAKERS
DAR	DARLING INTL INC	LEHMAN BROTHERS MARKET MAKERS
DCI	DONALDSON	LEHMAN BROTHERS MARKET MAKERS
FDP	FRESH DEL MONTE PRODUCE	LEHMAN BROTHERS MARKET MAKERS
HLF	HERBALIFE LTD	LEHMAN BROTHERS MARKET MAKERS
HRP	HRPT PROPERTIES TRUST	LEHMAN BROTHERS MARKET MAKERS
HRP PRB	HRPT PROPERTITES TRUST	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HRP PRC	HRPT PROPERTIES TRUST	LEHMAN BROTHERS MARKET MAKERS
HRP PRD	HRPT PROPERTIES TRUST	LEHMAN BROTHERS MARKET MAKERS
BRC	BRADY	LEHMAN BROTHERS MARKET MAKERS
BRT	BRT REALTY TRUST	LEHMAN BROTHERS MARKET MAKERS
CBK	CHRISTOPHER & BANKS CORPORATION	LEHMAN BROTHERS MARKET MAKERS
EIG	EMPLOYERS HOLDINGS INC	LEHMAN BROTHERS MARKET MAKERS
HGG	HHGREGG INC	LEHMAN BROTHERS MARKET MAKERS
HNR	HARVEST NATURAL RESOURCES	LEHMAN BROTHERS MARKET MAKERS
IDT	IDT CORP	LEHMAN BROTHERS MARKET MAKERS
IDT C	IDT	LEHMAN BROTHERS MARKET MAKERS
IRF	INTL RECTIFIER	LEHMAN BROTHERS MARKET MAKERS
JRN	JOURNAL COMMUNICATIONS INC	LEHMAN BROTHERS MARKET MAKERS
NED	NOAH EDUCATION HLDGS LTD	LEHMAN BROTHERS MARKET MAKERS
NTE	NAM TAI ELECTRONICS INC	LEHMAN BROTHERS MARKET MAKERS
TCM	TONGITANG CHINESE MEDICINES CO	LEHMAN BROTHERS MARKET MAKERS
THS	TREEHOUSE FOODS INC	LEHMAN BROTHERS MARKET MAKERS
TRX	TRONOX INCORPORATED	LEHMAN BROTHERS MARKET MAKERS
TRX B	TRONOX	LEHMAN BROTHERS MARKET MAKERS
WGL	WGL HOLDINGS	LEHMAN BROTHERS MARKET MAKERS
CCK	CROWN HOLDINGS INC	LEHMAN BROTHERS MARKET MAKERS
EGY	VAALCO ENERGY INC	LEHMAN BROTHERS MARKET MAKERS
FDO	FAMILY DOLLAR STORES	LEHMAN BROTHERS MARKET MAKERS
FLO	FLOWERS FOODS	LEHMAN BROTHERS MARKET MAKERS
MFC	MANULIFE FINANCIAL	LEHMAN BROTHERS MARKET MAKERS
NSC	NORFOLK SOUTHERN	LEHMAN BROTHERS MARKET MAKERS
PHM	PULTE HOMES	LEHMAN BROTHERS MARKET MAKERS
PZB	MERRILL LYNCH DEPOSITOR	LEHMAN BROTHERS MARKET MAKERS
TTI	TETRA TECHNOLOGIES	LEHMAN BROTHERS MARKET MAKERS
WYE	WYETH	LEHMAN BROTHERS MARKET MAKERS
WYE PR	WYETH 2CUM CV PR	LEHMAN BROTHERS MARKET MAKERS
ADS	ALLIANCE DATA SYSTEMS	LEHMAN BROTHERS MARKET MAKERS
AMG	AFFILIATED MANAGERS GROUP	LEHMAN BROTHERS MARKET MAKERS
APA	APACHE	LEHMAN BROTHERS MARKET MAKERS
CAM	CAMERON INTERNATIONAL	LEHMAN BROTHERS MARKET MAKERS
DRQ	DRIL-QUIP	LEHMAN BROTHERS MARKET MAKERS
FIS	FIDELITY NATIONAL INFORMATION	LEHMAN BROTHERS MARKET MAKERS
LPS	LENDER PROESSING SERV INC WI	LEHMAN BROTHERS MARKET MAKERS
LTD	LIMITED BRANDS	LEHMAN BROTHERS MARKET MAKERS
SB	SAFE BULKERS INC	LEHMAN BROTHERS MARKET MAKERS
VMI	VALMONT INDUSTRIES	LEHMAN BROTHERS MARKET MAKERS
AHT	ASHFORD HOSPITALITY TRUST INC	LEHMAN BROTHERS MARKET MAKERS
AHT PRA	ASHFORD HOSPITALITY TRUST	LEHMAN BROTHERS MARKET MAKERS
AHT PRD	ASHFORD HOSPITALITY TR 8.45%	LEHMAN BROTHERS MARKET MAKERS
BMI	BADGER METER INC	LEHMAN BROTHERS MARKET MAKERS
CAG	CONAGRA FOODS	LEHMAN BROTHERS MARKET MAKERS
CHE	CHEMED CORPORATION	LEHMAN BROTHERS MARKET MAKERS
HTS	HATTERAS FINANCIAL CORP	LEHMAN BROTHERS MARKET MAKERS
HTZ	HERTZ GLOBAL HOLDINGS INC	LEHMAN BROTHERS MARKET MAKERS
IBI	INTERLINE BRANDS INC	LEHMAN BROTHERS MARKET MAKERS
IHR	INTERSTATE HOTELS & RESORTS	LEHMAN BROTHERS MARKET MAKERS
IP	INTERNATIONAL PAPER	LEHMAN BROTHERS MARKET MAKERS
KBW	KBW INC	LEHMAN BROTHERS MARKET MAKERS
NFX	NEWFIELD EXPLORATION	LEHMAN BROTHERS MARKET MAKERS
NWN	NORTHWEST NATURAL GAS CO.	LEHMAN BROTHERS MARKET MAKERS
PAY	VERIFONE HOLDINGS	LEHMAN BROTHERS MARKET MAKERS
PCZ	PETRO CANADA VAR VOTING SHRS	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PHA	PULTE HOMES INC 7.375%	LEHMAN BROTHERS MARKET MAKERS
SEE	SEALED AIR CV PFD STK SER A	LEHMAN BROTHERS MARKET MAKERS
AKS	AK STEEL HOLDING	LEHMAN BROTHERS MARKET MAKERS
AMN	AMERON INTERNATIONAL	LEHMAN BROTHERS MARKET MAKERS
ANF	ABERCROMBIE & FITCH	LEHMAN BROTHERS MARKET MAKERS
CMI	CUMMINS INC	LEHMAN BROTHERS MARKET MAKERS
CNX	CONSOL ENERGY	LEHMAN BROTHERS MARKET MAKERS
MT	ARCETOR MITTAL NEW	LEHMAN BROTHERS MARKET MAKERS
POT	POTASH CORP OF SASKATCHEWAN	LEHMAN BROTHERS MARKET MAKERS
SPW	SPX	LEHMAN BROTHERS MARKET MAKERS
SUN	SUNOCO	LEHMAN BROTHERS MARKET MAKERS
SYK	STRYKER	LEHMAN BROTHERS MARKET MAKERS
BBG	BILL BARRETT CORP	LEHMAN BROTHERS MARKET MAKERS
CDE	COEUR D'ALENE MINES	LEHMAN BROTHERS MARKET MAKERS
CMP	COMPASS MINERALS INTERNATIONAL	LEHMAN BROTHERS MARKET MAKERS
CVO	CENVEO INC	LEHMAN BROTHERS MARKET MAKERS
GIB	CGI GROUP	LEHMAN BROTHERS MARKET MAKERS
GPI	GROUP 1 AUTOMOTIVE	LEHMAN BROTHERS MARKET MAKERS
MHO	M/I HOMES INC	LEHMAN BROTHERS MARKET MAKERS
MHO PRA	M/I HOMES DEP SHS	LEHMAN BROTHERS MARKET MAKERS
MPS	MPS GROUP INC	LEHMAN BROTHERS MARKET MAKERS
PRM	PRIMEDIA	LEHMAN BROTHERS MARKET MAKERS
RNT	AARON RENTS	LEHMAN BROTHERS MARKET MAKERS
RNT A	AARON RENTS CLASS A	LEHMAN BROTHERS MARKET MAKERS
SAP	SAP AKTIENGESELLSCHAFT	LEHMAN BROTHERS MARKET MAKERS
TIE	TITANIUM METALS	LEHMAN BROTHERS MARKET MAKERS
UFS	DOMTAR CORPORATION	LEHMAN BROTHERS MARKET MAKERS
WAL	WESTERN ALLIANCE BANCORPORATION	LEHMAN BROTHERS MARKET MAKERS
AVX	AVX	LEHMAN BROTHERS MARKET MAKERS
CAP	CAI INTERNATIONAL, INC.	LEHMAN BROTHERS MARKET MAKERS
DK	DELEL US HOLDINGS	LEHMAN BROTHERS MARKET MAKERS
DLM	DEL MONTE FOODS	LEHMAN BROTHERS MARKET MAKERS
FNF	FIDELITY NATIONAL FINANCIAL INC	LEHMAN BROTHERS MARKET MAKERS
FR	FIRST INDUSTRIAL REALTY TRUST	LEHMAN BROTHERS MARKET MAKERS
FR PRJ	FIRST INDUSTRIAL REALTY TRUST	LEHMAN BROTHERS MARKET MAKERS
FR PRK	FIRST INDUSTRIAL REALTY TRUST	LEHMAN BROTHERS MARKET MAKERS
HRL	HORMEL FOODS	LEHMAN BROTHERS MARKET MAKERS
HTE	HARVEST ENERGY TRUST	LEHMAN BROTHERS MARKET MAKERS
HTV	HEARST ARGYLE TELEVISION SER A	LEHMAN BROTHERS MARKET MAKERS
LNJ	LANDRY'S RESTAURANTS	LEHMAN BROTHERS MARKET MAKERS
PCH	POTLATCH	LEHMAN BROTHERS MARKET MAKERS
PPS	POST PROPERTIES	LEHMAN BROTHERS MARKET MAKERS
PPS PRA	POST PROP 8 1/2% SER A CUM RED	LEHMAN BROTHERS MARKET MAKERS
PPS PRB	POST PRP 7 5/8% B CUM RD SHRS	LEHMAN BROTHERS MARKET MAKERS
TGH	TEXTAINER GP HLDGS LIMITED	LEHMAN BROTHERS MARKET MAKERS
WEN	WENDYS INTERNATIONAL	LEHMAN BROTHERS MARKET MAKERS
CAR	AVIS BUDGET GROUP INC	LEHMAN BROTHERS MARKET MAKERS
CCO	CLEAR CHANNEL OUTDOOR HOLDINGS	LEHMAN BROTHERS MARKET MAKERS
ENT	ENTERRA ENERGY TRUST	LEHMAN BROTHERS MARKET MAKERS
EXR	EXTRA SPACE STORAGE INC	LEHMAN BROTHERS MARKET MAKERS
IAG	IAMGOLD CORPORATION	LEHMAN BROTHERS MARKET MAKERS
IVN	IVANHOE MINES LTD	LEHMAN BROTHERS MARKET MAKERS
KSS	KOHL'S	LEHMAN BROTHERS MARKET MAKERS
MBI	MBIA	LEHMAN BROTHERS MARKET MAKERS
MCK	MCKESSON CORPORATION	LEHMAN BROTHERS MARKET MAKERS
NHP	NATIONWIDE HEALTH PROPERTIES	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
NHP PRB	NATIONWIDE HLTH PROPERTIES 7.75%	LEHMAN BROTHERS MARKET MAKERS
PKI	PERKINELMER	LEHMAN BROTHERS MARKET MAKERS
PNR	PENTAIR	LEHMAN BROTHERS MARKET MAKERS
PRX	PAR PHARMACEUTICAL CO INC	LEHMAN BROTHERS MARKET MAKERS
ROK	ROCKWELL AUTOMATION INC	LEHMAN BROTHERS MARKET MAKERS
TWB	TWEEN BRANDS INC	LEHMAN BROTHERS MARKET MAKERS
ARA	ARACRUZ CELULOSE	LEHMAN BROTHERS MARKET MAKERS
AWI	ARMSTRONG WORLD INDUSTRIES INC	LEHMAN BROTHERS MARKET MAKERS
CLP	COLONIAL PROPERTIES TRUST	LEHMAN BROTHERS MARKET MAKERS
CLP PRD	COLONIAL PROPERTIES TRUST	LEHMAN BROTHERS MARKET MAKERS
CRR	CARBO CERAMICS INC	LEHMAN BROTHERS MARKET MAKERS
FMR	FIRST MERCURY FINANCIAL CORP	LEHMAN BROTHERS MARKET MAKERS
MNC	MONACO COACH	LEHMAN BROTHERS MARKET MAKERS
NLS	NAUTILUS INC	LEHMAN BROTHERS MARKET MAKERS
RDK	RUDDICK	LEHMAN BROTHERS MARKET MAKERS
RY	ROYAL BANK OF CANADA	LEHMAN BROTHERS MARKET MAKERS
SKH	SKILLED HLTHCARE GP INC CL A	LEHMAN BROTHERS MARKET MAKERS
SNX	SYNNEX CORPORATION	LEHMAN BROTHERS MARKET MAKERS
TRS	TRIMAS CORPORATION	LEHMAN BROTHERS MARKET MAKERS
COH	COACH	LEHMAN BROTHERS MARKET MAKERS
CY	CYPRESS SEMICONDUCTOR	LEHMAN BROTHERS MARKET MAKERS
DIS	WALT DISNEY	LEHMAN BROTHERS MARKET MAKERS
EXM	EXCEL MARITIME CARRIERS	LEHMAN BROTHERS MARKET MAKERS
GRO	AGRIA CORP	LEHMAN BROTHERS MARKET MAKERS
UA	UNDER ARMOUR INC	LEHMAN BROTHERS MARKET MAKERS
WAT	WATERS	LEHMAN BROTHERS MARKET MAKERS
WBD	WIMM BILL DANN FOODS OJSC	LEHMAN BROTHERS MARKET MAKERS
AHG	APRIA HEALTHCARE GROUP	LEHMAN BROTHERS MARKET MAKERS
ARM	ARVINMERITOR INC	LEHMAN BROTHERS MARKET MAKERS
BVN	COMPANIA DE MINAS BUENAVENTURA	LEHMAN BROTHERS MARKET MAKERS
CAB	CABELA'S INC	LEHMAN BROTHERS MARKET MAKERS
DTG	DOLLAR THRIFTY AUTOMOTIVE GROUP	LEHMAN BROTHERS MARKET MAKERS
EQY	EQUITY ONE	LEHMAN BROTHERS MARKET MAKERS
JCG	J. CREW GROUP INC	LEHMAN BROTHERS MARKET MAKERS
LAD	LITHIA MOTORS	LEHMAN BROTHERS MARKET MAKERS
MAC	MACERICH	LEHMAN BROTHERS MARKET MAKERS
MOH	MOLINA HEALTHCARE INC	LEHMAN BROTHERS MARKET MAKERS
MPG	MAQUIRE PROPERTIES INC	LEHMAN BROTHERS MARKET MAKERS
OHI	OMEGA HEALTHCARE INVESTORS	LEHMAN BROTHERS MARKET MAKERS
SNH	SENIOR HOUSING PROPERTIES TRUST	LEHMAN BROTHERS MARKET MAKERS
SSI	STAGE STORES	LEHMAN BROTHERS MARKET MAKERS
STD	BANCO SANTANDER S A	LEHMAN BROTHERS MARKET MAKERS
THI	TIM HORTONS	LEHMAN BROTHERS MARKET MAKERS
TPX	TEMPUR-PEDIC INTERNATIONAL INC	LEHMAN BROTHERS MARKET MAKERS
BKI	BUCKEYE TECHNOLOGIES	LEHMAN BROTHERS MARKET MAKERS
CRE	CARE INVESTMENT TRUST INC	LEHMAN BROTHERS MARKET MAKERS
DRL	DORAL FINANCIAL CORPORATION NEW	LEHMAN BROTHERS MARKET MAKERS
DW	DREW INDUSTRIES INC	LEHMAN BROTHERS MARKET MAKERS
EMS	EMERGENCY MEDICAL SERVICES CORP	LEHMAN BROTHERS MARKET MAKERS
KND	KINDRED HEALTHCARE	LEHMAN BROTHERS MARKET MAKERS
KRG	KITE REALTY GROUP TRUST	LEHMAN BROTHERS MARKET MAKERS
MJF	MSDW STRUCTURED ASSET CORP	LEHMAN BROTHERS MARKET MAKERS
MPG PRA	MAGUIRE PROPERTIES	LEHMAN BROTHERS MARKET MAKERS
OHI PRD	OMEGA HEALTHCARE INVESTORS	LEHMAN BROTHERS MARKET MAKERS
PEC	PIKE ELECTRIC CORP	LEHMAN BROTHERS MARKET MAKERS
PGE PRB	PRIME GROUP REALTY TRUST PFD B	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
STD PRA	SANTANDER FIN PFD UNIPERSONAL	LEHMAN BROTHERS MARKET MAKERS
STD PRB	SANTANDER FIN PFD UNIPERSONAL	LEHMAN BROTHERS MARKET MAKERS
STD PRC	SANTANDER FIN PFD UNIPERSONAL	LEHMAN BROTHERS MARKET MAKERS
STD PRI	SANTANDER FIN PRD UNIPERSONAL	LEHMAN BROTHERS MARKET MAKERS
BZH	BEAZER HOMES USA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CRS	CARPENTER TECHNOLOGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EAT	BRINKER INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EFX	EQUIFAX	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FSC	FIFTH STREET FINANCE CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OKS	ONEOK PARTNERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OSG	OVERSEAS SHIPHOLDING GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OSP	OSG AMERICA LP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PMI	PMI GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TEX	TEREX	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WSO	WATSCO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WWY	WRIGLEY WILLIAM JR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CPS	CHOICEPOINT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IBM	INTL BUSINESS MACHINES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KMT	KENNAMETAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RIG	TRANSOCEAN INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
APH	AMPHENOL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CCI	CROWN CASTLE INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DEI	DOUGLAS EMMETT INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ELY	CALLAWAY GOLF	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ICE	INTERCONTINENTALEXCHANGE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JBX	JACK IN THE BOX	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JWN	NORDSTROM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NSH	NUSTAR GP HOLDINGS LLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NU	NORTHEAST UTILITIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OMN	OMNOVA SOLUTIONS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PXP	PLAINS EXPLORATION & PRODUCTION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RF	REGIONS FINANCIAL CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TKR	TIMKEN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VLO	VALERO ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AEG	AEGON NV	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ATE	ADVANTEST CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BLX	BANCO LATINOAMERICANO SE EXPRT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BP	BP P.L.C	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CCH	COCA-COLA HELLENIC BOTTLING CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CCJ	CAMECO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CEL	CELLCOM ISRAEL LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CEO	CNOOC LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CGT	CAE INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CHT	CHUNGHWA TELECOM CO LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CHU	CHINA UNICOM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CUK	CARNIVAL PLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DCM	NTT DOCOMO INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DOX	AMDOCS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ECA	ENCANA CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HTX	HUTCHISON TELECOMMUNICATIONS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEP	KOREA ELECTRIC POWER 1/2 SHR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KTC	KT CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LPL	LG DISPLAY CO LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MTU	MINITUBISHI UFJ FINANCIAL GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SKM	SK TELECOM	SPEAR, LEEDS AND KELLOGG SPEC. LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
SMI	SEMICONDUCTOR MANUFACTURING INTL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TKC	TURKCELL ILETISIM HIZMETLERI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BJS	BJ SERVICES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CBR	CIBER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EAC	ENCORE ACQUISTION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ENP	ENCORE ENERGY PARTNERS LP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EVC	ENTRAVISION COMMUNICATIONS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FCS	FAIRCHILD SEMICONDUCTOR INTL CLA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GR	GOODRICH CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HHS	HARTE-HANKS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
K	KELLOGG	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MHP	MCGRAW-HILL COMPANIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RES	RPC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RLI	RLI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SCA	SYNCORA HOLDINGS LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SNA	SNAP-ON	SPEAR, LEEDS AND KELLOGG SPEC. LLC
URI	UNITED RENTALS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WTR	AQUA AMERICA INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XL	XL CAPITAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XL PRX	XL CAPITAL LTD 7.00%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XL PRY	XL CAPITAL LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ABG	ASBURY AUTOMOTIVE GROUP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ATR	APTARGROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AVA	AVISTA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CBT	CABOT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CXW	CORRECTIONS CORP OF AMERICA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EIX	EDISON INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HBI	HANESBRANDS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEY	KEYCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEY PRG	KEYCORP PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LSI	LSI CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LZ	LUBRIZOL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MVL	MARVEL ENTERTAINMENT INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NFG	NATIONAL FUEL GAS NJ	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ORB	ORBITAL SCIENCES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PLL	PALL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RSH	RADIOSHACK	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SWY	SAFEWAY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UFI	UNIFI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WHG	WESTWOOD HOLDINGS GROUP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WW	WATSON WYATT WORLDWIDE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BSX	BOSTON SCIENTIFIC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CYN	CITY NATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DOW	DOW CHEMICAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DY	DYCOM INDUSTRIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBC	FLAGSTAR BANCORP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GY	GENCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JAH	JARDEN CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KCP	KENNETH COLE PRODUCTIONS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEX	KIRBY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KWK	QUICKSILVER RESOURCES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SCI	SERVICE CORP INTL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFN	SPHERION CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SYX	SYSTEMAX	SPEAR, LEEDS AND KELLOGG SPEC. LLC
URS	URS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AGD	ALPINE GLOBAL DYNAMIC DIVIDEND	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
AOD	ALPINE TOTAL DYNAMIC DIVIDEND FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AWP	ALPINE GLOBAL PREMIER PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BTO	JOHN HANCOCK BK & THRIFT OPP FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DCW	DIVIDEND CAP GBL RLTY EXPOSURE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DTF	DTF TAX FREE INCOME	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ETJ	EATON VANCE RISK MGNT DIVD EQ IN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FMO	FIDUCIARY/CLAYMORE MLP OPP FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GNV	GSC INVESTMENT CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KMM	DWS MULTI MARKET INCOME TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MCI	MASSMUTUALINVS MBT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MCN	MADISON/CLAYMORE CVD CALL & EQTY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MCR	MFS CHARTER INCOME TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MIN	MFS INTERMEDIATE INCOME TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MRF	AMERICAN INCOME FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MSP	MADISON STRATEGIC SECTOR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PCN	PIMCO CORPORATE INCOME FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PKO	PIMCO INCOME OPPORTUNITY FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PPR	ING PRIME RATE TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TY	TRI-CONTINENTAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
USA	LIBERTY ALL STAR EQUITY FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ZF	ZWEIG FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CXE	MFS HIGH INCOME MUNI TRST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CXH	MFS INV GRD MUNI TRST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FDI	FORT DEARBORN INCOME SECS ILL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FT	FRANKLIN UNIVERSAL TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IMS	MS INSURED MUNI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IMT	MS INSURED MUNI TST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IQC	MS CA QUAL MUNI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MSY	MORGAN STANLEY HIGH YIELD FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MTS	MONTGOMERY STR INCOME SECS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NAC	NUVEEN CA DIV ADV MUNI FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NAN	NUVEEN NY DIV ADVANTAGE MUNI FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NCA	NUVEEN CALIFORNIA MUN VAL FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NCO	NUVEEN CAL MUNI MKT OPP FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NIO	NUVEEN INSURED MUNI OPP FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NMP	NUVEEN MI PREM INCM MUNI FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NNF	NUVEEN INS NY PREM INCM MUNI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NPI	NUVEEN PREMIUM MUNI INC FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NQM	NUVEEN INV QUALITY MUNI FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NUF	NUVEEN FLA QUAL INCOME FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NVC	NUVEEN CAL SELECT QUAL MUNI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NXN	NUVEEN NY SELECT TX FREE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NXR	NUVEEN SELECT TAX FREE INC # 3	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VIM	VAN KAMPEN AMER CAP TST INS MUN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VMO	VAN KAMPEN AMER CAP MUNI OPP TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ASA	ASA LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CAF	MORGAN STANLEY CHINA A SHR FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EDF	WESTERN ASSET EMERGING MARKETS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EMF	TEMPLETON EMERGING MKTS FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GCH	GREATER CHINA FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GDF	WESTERN ASSET GLOBAL PARTNERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GF	GERMANY FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HPF	JOHN HANCOCK PR INCOME FUND II	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HPI	JOHN HANCOCK PREFERRED EQUITY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HPS	JOHN HANCOCK PRD FD III	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IAE	ING ASIA PACIFIC HI DIVIDEND EQ	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
IFN	INDIA FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JCE	NUVEEN CORE EQUITY ALPHA FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JGV	NUVEEN GLOBAL VALUE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JTD	NUVEEN TAX-ADVANTAGED FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KF	KOREA FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LDF	LATIN AMERICAN DISCOVERY FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SBW	WESTERN ASSET WORLDWIDE INCOME	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SEL	SELIGMAN SELECT MUNI FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TFC	TAIWAN GREATER CHINA FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
APX	BLACKROCK APEX MUNICIPAL FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BBK	BLACKROCK MUNICIPAL BOND TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BFC	BLACKROCK CA INSURED MUNI 2008	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BHD	BLACKROCK STRATEGIC BOND TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BHK	BLACKROCK CORE BOND TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BHY	BLACKROCK HIGH YIELD TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BIE	BLACKROCK FLORIDA MUNICIPAL BOND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BJZ	BLACKROCK CA MUNI 2018 TERM TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BKN	BLACKROCK INV QUAL MUNI TST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BLH	BLACKROCK NY MUNI 2018 TERM TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BLN	BLACKROCK NY INSURED MUNI 2008	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BMT	BLACKROCK INSURED MUNI TST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BNA	BLACKROCK INCOME OPPORTUNITY TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BPK	BLACKROCK MUNI 2018 TERM TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BQH	BLACKROCK NY MUNICIPAL BOND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BZA	BLACKROCK CALIFORNIA MUNICIPAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HIS	BLACKROCK HIGH INCOME SHARES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MEN	BLACKROCK MUNIENHANCED FUND INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MFL	BLACKROCK FLORIDA INSURED FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MUA	BLACKROCK MUNIASSETS FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MYJ	BLACKR MUNIYIELD NEW JERSEY FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MYM	BLACK ROCK MUNIYIELD MH INS FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ACL	ALCON INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BDK	BLACK & DECKER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CBU	COMMUNITY BANK SYSTEM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CLF	CLEVELAND-CLIFFS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NOC	NORTHROP GRUMMAN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NP	NEENAH PAPER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NRG	NRG ENERGY INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NRG PRA	NRG ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OSM	SLM CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PTA	PENN TREATY AMERICAN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ROL	ROLLINS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SMG	THE SCOTTS MIRACLE- GRO CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TRH	TRANSATLANTIC HOLDINGS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CCE	COCA-COLA ENTERPRISES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CT	CAPITAL TRUST INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBP	FIRST BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HSP	HOSPIRA INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KV A	KV PHARMACEUTICAL CLASS A	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KV B	KV PHARMACEUTICAL CLASS B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NEM	NEWMONT MINING	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SLE	SARA LEE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SON	SONOCO PRODUCTS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WAG	WALGREEN	SPEAR, LEEDS AND KELLOGG SPEC. LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
AYR	AIRCASTLE LIMITED	KELLOGG SPECIALIST GROUP LLC
BGR	BLACKROCK GLOBAL ENERGY & RES TR	KELLOGG SPECIALIST GROUP LLC
BQR	BLACKROCK ECOSOL INV TRUST	KELLOGG SPECIALIST GROUP LLC
DEP	DUNCAN ENERGY PARTNER LP	KELLOGG SPECIALIST GROUP LLC
EPD	ENTERPRISE PRODUCTS PARTNERS	KELLOGG SPECIALIST GROUP LLC
EPE	ENTERPRISE GP HOLDINGS L.P.	KELLOGG SPECIALIST GROUP LLC
ETE	ENERGY TRANSFER EQUITY	KELLOGG SPECIALIST GROUP LLC
ETP	ENERGY TRANSFER PARTNERS L.P.	KELLOGG SPECIALIST GROUP LLC
FIG	FORTRESS INVESTMENT GROUP LLC	KELLOGG SPECIALIST GROUP LLC
GHS	GATEHOUSE MEDIA INC	KELLOGG SPECIALIST GROUP LLC
KED	KAYNE ANDERSON ENERGY	KELLOGG SPECIALIST GROUP LLC
KYE	KAYNE ANDERSON ENERGY	KELLOGG SPECIALIST GROUP LLC
KYN	KAYNE ANDERSON MLP INVESTMENT	KELLOGG SPECIALIST GROUP LLC
MF	MF GLOBAL LTD	KELLOGG SPECIALIST GROUP LLC
MTP	MLP & STRATEGIC EQUITY FUND INC.	KELLOGG SPECIALIST GROUP LLC
NCT	NEWCASTLE INVESTMENT CORP	KELLOGG SPECIALIST GROUP LLC
NCT PRB	NEWCASTLE INVESTMENT CORP	KELLOGG SPECIALIST GROUP LLC
NCT PRC	NEWCASTLE INVESTMENT	KELLOGG SPECIALIST GROUP LLC
NCT PRD	NEWCASTLE INVESTMENT CORP.	KELLOGG SPECIALIST GROUP LLC
OPY	OPPENHEIMER HOLDINGS INC	KELLOGG SPECIALIST GROUP LLC
PSE	PIONEER SOUTHWEST ENERGY	KELLOGG SPECIALIST GROUP LLC
SRV	THE CUSHING MLP TOTAL RETURN FD	KELLOGG SPECIALIST GROUP LLC
TLP	TRANSMONTAIGNE	KELLOGG SPECIALIST GROUP LLC
TTO	TORTOISE CAPITAL RESOURCES CORP	KELLOGG SPECIALIST GROUP LLC
TYG	TORTOISE ENERGY INFRASTRUCTURE	KELLOGG SPECIALIST GROUP LLC
TYN	TORTOISE NORTH AMERICAN ENERGY	KELLOGG SPECIALIST GROUP LLC
TYY	TORTOISE ENERGY CAPITAL	KELLOGG SPECIALIST GROUP LLC
AGC	ADVENT/CLAYMORE GLB CON SEC & IN	KELLOGG SPECIALIST GROUP LLC
AVK	ADVENT CLAYMORE CONVERTIBLE & FD	KELLOGG SPECIALIST GROUP LLC
BGY	BLACKROCK INTL GROWTH & INC FD	KELLOGG SPECIALIST GROUP LLC
CHI	CALAMOS CONVERTIBLE OPP & FD	KELLOGG SPECIALIST GROUP LLC
CHW	CALAMOS GLOBAL FUND	KELLOGG SPECIALIST GROUP LLC
CHY	CALAMOS CONVERTIBLE & HI FD	KELLOGG SPECIALIST GROUP LLC
DEX	DELAWARE ENHANCED GLOBAL FUND	KELLOGG SPECIALIST GROUP LLC
EFR	EATON VANCE SENIOR FLOATING RATE	KELLOGG SPECIALIST GROUP LLC
EFT	EATON VANVE FLOATING-RATE INC TR	KELLOGG SPECIALIST GROUP LLC
EHI	WESTERN ASSET GLOBAL HIGH INCOME	KELLOGG SPECIALIST GROUP LLC
ETG	EATON VANCE TAX ADVANTAGED	KELLOGG SPECIALIST GROUP LLC
ETO	EATON VANCE TAX-ADVANTAGED	KELLOGG SPECIALIST GROUP LLC
EVG	EATON VANCE SHORT DURATION DV FD	KELLOGG SPECIALIST GROUP LLC
FEO	FIRST TRUST /ABERDEEN EMERGING	KELLOGG SPECIALIST GROUP LLC
FMY	FIRST TRUST/FIDAC MORTGAGE	KELLOGG SPECIALIST GROUP LLC
GCF	GLOBAL INCOME & CURRENCY FD INC	KELLOGG SPECIALIST GROUP LLC
GDL	GABELLI GLOBAL DEAL FUND THE	KELLOGG SPECIALIST GROUP LLC
JFR	NUVEEN FLOATING RATE INCOME FUND	KELLOGG SPECIALIST GROUP LLC
JGG	NUVEEN GLOBAL GOVERNMENT	KELLOGG SPECIALIST GROUP LLC
JGT	NUVEEN MULTI CURRENCY SHORT TERM	KELLOGG SPECIALIST GROUP LLC
JRO	NUVEEN FLOATING RATE INCOME FD	KELLOGG SPECIALIST GROUP LLC
NAI	NICHOLAS-APPLEGATE INTERNATIOAL	KELLOGG SPECIALIST GROUP LLC
NCZ	NICHOLAS APPLEGATE CONVERTIBLE &	KELLOGG SPECIALIST GROUP LLC
NGZ	NICHOLAS APPLEGATE GLB EQTY FD	KELLOGG SPECIALIST GROUP LLC
NIE	NICHOLAS APPLEGATE EQUITY & CV	KELLOGG SPECIALIST GROUP LLC
PFN	PIMCO FLOATING RATE STRATEGY FD	KELLOGG SPECIALIST GROUP LLC
PGP	PIMCO GLOBAL STOCKSPUS & INCOME	KELLOGG SPECIALIST GROUP LLC
PHD	PIONEER FLOATING RATE TRUST	KELLOGG SPECIALIST GROUP LLC
AOB	AMERICAN ORIENTAL BIOENGINEERING	KELLOGG SPECIALIST GROUP LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
BAK	BRASKEM	KELLOGG SPECIALIST GROUP LLC
BFR	BBVA BANCO FRANCES S.A.	KELLOGG SPECIALIST GROUP LLC
BGC	GENERAL CABLE	KELLOGG SPECIALIST GROUP LLC
CHL	CHINA MOBILE LIMITED	KELLOGG SPECIALIST GROUP LLC
CU	COMPANIA CERVECERIAS UNIDAS	KELLOGG SPECIALIST GROUP LLC
DCO	DUCOMMUN	KELLOGG SPECIALIST GROUP LLC
DIN	DINEEQUITY INC	KELLOGG SPECIALIST GROUP LLC
EBS	EMERGENT BIOSOLUTIONS INC	KELLOGG SPECIALIST GROUP LLC
EJ	E HOUSE (CHINA) HOLDINGS LIMITED	KELLOGG SPECIALIST GROUP LLC
GU	GUSHMAN ENVIRONMENTAL ENERGY LTD	KELLOGG SPECIALIST GROUP LLC
HEI	HEICO	KELLOGG SPECIALIST GROUP LLC
HEI A	HEICO CLA	KELLOGG SPECIALIST GROUP LLC
IX	ORIX	KELLOGG SPECIALIST GROUP LLC
NBG	NATIONAL BANK OF GREECE	KELLOGG SPECIALIST GROUP LLC
NBG PRA	NATIONAL BANK OF GREECE SA	KELLOGG SPECIALIST GROUP LLC
SNE	SONY ADR	KELLOGG SPECIALIST GROUP LLC
SOL	RENESOLA LTD	KELLOGG SPECIALIST GROUP LLC
WX	WUXI PHARMA TECH (CAYMAN) INC	KELLOGG SPECIALIST GROUP LLC
YZC	YANZHOU COAL MINING	KELLOGG SPECIALIST GROUP LLC
ASG	LIBERTY ALL-STAR GROWTH FUND	KELLOGG SPECIALIST GROUP LLC
BDT	BLACKROCK STRATEGIC DIVIDEND	KELLOGG SPECIALIST GROUP LLC
BDV	BLACKROCK DIVIDEND ACHIEVERS	KELLOGG SPECIALIST GROUP LLC
BIF	BOULDER GROWTH & INCOME FUND	KELLOGG SPECIALIST GROUP LLC
BLU	BLUE CHIP VALUE FUND	KELLOGG SPECIALIST GROUP LLC
BPP	BLACKROCK PREFERRED OPP TR	KELLOGG SPECIALIST GROUP LLC
BTZ	BLACKROCK PREFERRED & EQU.ADV.TR	KELLOGG SPECIALIST GROUP LLC
CSQ	CALAMOS STRATEGIC TOTAL RETURN	KELLOGG SPECIALIST GROUP LLC
DCS	DREMAN/CLAYMORE DIVIDEND & FD	KELLOGG SPECIALIST GROUP LLC
DHG	DWS DREMAN VALUE INCOME EDGE FD	KELLOGG SPECIALIST GROUP LLC
FGF	SUN AMER FOCUSED ALPHA GROUTH FD	KELLOGG SPECIALIST GROUP LLC
FGI	SUNAMERICA FOCUSED ALPHA	KELLOGG SPECIALIST GROUP LLC
FLC	FLAHERTY & CRUMRINE/CLAYMORE TOT	KELLOGG SPECIALIST GROUP LLC
HTD	JOHN HANCOCK TAX-ADVANTAGED FUND	KELLOGG SPECIALIST GROUP LLC
JFP	NUVEEN TAX ADVANTAGED FLOATING	KELLOGG SPECIALIST GROUP LLC
JHP	NUVEEN QUALITY PREFERRED IN FD 3	KELLOGG SPECIALIST GROUP LLC
JPC	NUVEEN MULTI STRATEGY INC & GTH	KELLOGG SPECIALIST GROUP LLC
JPS	NUVEEN QUALITY PREFERRED FD 2	KELLOGG SPECIALIST GROUP LLC
JQC	NUVEEN MULTI STRATEGY INC&GTH 2	KELLOGG SPECIALIST GROUP LLC
JTA	NUVEEN TAX ADVANTAGED TOTAL	KELLOGG SPECIALIST GROUP LLC
LCM	ADVENT/CLAYMORE ENHANCED GTH & F	KELLOGG SPECIALIST GROUP LLC
OLA	OLD MUTUAL/ CL YMORE LNG SHORT F	KELLOGG SPECIALIST GROUP LLC
PBF	PIONEER MUNICIPAL & EQTY INCOME	KELLOGG SPECIALIST GROUP LLC
PSY	BLACKROCK PREF INCOME STRAT FUND	KELLOGG SPECIALIST GROUP LLC
SOR	SOURCE CAPITAL	KELLOGG SPECIALIST GROUP LLC
SOR PR	SOURCE CAPITAL 2.40PFD	KELLOGG SPECIALIST GROUP LLC
TYW	TS&W/CLAYMORE TAX-ADVANTAGED	KELLOGG SPECIALIST GROUP LLC
BDJ	BLACKROCK ENHANCED DIVIDEND ACHI	KELLOGG SPECIALIST GROUP LLC
BEO	ENHANCED S&P 500 COVERED CALL FD	KELLOGG SPECIALIST GROUP LLC
BEP	S&P 500 COVERED CALL FUND INC	KELLOGG SPECIALIST GROUP LLC
BFD	BLACKROCK GBL EQ INC TR	KELLOGG SPECIALIST GROUP LLC
BOE	BLACKROCK GLOBAL OPPORTUNITIES	KELLOGG SPECIALIST GROUP LLC
BWC	BLACKROCK WORLD INVESTMENT TRUST	KELLOGG SPECIALIST GROUP LLC
CII	BLACKROCK ENHANCED CAP & INC FD	KELLOGG SPECIALIST GROUP LLC
CWF	CHARTWELL DIVIDEND & INCOME FUND	KELLOGG SPECIALIST GROUP LLC
DPD	DOW 30 PREMIUM & DIVIDEND INCOME	KELLOGG SPECIALIST GROUP LLC
DPO	DOW 30 ENHANCED PREM & INCOME FD	KELLOGG SPECIALIST GROUP LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
DRP	DWS RREEF WORLD RE & TACTICAL ST	KELLOGG SPECIALIST GROUP LLC
ECV	BLACKROCK ENHANCED EQTY YIELD	KELLOGG SPECIALIST GROUP LLC
EEF	BLACKROCK ENHANCED EQUITY YIELD	KELLOGG SPECIALIST GROUP LLC
EOI	EATON VANCE ENHANCED EQUITY FD	KELLOGG SPECIALIST GROUP LLC
EOS	EATON VANCE ENHANCED EQ FD II	KELLOGG SPECIALIST GROUP LLC
ETB	EATON VANCE TAX-MANAGED	KELLOGG SPECIALIST GROUP LLC
ETV	EATON VANCE TAX-MANAGED	KELLOGG SPECIALIST GROUP LLC
ETW	EATON VANCE TAX MANAGED GBL BUY	KELLOGG SPECIALIST GROUP LLC
EVT	EATON VANCE TAX-ADVANTAGED FUND	KELLOGG SPECIALIST GROUP LLC
FFA	FIRST TRUST ENHAN EQU INCOM FD	KELLOGG SPECIALIST GROUP LLC
FGB	FIRST TRUST SPEC FIN AND FIN FD	KELLOGG SPECIALIST GROUP LLC
JDD	NUVEEN DIVERSIFIED DIVIDEND FUND	KELLOGG SPECIALIST GROUP LLC
JPG	NUVEEN EQUITY PREMIUM AND GROWTH	KELLOGG SPECIALIST GROUP LLC
JPZ	NUVEEN EQUITY PREMIUM INCOME FD	KELLOGG SPECIALIST GROUP LLC
JSN	NUVEEN EQUITY PREMIUM OPP FD	KELLOGG SPECIALIST GROUP LLC
NFJ	NFJ DIVIDEND	KELLOGG SPECIALIST GROUP LLC
NIM	NUVEEN SELECT MATURITIES MUNI	KELLOGG SPECIALIST GROUP LLC
SLS	SELIGMAN LASALLE INTL RE FD INC	KELLOGG SPECIALIST GROUP LLC
AKP	ALLIANCE CALIFORNA MUNI FD	KELLOGG SPECIALIST GROUP LLC
AYN	ALLIANCE NEW YORK MUNI FD	KELLOGG SPECIALIST GROUP LLC
BAF	BLACKROCK FLORIDA INSURED MUNI T	KELLOGG SPECIALIST GROUP LLC
BBF	BLACKROCK FLORIDA MUNI TR	KELLOGG SPECIALIST GROUP LLC
BFZ	BLACKROCK CALIFORNIA MUNI TR	KELLOGG SPECIALIST GROUP LLC
BNJ	BLACKROCK NEW JERSEY MUNI TR	KELLOGG SPECIALIST GROUP LLC
BNY	BLACKROCK NEW YORK MUNI TR	KELLOGG SPECIALIST GROUP LLC
CYP	LEHMAN ABS	KELLOGG SPECIALIST GROUP LLC
FJA	MER LN DEP INC SER EQ 1	KELLOGG SPECIALIST GROUP LLC
GJH	SYNTHETIC FIXED-INCOME SEC INC	KELLOGG SPECIALIST GROUP LLC
KCC	8 20 CORTS CERTIFICATES	KELLOGG SPECIALIST GROUP LLC
KRH	STRUCTURED PRODUCTS	KELLOGG SPECIALIST GROUP LLC
KSA	STRUCTURED PRD 8.50% CRP BACKED	KELLOGG SPECIALIST GROUP LLC
KSK	STRUCTURED PRODUCTS	KELLOGG SPECIALIST GROUP LLC
KTN	STRUCTURED PRODUCTS	KELLOGG SPECIALIST GROUP LLC
KTV	STRUCTURED PRD 8.20% CRP BACKED	KELLOGG SPECIALIST GROUP LLC
KTX	STRUCTURED PRODUCTS	KELLOGG SPECIALIST GROUP LLC
MCA	BLACKROCK MUNIYIELD CALF INS	KELLOGG SPECIALIST GROUP LLC
MJI	BLACKROCK MUNIYIELD NJ INS FD	KELLOGG SPECIALIST GROUP LLC
NPY	NUVEEN PA PREM INCM MUNI FND 2	KELLOGG SPECIALIST GROUP LLC
NQJ	NUVEEN NJ INV QUAL MUNI FUND	KELLOGG SPECIALIST GROUP LLC
NUM	NUVEEN MICH QUAL INCOME FUND	KELLOGG SPECIALIST GROUP LLC
NUO	NUVEEN OHIO QUAL INCOME FUND	KELLOGG SPECIALIST GROUP LLC
PFH	CABCO TR FOR J C PENNEY DEBS TR	KELLOGG SPECIALIST GROUP LLC
PJI	MERRILL LYNCH DEPOSITOR INC	KELLOGG SPECIALIST GROUP LLC
PJS	MERRILL LYNCH DEPOSITOR INC	KELLOGG SPECIALIST GROUP LLC
PKM	MERRILL LYNCH DEP 8.00% ALL 1	KELLOGG SPECIALIST GROUP LLC
PYY	MERRILL LYNCH DEPOSITOR	KELLOGG SPECIALIST GROUP LLC
AFB	ALLIANCEBERNSTEIN NATL MUNI INC	KELLOGG SPECIALIST GROUP LLC
BCK	BLACKROCK CA INSURED MUNI TR	KELLOGG SPECIALIST GROUP LLC
BRM	BLACKROCK INS MUNI 2008 TERM	KELLOGG SPECIALIST GROUP LLC
BSE	BLACKROCK NY INSURED MUNI TR	KELLOGG SPECIALIST GROUP LLC
BTA	BLACKROCK LONG TERM MUNICIPAL AD	KELLOGG SPECIALIST GROUP LLC
BYM	BLACKROCK INSURED MUNI TR	KELLOGG SPECIALIST GROUP LLC
CMU	MFS HIGH YIELD MUNICIPAL TRUST	KELLOGG SPECIALIST GROUP LLC
MAV	PIONEER MUNICIPAL HI ADV TR	KELLOGG SPECIALIST GROUP LLC
MHI	PIONEER MUNICIPAL HIGH INCOME TR	KELLOGG SPECIALIST GROUP LLC
MNE	BLACKROCK MUNI NY INTERM DUR FD	KELLOGG SPECIALIST GROUP LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
MQY	BLACKROCK MUNIYIELD QUALITY FUND	KELLOGG SPECIALIST GROUP LLC
MUE	BLACKROCK MUNIHOLDINGS INSURED	KELLOGG SPECIALIST GROUP LLC
MUH	BLACKROCK MUNIHOLDINGS FUND II	KELLOGG SPECIALIST GROUP LLC
NAD	NUVEEN DIV ADVANTAGE MUNI FD	KELLOGG SPECIALIST GROUP LLC
NCL	NUVEEN INS CA PREM INCM MUNI 2	KELLOGG SPECIALIST GROUP LLC
NIF	NUVEEN PREMIUM INS MUNI	KELLOGG SPECIALIST GROUP LLC
NMD	NUVEEN MUNI HI INC OPP FD 2	KELLOGG SPECIALIST GROUP LLC
NQC	NUVEEN CA INV QUALITY MUNI FND	KELLOGG SPECIALIST GROUP LLC
NUN	NUVEEN NY QUAL INCM MUNI FUND	KELLOGG SPECIALIST GROUP LLC
PCK	PIMCO CALIFORNIA MUNI FD II	KELLOGG SPECIALIST GROUP LLC
PCQ	PIMCO CALIFORNIA MUNI FD	KELLOGG SPECIALIST GROUP LLC
PMF	PIMCO MUNICIPAL INCOME FUND	KELLOGG SPECIALIST GROUP LLC
PML	PIMCO MUNICIPAL INCOME FUND II	KELLOGG SPECIALIST GROUP LLC
PMX	PIMCO MUNICIPAL INCOME FUND III	KELLOGG SPECIALIST GROUP LLC
PNF	PIMCO NEW YORK MUNICIPAL FD	KELLOGG SPECIALIST GROUP LLC
PNI	PIMCO NEW YORK MUNI FD II	KELLOGG SPECIALIST GROUP LLC
PYN	PIMCO NEW YORK MUNI FD III	KELLOGG SPECIALIST GROUP LLC
PZC	PIMCO CALIFORNIA MUNI FD III	KELLOGG SPECIALIST GROUP LLC
ABV	DE BEDIDAS DAS AMERICAS ADS 20PF	LABRANCHE AND CO.
ABV C	DE BEDIDAS DAS AMERICAS ADS 20CM	LABRANCHE AND CO.
ASR	GRUPO AEROPORTUARIO DEL SURESTE	LABRANCHE AND CO.
BCH	BANCO DE CHILE	LABRANCHE AND CO.
BRP	BRASIL TELECOM PARTICIPACOES	LABRANCHE AND CO.
CTC	DE TELECOMUNICACIONES DE CHILE	LABRANCHE AND CO.
CX	CEMEX DE CV	LABRANCHE AND CO.
DYS	DISTRIBUCION Y SERVICIO D&S	LABRANCHE AND CO.
EDN	EMPRESA DISTRIBUIDORA Y COMERCI	LABRANCHE AND CO.
ERJ	EMBRAER EMPRESA BRASILEIRA DE AE	LABRANCHE AND CO.
ITU	BANCO ITAU HOLDING FINANCEIRA	LABRANCHE AND CO.
LFL	LAN AIRLINES S A	LABRANCHE AND CO.
NTL	NORTEL INVESORA 1/20 SER B SHR	LABRANCHE AND CO.
SAN	BANCO SANTANDER CHILE	LABRANCHE AND CO.
SBS	COMPANHIA DE SANEAMENTO BASICO	LABRANCHE AND CO.
TAM	TAM S.A.	LABRANCHE AND CO.
TII	TELMEX INTERNACIONAL SAB DE CV	LABRANCHE AND CO.
TII A	TELMEX INTERNACIONAL SAB DE CV	LABRANCHE AND CO.
TMX	TELEFONOS DE MEXICO	LABRANCHE AND CO.
TV	GRUPO TELEVISA	LABRANCHE AND CO.
VIV	V IVO PARTICIPACOES S.A.	LABRANCHE AND CO.
BTM	BRASIL TELECOM S.A.	LABRANCHE AND CO.
CIB	BANCOLOMBIA S A	LABRANCHE AND CO.
CIG	COMPANHIA ENERGETICA DE MINAS	LABRANCHE AND CO.
CIG C	COMPANHIA ENERGETICA DE MINAS	LABRANCHE AND CO.
CPL	CPFL ENERGIA S.A.	LABRANCHE AND CO.
ELP	PARANAENSE DE ENERGIA COPEL	LABRANCHE AND CO.
GGB	GERDAU S A	LABRANCHE AND CO.
IBA	INDUSTRIAS BACHOCO S.A.B. DE CV	LABRANCHE AND CO.
ICA	EMPRESAS ICA, S.A. DE C.V.	LABRANCHE AND CO.
IRS	IRSA INVERSIONES V REPRESENT	LABRANCHE AND CO.
PZE	PETROBRAS ENERGIA PARTICIPACIONE	LABRANCHE AND CO.
TAR	TELEFONICA DE ARGENTINA S.A. NEW	LABRANCHE AND CO.
TBH	TELECOM BRAS-TELEBRAS ADS 1 DP	LABRANCHE AND CO.
TEO	TELECOM ARGENTINA S.A.	LABRANCHE AND CO.
TMB	TELEMIG CELULAR PARTICIPACOES	LABRANCHE AND CO.
TNE	TELE NORTE LESTE PARTICIPACOES	LABRANCHE AND CO.

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
TSP	TELECOM DE SAO PAULO S/A TELES P	LABRANCHE AND CO.
TSU	TIM PARTICIPACOES S.A.	LABRANCHE AND CO.
VCP	VOTORANTIM CELULOSE E PAPEL S A	LABRANCHE AND CO.
ABB	ABB LTD	LABRANCHE AND CO.
ALV	AUTOLIV	LABRANCHE AND CO.
BBV	BANCO BILBAO VIZCAYA ARGENTARIA	LABRANCHE AND CO.
CGV	CGG VERITAS	LABRANCHE AND CO.
DAI	DAIMLER AG	LABRANCHE AND CO.
DEO	DIAGEO PCL	LABRANCHE AND CO.
E	ENI S P A	LABRANCHE AND CO.
GSK	GLAXOSMITHKLIINE	LABRANCHE AND CO.
HBC	HSBC HOLDINGS	LABRANCHE AND CO.
ING	ING GROEP N V	LABRANCHE AND CO.
IRE	GOVERNOR OF BK OF IRELAND	LABRANCHE AND CO.
LYG	LLOYDS TSB GROUP PLC	LABRANCHE AND CO.
MTA	MAGYAR TELEKOM	LABRANCHE AND CO.
NVS	NOVARTIS AG	LABRANCHE AND CO.
PHG	KONINKLIJKE PHILIPS ELEC NV	LABRANCHE AND CO.
PT	PORTUGAL TELECOM SGPS	LABRANCHE AND CO.
SNN	SMITH & NEPHEW	LABRANCHE AND CO.
SNY	SANOFI - AVENTIS	LABRANCHE AND CO.
SSL	SASOL LIMITED	LABRANCHE AND CO.
TOT	TOTAL S.A.	LABRANCHE AND CO.
TS	TENARIS S A	LABRANCHE AND CO.
AER	AERCAP HOLDINGS N V	LABRANCHE AND CO.
ATV	ACORN INTERNATIONAL, INC.	LABRANCHE AND CO.
EDU	NEW ORIENTAL EDUCATION & TECH	LABRANCHE AND CO.
KNM	KONAMI CORPORATION	LABRANCHE AND CO.
KUB	KUBOTA	LABRANCHE AND CO.
MXE	MEXICO EQUITY & INCOME FUND	LABRANCHE AND CO.
MXE PR	MEXICO EQUITY AND INCOME FUND	LABRANCHE AND CO.
NMR	NOMURA HOLDINGS INC	LABRANCHE AND CO.
NPD	CHINA NEPSTAR CHAIN DRUGSTORE	LABRANCHE AND CO.
NTT	NIPPON TELEGRAPH & TELEPHONE	LABRANCHE AND CO.
RBS	THE ROYAL BANK OF SCOTLAND GROUP	LABRANCHE AND CO.
SCR	SIMCERE PHARMACEUTICAL GROUP	LABRANCHE AND CO.
STV	CHINA DIGITAL TV HLDGS CO LTD	LABRANCHE AND CO.
TM	TOYOTA MOTOR	LABRANCHE AND CO.
TSL	TRINA SOLAR LIMITED	LABRANCHE AND CO.
TSM	TAIWAN SEMICONDUCTOR MAN	LABRANCHE AND CO.
YGE	YINGLI GREEN ENERGY HOLDING COMP	LABRANCHE AND CO.
ACH	ALUMINUM CORP OF CHINA LIMITED	LABRANCHE AND CO.
ASX	ADVANCED SEMICONDUCTOR	LABRANCHE AND CO.
CN	CHINA NETCOM GROUP CORP	LABRANCHE AND CO.
KB	KOOKMIN BANK	LABRANCHE AND CO.
LFC	CHINA LIFE INSURANCE	LABRANCHE AND CO.
PHI	PHILIPPINE LONG DISTANCE TEL	LABRANCHE AND CO.
PTR	PETROCHINA	LABRANCHE AND CO.
QXM	QIAO XING MOBILE COMM CO., LTD	LABRANCHE AND CO.
SNP	CHINA PETROLEUM & CHEM CORP	LABRANCHE AND CO.
VIP	OPEN JOINT CO VIMPEL COMM	LABRANCHE AND CO.
ALU	ALCATEL LUCENT	LABRANCHE AND CO.
F	FORD MOTOR	LABRANCHE AND CO.
F PRS	FORD MOTOR COMPANY CAPITAL	LABRANCHE AND CO.
MHS	MEDCO HEALTH SOLUTIONS INC	LABRANCHE AND CO.
NOK	NOKIA	LABRANCHE AND CO.

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
Q	QWEST COMM INTL	LABRANCHE AND CO.
VIA	VIACOM ONC (NEW)	LABRANCHE AND CO.
VIA B	VIACOM INC (NEW)	LABRANCHE AND CO.
CHA	CHINA TELECOM CORPORATION LMT	LABRANCHE AND CO.
GSH	GUANGSHEN RAILWAY	LABRANCHE AND CO.
HNP	HUANENG POWER INTERATIONAL	LABRANCHE AND CO.
LDK	LDK SOLAR CO., LTD.	LABRANCHE AND CO.
LFT	LONGTOP FINANCIAL TECHNOLOGIES	LABRANCHE AND CO.
QI	QIMONDA AG	LABRANCHE AND CO.
SHI	SINOPEC SHANGHAI PETROCHEMICAL	LABRANCHE AND CO.
STP	SUNTECH POWER HOLDINGS CO LTD	LABRANCHE AND CO.
UMC	UNITED MICROELECTRONICS NEW	LABRANCHE AND CO.
WF	WOORI FINANCE HOLDINGS CO LTD	LABRANCHE AND CO.
WH	WSP HOLDINGS LIMITED	LABRANCHE AND CO.
XIN	XINYUAN REAL ESTATE CO LTD	LABRANCHE AND CO.
DFS	DISCOVER FINANCIAL SERVICES	LABRANCHE AND CO.
KR	KROGER	LABRANCHE AND CO.
NWS	NEWS CORPORATION	LABRANCHE AND CO.
NWS A	NEWS CORPORATION	LABRANCHE AND CO.
PM	PHILIP MORRIS INTERNATIONAL INC	LABRANCHE AND CO.
TRF	TEMPLETON RUSSIA & EAST EUROPEAN	LABRANCHE AND CO.
AEP PRA	AMERICAN ELECTRIC POWER CO INC	LABRANCHE AND CO.
BEE PRA	STRATEGIC HOTELS & RESORTS INC	LABRANCHE AND CO.
DD PRA	DU PONT DE NEMOURS 3.50PFD	LABRANCHE AND CO.
DD PRB	DU PONT DE NEMOURS 4.50PFD	LABRANCHE AND CO.
FWF	WELLS FARGO CAPITAL XI	LABRANCHE AND CO.
GJV	SYNTHETIC FIXED INCOME SEC INC	LABRANCHE AND CO.
GYC	CORPORATE ASSET BACKED CORP	LABRANCHE AND CO.
HJS	MS STRUCTURED ASSET	LABRANCHE AND CO.
JZT	LEHMAN ABS CORPORATION	LABRANCHE AND CO.
KCW	STRUCTURED PRODUCTS	LABRANCHE AND CO.
KNR	STRUCTURED PRODUCTS CORP	LABRANCHE AND CO.
PIY	MERRILL LYNCH DEPOSITOR INC	LABRANCHE AND CO.
PJA	MERRILL LYNCH DEP 8.00% QWS 2	LABRANCHE AND CO.
PKH	MERRILL LYNCH DEPOSITOR INC	LABRANCHE AND CO.
RBV	CBS CORP	LABRANCHE AND CO.
RLH PRA	RED LION HOTELS CAPITAL TRUST	LABRANCHE AND CO.
VNV	VIACOM INC 6.85% SENIOR NOTES	LABRANCHE AND CO.
XFB	LEHMAN ABS 8.125%	LABRANCHE AND CO.
XFR	LEHMAN ABS CORPORATION	LABRANCHE AND CO.
XKK	LEHMAN ABS CORPORATION	LABRANCHE AND CO.
ATT	AT&T 6.375 SR NOTES	LABRANCHE AND CO.
DKL	MS STRUCTURED ASSET CORP	LABRANCHE AND CO.
DKX	MS STRUCTURED ASSET CORP	LABRANCHE AND CO.
F PRA	FORD MOTOR COMPANY	LABRANCHE AND CO.
FCJ	FORD MOTOR CREDIT COMPANY LLC	LABRANCHE AND CO.
FCZ	FORD MOTOR CREDIT COMPANY LLC	LABRANCHE AND CO.
GJF	SYNTHETIC FIXED INCOME	LABRANCHE AND CO.
GJL	SYNTHETIC FIXED-INCOME SEC INC	LABRANCHE AND CO.
HJA	MS STRUCTURED ASSET CORP	LABRANCHE AND CO.
IDG	ING GROEP NV 7.375%	LABRANCHE AND CO.
JZE	LEHMAN ABS CORP	LABRANCHE AND CO.
JZJ	LEHMAN ABS CORP	LABRANCHE AND CO.
KVU	STRUCTURED PRODUCTS CORP	LABRANCHE AND CO.
LXP PRD	LEXINGTON REALTY TRUST	LABRANCHE AND CO.
PIJ	MERRILL LYNCH DEPOSITOR INC	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PJE	MERRILL LYNCH DEPOSITOR INC	LABRANCHE AND CO.
PYO	MERRILL LYNCH DEPOSITOR INC	LABRANCHE AND CO.
PYS	MERRILL LYNCH DEPOSITOR	LABRANCHE AND CO.
TZK	BEAR STEARNS DEPOSITOR INC	LABRANCHE AND CO.
XKN	LEHMAN ABS CORPORATION	LABRANCHE AND CO.
XVF	LEHMAN ABS CORPORATION	LABRANCHE AND CO.
WPO	WASHINGTON POST	LABRANCHE AND CO.
WTM	WHITE MOUNTAINS INSURANCE GROUP	LABRANCHE AND CO.
Y	ALLEGHANY	LABRANCHE AND CO.
MMM	3M COMPANY	LABRANCHE AND CO.
NBL	NOBLE ENERGY INC	LABRANCHE AND CO.
WMI	WASTE MANAGEMENT	LABRANCHE AND CO.
CBS	CBS CORP	LABRANCHE AND CO.
CBS A	CBS CORP	LABRANCHE AND CO.
DD	DU PONT DE NEMOURS E I	LABRANCHE AND CO.
NKE	NIKE	LABRANCHE AND CO.
CVX	CHEVRON CORPORATION	LABRANCHE AND CO.
HAL	HALLIBURTON HOLDING COMPANY	LABRANCHE AND CO.
LEH	LEHMAN BROTHERS HOLDINGS	LABRANCHE AND CO.
LEH PRP	LEHMAN BROTHERS HOLDINGS INC	LABRANCHE AND CO.
BMY	BRISTOL MYERS SQUIBB	LABRANCHE AND CO.
BMY PR	BRISTOL MYERS SQUIBB CV PFD	LABRANCHE AND CO.
DLB	DOLBY LABORATORIES	LABRANCHE AND CO.
HEK	HECKMANN CORPORATION	LABRANCHE AND CO.
HEK U	HECKMANN CORP UNITS	LABRANCHE AND CO.
HEK WS	HECKMANN CORP WARRANTS	LABRANCHE AND CO.
MYL	MYLAN INC	LABRANCHE AND CO.
MYL PRA	MYLAN INC	LABRANCHE AND CO.
SGP	SCHERING PLOUGH	LABRANCHE AND CO.
SGP PRB	SCHERING-PLOUGH CORP	LABRANCHE AND CO.
STX	SEAGATE TECHNOLOGY	LABRANCHE AND CO.
MS	MORGAN STANLEY	LABRANCHE AND CO.
WFC	WELLS FARGO & COMPANY	LABRANCHE AND CO.
BRK A	BERKSHIRE HATHAWAY	LABRANCHE AND CO.
BRK B	BERKSHIRE HATHAWAY CLASS B	LABRANCHE AND CO.
AGN	ALLERGAN	LABRANCHE AND CO.
EK	EASTMAN KODAK	LABRANCHE AND CO.
PEG	PUB SVC ENTERPRISE GROUP	LABRANCHE AND CO.
ETR	ENTERGY	LABRANCHE AND CO.
ETR PRA	ENTERGY CORPORATION 7.625%	LABRANCHE AND CO.
NE	NOBLE CORP (NEW) (CAYMAN ISLANDS	LABRANCHE AND CO.
NUE	NUCOR	LABRANCHE AND CO.
PLD	PROLOGIS	LABRANCHE AND CO.
SPG	SIMON PROPERTY GROUP	LABRANCHE AND CO.
SPG PRI	SIMON PROPERTY GROUP	LABRANCHE AND CO.
A	AGILENT TECHNOLOGIES	LABRANCHE AND CO.
EMR	EMERSON ELECTRIC	LABRANCHE AND CO.
EXC	EXELON CORP	LABRANCHE AND CO.
FLS	FLOWSERVE	LABRANCHE AND CO.
MDT	MEDTRONIC	LABRANCHE AND CO.
WU	WESTERN UNION CO	LABRANCHE AND CO.
ABX	BARRICK GOLD	LABRANCHE AND CO.
AUY	YAMANA GOLD INC	LABRANCHE AND CO.
GFI	GOLD FIELDS LIMITED	LABRANCHE AND CO.
GG	GOLDCORP INC	LABRANCHE AND CO.
GG WS	GOLDCORP INC	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
KGC	KINROSS GOLD CORPORATION	LABRANCHE AND CO.
SLW	SILVER WHEATON	LABRANCHE AND CO.
ALK	ALASKA AIR GROUP	LABRANCHE AND CO.
BID	SOTHEBY'S	LABRANCHE AND CO.
CBZ	CBIZ INC	LABRANCHE AND CO.
CC	CIRCUIT CITY GP	LABRANCHE AND CO.
CFR	CULLEN FROST BANKERS	LABRANCHE AND CO.
CGX	CONSOLIDATED GRAPHICS	LABRANCHE AND CO.
CJR	CORUS ENTERTAINMENT	LABRANCHE AND CO.
CKP	CHECKPOINT SYSTEMS	LABRANCHE AND CO.
CLS	CELESTICA	LABRANCHE AND CO.
CRN	CORNELL COMPANIES INC	LABRANCHE AND CO.
CTV	COMMSCOPE	LABRANCHE AND CO.
DST	DST SYSTEMS	LABRANCHE AND CO.
WRB	W R BERKLEY CORP	LABRANCHE AND CO.
CSC	COMPUTER SCIENCES	LABRANCHE AND CO.
FHN	FIRST HORIZON NATIONAL	LABRANCHE AND CO.
FIC	FAIR ISAAC INC	LABRANCHE AND CO.
FRM	FURMANITE CORPORATION	LABRANCHE AND CO.
GXP	GREAT PLAINS ENERGY INCORPORATED	LABRANCHE AND CO.
HE	HAWAIIAN ELECTRIC INDUSTRIES	LABRANCHE AND CO.
HNZ	HEINZ HJ	LABRANCHE AND CO.
HNZ PR	HEINZ HJ 1.70 CV PFD	LABRANCHE AND CO.
HYC	HYPERCOM	LABRANCHE AND CO.
IMN	IMATION	LABRANCHE AND CO.
JEF	JEFFERIES GROUP	LABRANCHE AND CO.
LGF	LIONS GATE ENTERTAINMENT	LABRANCHE AND CO.
MDP	MEREDITH	LABRANCHE AND CO.
ODP	OFFICE DEPOT	LABRANCHE AND CO.
MRH	MONTPELIER RE HOLHINGS LTD	LABRANCHE AND CO.
PRE	PARTNERRE	LABRANCHE AND CO.
RRD	DONNELLEY R R & SONS	LABRANCHE AND CO.
SJI	SOUTH JERSEY INDS	LABRANCHE AND CO.
SRX	SRA INTERNATIONAL	LABRANCHE AND CO.
TSN	TYSON FOODS	LABRANCHE AND CO.
UIL	UIL HOLDINGS CO	LABRANCHE AND CO.
VCI	VALASSIS COMMUNICATIONS	LABRANCHE AND CO.
WST	WEST PHARMACEUTICAL SERVICES	LABRANCHE AND CO.
WYN	WYNDHAM WORLDWIDE CORP	LABRANCHE AND CO.
AFG	AMERICAN FINANCIAL GROUP HOLDING	LABRANCHE AND CO.
AMP	AMERIPRISE FINANCIAL INC WI	LABRANCHE AND CO.
ARE	ALEXANDRIA REAL ESTATE EQUITIES	LABRANCHE AND CO.
BRE	BRE PROPERTIES	LABRANCHE AND CO.
CPT	CAMDEN PROPERTY TRUST	LABRANCHE AND CO.
CUZ	COUSINS PROPERTIES	LABRANCHE AND CO.
DCT	DCT INDUSTRIAL TRUST INC	LABRANCHE AND CO.
DLR	DIGITAL REALTY TRUST	LABRANCHE AND CO.
DRE	DUKE REALTY CORP	LABRANCHE AND CO.
ESS	ESSEX PROPERTY TRUST	LABRANCHE AND CO.
FRT	FEDERAL REALTY INVESTMENT TRUST	LABRANCHE AND CO.
GTY	GETTY REALTY	LABRANCHE AND CO.
HR	HEALTHCARE REALTY TRUST	LABRANCHE AND CO.
JRT	JER INVESTORS TRUST	LABRANCHE AND CO.
LHO	LASALLE HOTEL PROPERTIES	LABRANCHE AND CO.
LXP	LEXINGTON REALTY TRUST	LABRANCHE AND CO.
LXP PRC	LEXINGTON REALTY TRUST 6.5%	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
MAA	MID AMER APARTMENT COMMS	LABRANCHE AND CO.
SSS	SOVRAN SELF STORAGE	LABRANCHE AND CO.
TCO	TAUBMAN CENTERS	LABRANCHE AND CO.
UDR	UDR INC	LABRANCHE AND CO.
T	AT&T INC	LABRANCHE AND CO.
WB	WACHOVIA CORPORATION	LABRANCHE AND CO.
WB PRT	WACHOVIA CORPORATION 7.5%	LABRANCHE AND CO.
XOM	EXXON MOBIL	LABRANCHE AND CO.
AXP	AMERICAN EXPRESS	LABRANCHE AND CO.
SLB	SCHLUMBERGER	LABRANCHE AND CO.
GNA	GERDAU AMERISTEEL	LABRANCHE AND CO.
GPS	GAP	LABRANCHE AND CO.
RDN	RADIAN GROUP	LABRANCHE AND CO.
FRE	FREDDIE MAC D/B/A VOTING	LABRANCHE AND CO.
LOW	LOWES COMPANIES	LABRANCHE AND CO.
MO	ALTRIA GROUP	LABRANCHE AND CO.
CVS	CVS CAREMARK CORPORATION	LABRANCHE AND CO.
MCY	MERCURY GENERAL	LABRANCHE AND CO.
MRK	MERCK & COMPANY	LABRANCHE AND CO.
CAL	CONTINENTAL CLASS B COMMON	LABRANCHE AND CO.
CHK	CHESAPEAKE ENERGY OKLAHOMA	LABRANCHE AND CO.
CHK PRD	CHESAPEAKE ENERGY CORP 4.50%	LABRANCHE AND CO.
CHK PRE	CHESAPEAKE ENERGY CORP	LABRANCHE AND CO.
HIG	HARTFORD FIN SERVICES GP	LABRANCHE AND CO.
KFT	KRAFT FOODS INC	LABRANCHE AND CO.
UPS	UNITED PARCEL SERVICE	LABRANCHE AND CO.
ALC	ASSISTED LIVING CONCEPTS INC	LABRANCHE AND CO.
BMC	BMC SOFTWARE INC	LABRANCHE AND CO.
BTE	BAYTEX ENERGY TRUST	LABRANCHE AND CO.
CMA	COMERICA	LABRANCHE AND CO.
ECL	ECOLAB	LABRANCHE AND CO.
GGC	GEORGIA GULF	LABRANCHE AND CO.
HVT	HAVERTY FURNITURE COMPANIES	LABRANCHE AND CO.
HVT A	HAVERTY FURNITURE COMPANIES CLA	LABRANCHE AND CO.
OGE	OGE ENERGY HOLDING COMPANY	LABRANCHE AND CO.
PVH	PHILLIPS-VAN HEUSEN	LABRANCHE AND CO.
RYL	RYLAND GROUP	LABRANCHE AND CO.
SIX	SIX FLAGS INC	LABRANCHE AND CO.
SIX PRB	SIX FLAGS INC	LABRANCHE AND CO.
SMA	SYMMETRY MEDICAL	LABRANCHE AND CO.
SRP	SIERRA PACIFIC RESOURCES	LABRANCHE AND CO.
TEL	TYCO ELECTRONICS LTD	LABRANCHE AND CO.
THG	HANOVER INSURANCE GROUP INC	LABRANCHE AND CO.
AGO	ASSURED GUARANTY LTD	LABRANCHE AND CO.
BF A	BROWN-FORMAN CLASS A	LABRANCHE AND CO.
BF B	BROWN-FORMAN CLASS B	LABRANCHE AND CO.
FNB	FNB	LABRANCHE AND CO.
HRH	HILB ROGAL AND HOBBS CO	LABRANCHE AND CO.
JAS	JO-ANN STORES INC	LABRANCHE AND CO.
KKD	KRISPY KREME DOUGHNUTS	LABRANCHE AND CO.
NI	NISOURCE	LABRANCHE AND CO.
UB	UNIONBANCAL COMMON STOCK	LABRANCHE AND CO.
WR	WESTAR ENERGY INC	LABRANCHE AND CO.
WRS	WESTAR ENERGY INC	LABRANCHE AND CO.
XEL	XCEL ENERGY	LABRANCHE AND CO.
XJT	EXPRESSJET HOLDINGS INC	LABRANCHE AND CO.

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
ZNT	ZENITH NATIONAL INSURANCE	LABRANCHE AND CO.
BJ	BJS WHOLESale CLUB	LABRANCHE AND CO.
BWA	BORG-WARNER INC.	LABRANCHE AND CO.
CEM	CHEMTURA CORP	LABRANCHE AND CO.
COO	COOPER COMPANIES	LABRANCHE AND CO.
EYE	ADVANCED MEDICAL OPTICS INC	LABRANCHE AND CO.
FDS	FACTSET RESEARCH SYSTEMS	LABRANCHE AND CO.
FMD	THE FIRST MARBLEHEAD CORP	LABRANCHE AND CO.
FUL	H B FULLER COMPANY	LABRANCHE AND CO.
GCO	GENESCO	LABRANCHE AND CO.
IWA	IOWA TELECOMMUNICATIONS SERVICES	LABRANCHE AND CO.
ME	MARINER ENERGY	LABRANCHE AND CO.
PBG	PEPSI BOTTLING GROUP	LABRANCHE AND CO.
PBT	PERMIAN BASIN RTY TR UNIT BEN	LABRANCHE AND CO.
PDX	PEDIATRIX MEDICAL GROUP	LABRANCHE AND CO.
RHT	RED HAT INC	LABRANCHE AND CO.
SJR	SHAW COMMUNICATIONS	LABRANCHE AND CO.
STR	QUESTAR	LABRANCHE AND CO.
TNB	THOMAS & BETTS	LABRANCHE AND CO.
WHX	WHITING USA TRUST I	LABRANCHE AND CO.
WLL	WHITING PETROLEUM	LABRANCHE AND CO.
AVY	AVERY INTERNATIONAL	LABRANCHE AND CO.
AVY PRA	AVERY DENNISON CORP	LABRANCHE AND CO.
DF	DEAN FOODS COMPANY	LABRANCHE AND CO.
EL	ESTEE LAUDER COMPANIES	LABRANCHE AND CO.
FLE	FLEETWOOD ENTERPRISES	LABRANCHE AND CO.
GEO	THE GEO GROUP INC	LABRANCHE AND CO.
KCI	KINETIC CONCEPTS INC	LABRANCHE AND CO.
LYV	LIVE NATION INC	LABRANCHE AND CO.
NUS	NU SKIN ENTERPRISES	LABRANCHE AND CO.
PRD	PRIMUS GUARANTY LTD	LABRANCHE AND CO.
TAP	MOLSON COORS BREWING CO	LABRANCHE AND CO.
TAP A	MOLSON COORS BREWING CO	LABRANCHE AND CO.
TBI	TRUEBLUE INC	LABRANCHE AND CO.
TGX	THERAGENICS	LABRANCHE AND CO.
WGO	WINNEBAGO INDS	LABRANCHE AND CO.
ATK	ALLIANT TECH SYSTEMS	LABRANCHE AND CO.
BEZ	BALDOR ELECTRIC	LABRANCHE AND CO.
CR	CRANE	LABRANCHE AND CO.
CZN PR	CITIZENS UTIL TR CAP CV EPPICS	LABRANCHE AND CO.
FTR	FRONTIER COMMUNICATIONS CORP	LABRANCHE AND CO.
HAE	HAEMONETICS	LABRANCHE AND CO.
IEX	IDEX	LABRANCHE AND CO.
OCR	OMNICARE	LABRANCHE AND CO.
OCR PRA	OMNICARE CAPITAL TRUST I	LABRANCHE AND CO.
OCR PRB	OMNICARE CAPITAL TRUST II	LABRANCHE AND CO.
PRA	PROASSURANCE CORP	LABRANCHE AND CO.
PWR	QUANTA SERVICES	LABRANCHE AND CO.
TFX	TELEFLEX	LABRANCHE AND CO.
TUC	MAC-GRAY	LABRANCHE AND CO.
AIT	APPLIED INDUSTRIAL TECHNOLOGIES	LABRANCHE AND CO.
CAE	CASCADE	LABRANCHE AND CO.
CPO	CORN PRODUCTS INTERNATIONAL	LABRANCHE AND CO.
CVH	COVENTRY HLTH CARE \$.01 PAR	LABRANCHE AND CO.
GNI	GREAT NORTH IRON ORE CTFBIN	LABRANCHE AND CO.
GTN	GRAY TELEVISION CLASS B	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
GTN A	GRAY TELEVISION CLASS A	LABRANCHE AND CO.
KFY	KORN/FERRY INTERNATIONAL	LABRANCHE AND CO.
MAN	MANPOWER	LABRANCHE AND CO.
MIL	MILLPORE	LABRANCHE AND CO.
NL	NL INDUSTRIES	LABRANCHE AND CO.
ROG	ROGERS	LABRANCHE AND CO.
WPI	WATSON PHARMACEUTICALS	LABRANCHE AND CO.
AG	AGCO	LABRANCHE AND CO.
LNN	LINDSAY CORPORATION	LABRANCHE AND CO.
MA	MASTERCARD	LABRANCHE AND CO.
MOS	THE MOSAIC COMPANY	LABRANCHE AND CO.
ALE	ALLETE	LABRANCHE AND CO.
ATO	ATMOS ENERGY	LABRANCHE AND CO.
ATU	ACTUANT CORP	LABRANCHE AND CO.
BLT	BLOUNT INTERNATIONAL	LABRANCHE AND CO.
BMO	BANK OF MONTREAL	LABRANCHE AND CO.
BOH	BANK OF HAWAII CORPORATION	LABRANCHE AND CO.
BRO	BROWN & BROWN	LABRANCHE AND CO.
BRY	BERRY PETROLIUM	LABRANCHE AND CO.
BWS	BROWN SHOE	LABRANCHE AND CO.
CIX	COMPX INTERNATIONAL	LABRANCHE AND CO.
CRD A	CRAWFORD & CO CLA	LABRANCHE AND CO.
CRD B	CRAWFORD & COMPANY CLB	LABRANCHE AND CO.
DEL	DELTIC TIMBER	LABRANCHE AND CO.
DTE	DTE ENERGY	LABRANCHE AND CO.
EMN	EASTMAN CHEMICAL	LABRANCHE AND CO.
EQT	EQUITABLE RESOURCES	LABRANCHE AND CO.
FBR	FRIEDMAN BILLINGS RAMSEY GP CLA	LABRANCHE AND CO.
FII	FEDERATED INVESTORS	LABRANCHE AND CO.
FIX	COMFORT SYSTEMS USA	LABRANCHE AND CO.
CAI	CACI INTERNATIONAL INC	LABRANCHE AND CO.
CI	CIGNA	LABRANCHE AND CO.
CNH	CNH GLOBAL NV	LABRANCHE AND CO.
CSU	CAPITAL SENIOR LIVING	LABRANCHE AND CO.
FRP	FAIRPOINT COMMUNICATIONS INC	LABRANCHE AND CO.
GPN	GLOBAL PAYMENTS	LABRANCHE AND CO.
LCC	US AIRWAYS GROUP INC	LABRANCHE AND CO.
LEE	LEE ENTERPRISES INC	LABRANCHE AND CO.
MVO	MV OIL TRUST	LABRANCHE AND CO.
TXI	TEXAS INDUSTRIES	LABRANCHE AND CO.
VAR	VARIAN ASSOC	LABRANCHE AND CO.
AAP	ADVANCE AUTO PARTS INC	LABRANCHE AND CO.
CTX	CENTEX	LABRANCHE AND CO.
JEC	JACOBS ENGINEERING GROUP	LABRANCHE AND CO.
RRC	RANGE RESOURCES	LABRANCHE AND CO.
STJ	ST JUDE MEDICAL	LABRANCHE AND CO.
STT	STATE STREET	LABRANCHE AND CO.
SU	SUNCOR ENERGY	LABRANCHE AND CO.
ZMH	ZIMMER HOLDINGS INC	LABRANCHE AND CO.
GGG	GRACO	LABRANCHE AND CO.
GPK	GRAPHIC PACKAGING HOLDING CO	LABRANCHE AND CO.
GVA	GRANITE CONSTRUCTION	LABRANCHE AND CO.
HCC	HCC INSURANCE HOLDINGS	LABRANCHE AND CO.
JLL	JONES LANG LASALLE	LABRANCHE AND CO.
KDE	4KIDS ENTERTAINMENT	LABRANCHE AND CO.
KHD	KHD HUMBOLDT WEDAG INTL LTD	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
KMX	CARMAX INC	LABRANCHE AND CO.
KRO	KRONOS WORLDWIDE INC	LABRANCHE AND CO.
LII	LENNOX INTERNATIONAL	LABRANCHE AND CO.
MOG A	MOOG INC CL A	LABRANCHE AND CO.
MOG B	MOOG INC CL B	LABRANCHE AND CO.
MWV	MEADWESTVACO CORPORATION	LABRANCHE AND CO.
NAL	NEWALLIANCE BANCSHARES INC.	LABRANCHE AND CO.
NS	NUSTAR ENERGY L P	LABRANCHE AND CO.
NYB	NEW YORK COMMUNITY BANCORP INC	LABRANCHE AND CO.
NYB PRU	NEW YORK COMMUNITY CAPITAL TRUST	LABRANCHE AND CO.
OFG	ORIENTAL FINANCIAL GROUP	LABRANCHE AND CO.
PFS	PROVIDENT FINANCIAL SERVICES INC	LABRANCHE AND CO.
RLH	RED LION HOTELS CORP	LABRANCHE AND CO.
SJT	SAN JUAN BASIN ROYALTY TR	LABRANCHE AND CO.
SKX	SKECHERS USA	LABRANCHE AND CO.
TAC	TRANSALTA CORP	LABRANCHE AND CO.
TEG	INTEGRYS ENERGY GROUP, INC.	LABRANCHE AND CO.
TMR	MERIDIAN RESOURCES	LABRANCHE AND CO.
TPL	TEXAS PAC LD TR SUB SH CTFS	LABRANCHE AND CO.
UHS	UNIVERSAL HEALTH SERVICES	LABRANCHE AND CO.
UNM	UNUM GROUP	LABRANCHE AND CO.
WBS	WEBSTER FINANCIAL CORPORATION	LABRANCHE AND CO.
WPP	WAUSAU PAPER CORP	LABRANCHE AND CO.
ADM	ARCHER DANIELS MIDLAND	LABRANCHE AND CO.
ADM PRA	ARCHER DANIELS MIDLAND CO	LABRANCHE AND CO.
CIT	CIT GROUP INC	LABRANCHE AND CO.
CIT PRC	CIT GROUP INC	LABRANCHE AND CO.
CIT PRZ	CIT GROUP INC	LABRANCHE AND CO.
FFH	FAIRFAX FINANCIAL HOLDINGS LMT	LABRANCHE AND CO.
MHK	MOHAWK INDUSTRIES	LABRANCHE AND CO.
MTB	M&T BANK	LABRANCHE AND CO.
PCP	PRECISION CASTPARTS	LABRANCHE AND CO.
TJX	TJX COMPANIES	LABRANCHE AND CO.
WHR	WHIRLPOOL	LABRANCHE AND CO.
AZN	ASTRAZENECA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BCE	BCE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BCS	BARCLAYS ADS 4 ORDINARY U	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BNS	BANK OF NOVA SCOTIA (THE)	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CBY	CADBURY PLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CYD	CHINA YUCHAI INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DEG	ETABISSEMENT DELHAIZE FRERES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ENL	REED ELSEVIER NV	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ES	ENERGY SOLUTIONS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FMS	FRESENIUS MEDICAL CARE AG & CO.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FMS PR	FRESENIUS MEDCR AG 1/3 SHR DM 5	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GMK	GRUMA S A B DE C V	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IBN	ICICI BANK	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ITY	IMPERIAL TOBACCO GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JHX	JAMES HARDIE INDUSTRIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MTE	MAHANAGAR TELEPHONE NIGAM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NJ	NIDEC CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PSO	PEARSON PLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RMD	RESMED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RUK	REED ELSEVIER PLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SLT	STERLITE INDUSTRIES LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
TCL	TATA COMMUNICATIONS LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TKG	TELKOM SA LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BBD	BANCO BRADESCO S A	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CJA	VALE CAPITAL LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CJB	VALE CAPITAL LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CZZ	COSAN LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PBR	PETROLEO BRASILEIRO PETROBRAS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PBR A	PETROLEO BRASILEIRO S.A.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PDA	PERDIGAO S.A.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RIO	COMPANHIA VALE DO RIO DOCE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RIO PR	COMPANHIA VALE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SAB	GRUPO CASA SABA S A DE C V	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SHG	SHINHAN FINANCIAL GROUP CO LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SID	COMPANHIA SIDERURGICA NACIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AHS	AMN HEALTHCARE SERVICES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DNA	GENENTECH	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LEN	LENNAR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LEN B	LENNAR CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
O	REALTY INCOME DELAWARE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PJC	PIPER JAFFRAY COMPANIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
R	RYDER SYSTEM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ROH	ROHM & HASS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SWS	SWS GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TGI	TRIUMPH GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TRW	TRW AUTOMOTIVE HOLDINGS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TSO	TESORO CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TUP	TUPPERWARE BRANDS CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CMS PRA	CONSUMERS ENERGY 4.16 PR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CMS PRB	CONSUMERS ENERGY 4.50 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ED PRC	CONSOLIDATED ED 4.65 PFD C	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GXP PRA	GREAT PLAINS ENERGY INC 3.80 PF	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GXP PRD	GREAT PLAINS ENERGY INC 4.35 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GXP PRE	GREAT PLAINS ENERGY INC 4.50 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KSU PR	KANSAS CITY SOUTHERN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NMK PRB	NIAGRA MOHAWK PWR 3.60 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NMK PRC	NIAGRA MOHAWK PWR 3.90 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PE PRA	PECO ENERGY \$3.80 CUM PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PE PRB	PECO ENERGY \$4.30 CUM PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PE PRC	PECO ENERGY \$4.40 CUM PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PE PRD	PECO ENERGY \$4.68 CUM PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PEG PRA	PUB SVC ELEC GAS 4.08 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PEG PRB	PUB SVC ELEC GAS.4.18 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PEG PRC	PUB SVC ELEC GAS 4.30 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PEG PRD	PUB SVC ELEC GAS 5.05 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PEG PRE	PUB SVC ELEC GAS 5.28 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PPL PRA	PPL ELECTRIC UTIL 4.40% PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PPL PRB	PPL ELECTRIC UTIL 4 1/2% PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VEL PRE	VA ELEC PWR 5 DIV PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XEL PRA	XCEL ENERGY 3.60 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XEL PRB	XCEL ENERGY 4.08 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XEL PRC	XCEL ENERGY 4.10 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XEL PRD	XCEL ENERGY INC 4.11 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XEL PRE	XCEL ENERGY INC 4.16 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XEL PRG	XCEL ENERGY INC 4.56 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EPR PRB	ENTERTAINMENT PROPERTIES TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EPR PRD	ENTERTAINMENT PR TR 7.375%	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HTH PRA	HILLTOP HOLDINGS INC 8.25% SER A	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IEP PR	ICAHN ENTERPRISES L P	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEY PRA	KEYCORP CAPITAL V	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEY PRB	KEYCORP CAPITAL VI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEY PRD	KEYCORP CAPITAL VIII	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEY PRE	KEYCORP CAPITAL IX 6.750	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEY PRF	KEYCORP CAPITAL X	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MFA PRA	MFA MORTGAGE INVESTMENTS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PKY PRD	PARKWAY PROPERTIES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RNR PRB	RENAISSANCERE HOLDINGS LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RNR PRC	RENAISSANCERE HOLDINGS LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RNR PRD	RENAISSANCERE HOLDINGS LTD 6.60%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SUG PRC	SOUTHERN UNION 7.55%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SWX PRB	SOUTHWEST GAS CAPITAL II	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UBP PRC	URSTADT BIDDLE PROPERTIES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UBP PRD	URSTADT BIDDLE PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VLV PRA	VNB CAPITAL TRUST I	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WM PRK	WASHINGTON MUTUAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ZB PRA	ZIONS BANCORPORATION SERIES A	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ZB PRB	ZIONS CAPITAL TRUST B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ZB PRC	ZIONS BAN CORP 9.50% SER C	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AAR	AMR PUBLIC INCOME NOTES PINES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AFF	AMERICAN INTERNATIONAL GRP, INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AVF	AMERICAN INTERNATIONAL GRP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AZM	ALLIANZ SE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DKF	MS STRUCTURED ASSET CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DKM	MS STRUCTURED ASSET CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DKQ	MS STRUCTURED ASSET CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FCY	FOREST CITY ENTERPRISES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FGC	FPL GROUP CAPITAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FGE	FPL GROUP CAPITAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JSM	SLM CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JZL	LEHMAN ABS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KNO	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KVM	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KVT	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MJH	MSDW STRUCTURED ASSET CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MKS	MSDW STRUCTURED ASSET CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MLG	METLIFE INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PNH	PNC CAPITAL TRUST E	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TXA	TRIBUNE PHONES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TZF	BEAR STEARNS DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XFD	LEHMAN ABS 6.70%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XFL	LEHMAN ABS CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XFP	LEHMAN ABS CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XKE	LEHMAN ABS 7.7	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XKO	LEHMAN ABS CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XVG	LEHMAN ABS CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GJB	SYNTHETIC FIXED-INCOME	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GJG	SYNTHETIC FIXED-INCOME	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GJI	SYNTHETIC FIXED-INCOME SEC. INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GJT	SYNTHETIC FIXED-INCOME SEC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HJE	MS STRUCTURED ASSET CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HYA	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HYJ	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HYM	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HYY	STRUCTURED PRODUCTS 6.25%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HZD	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HZK	STRUCTURED PRODUCTS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IKJ	BANK OF AMERICA CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IKL	BANK OF AMERICA CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IKM	BANK OF AMERICA CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IKR	BANK OF AMERICA CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PIS	MERRILL LYNCH DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PJL	MERRILL LYNCH DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PJZ	MERRILL LYNCH DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PKJ	MERRILL LYNCH DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PKK	MERRILL LYNCH DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PLS	PPL ENERGY SUPPLY LLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PLV	PPL CAPITAL FUNDING INC 6,85% SE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PNU	PNC CAPITAL TRUST D	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PYA	MERRILL LYNCH DEPOSITOR 7.00%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PYI	MERRILL LYNCH DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PYJ	MERRILL LYNCH DEPOSITOR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PYL	MERRILL LYNCH DEPOSITOR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AMR	AMR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AZO	AUTOZONE NEVADA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BBY	BEST BUY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FDX	FEDEX	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNA	FANNIE MAE 8.75 PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OZM	OCH ZIFF CAPITAL MANAGEMENT GRP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XCO	EXCO RESOURCES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AHR	ANTHRACITE CAPITAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BXP	BOSTON PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DFR	DEERFIELD CAPITAL CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ELS	EQUITY LIFESTYLE PROPERTIES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FCE A	FOREST CITY ENTERPRISES CLASS A	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FCE B	FOREST CITY ENTERPRISES CLASS B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GGP	GENERAL GROWTH PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MFA	MFA MORTGAGE INVESTMENTS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NNN	NATIONAL RETAIL PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ORI	OLD REPUBLIC INTL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PEI	PENNSYLVANIA RE INV TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PKY	PARKWAY PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PNK	PINNACLE ENTERTAINMENT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
REG	REGENCY CENTERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RGA	REINSURANCE GROUP OF AMERICA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RGA PRA	REINSURANCE GROUP OF AMERICA INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFI	STARWOOD FINANCIAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SUI	SUN COMMUNITIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AAI	AIRTRAN HOLDINGS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAX	BAXTER INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BXC	BLUELINX HOLDINGS INC.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DSW	DSW INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EPR	ENTERTAINMENT PROPERTIES TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EPR PRC	ENTERTAINMENT PROPERTIES TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EPR PRE	ENTERTAINMENT PROPERTIES TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ESL	ESTERLINE TECHNOLOGIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ETN	EATON	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBN	FURNITURE BRANDS INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GBX	GREENBRIER COMPANIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PRO	PROS HOLDINGS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RT	RUBY TUESDAY GEORGIA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RTN	RAYTHEON CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RTN WS	RAYTHEON CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RVI	RETAIL VENTURES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SCS	STEELCASE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
STE	STERIS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SWX	SOUTHWEST GAS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TDW	TIDEWATER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
USU	USEC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ACO	AMCOL INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CRM	SALESFORCE COM INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CSE	CAPITALSOURCE INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CTB	COOPER TIRE & RUBBER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LEG	LEGGETT PLATT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MEG	MEDIA GENERAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OSK	OSHKOSH CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PLT	PLANTRONICS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SGY	STONE ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SOV	SOVEREIGN BANCORP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SVU	SUPERVALU	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SXT	SENSIENT TECHNOLOGIES CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VAL	VALSPAR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VLV	VALLEY NATIONAL BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WM	WASHINGTON MUTUAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WM PRR	WASHINGTON MUTUAL SER R	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ZQK	QUICKSILVER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ACE	ACE LIMITED (NEW)	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ACF	AMERICREDIT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AIG	AMERICAN INTL GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AIG PRA	AMERICAN INTERNATIONAL GROUP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AWK	AMERICAN WATER WORKS COMPANY INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BHI	BAKER HUGHES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BUD	ANHEUSER BUSCH COMPANIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BW	BRUSH ENG MAT INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CB	CHUBB	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CPN	CALPINE CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FPL	FPL GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IAR	IDEARC INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MIR	MIRANT CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MIR WSA	MIRANT CORP WS A	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MIR WSB	MIRANT CORP WS B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PCX	PATRIOT COAL CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PSS	COLLECTIVE BRANDS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RBN	ROBBINS & MYERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TER	TERADYNE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TRY	TRIARC COMPANIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TRY B	TRIARC COMPANIES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UNP	UNION PACIFIC	SPEAR, LEEDS AND KELLOGG SPEC. LLC

**8/27/2008**

ACN	ACCENTURE LTD	BANC OF AMERICA SPECIALIST INC
CVI	CVR ENERGY INC	BANC OF AMERICA SPECIALIST INC
DAL	DELTA AIR LINES INC.	BANC OF AMERICA SPECIALIST INC
EOG	EOG RESOURCES	BANC OF AMERICA SPECIALIST INC
GCA	GLOBAL CASH ACCESS HOLDINGS INC	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HD	HOME DEPOT	BANC OF AMERICA SPECIALIST INC
MW	THE MENS WEARHOUSE	BANC OF AMERICA SPECIALIST INC
PLA	PLAYBOY ENTERPRISES	BANC OF AMERICA SPECIALIST INC
PLA A	PLAYBOY ENTERPRISES CLA	BANC OF AMERICA SPECIALIST INC
SY	SYSCO	BANC OF AMERICA SPECIALIST INC
WRC	THE WARNACO GROUP INC	BANC OF AMERICA SPECIALIST INC
CAT	CATERPILLAR	BANC OF AMERICA SPECIALIST INC
DDE	DOVER DOWNS GAMING & ENTERTAINME	BANC OF AMERICA SPECIALIST INC
DVD	DOVER MOTORSPORTS INC	BANC OF AMERICA SPECIALIST INC
ETM	ENTERCOM COMMUNICATIONS	BANC OF AMERICA SPECIALIST INC
GDV	THE GABELLI DIVIDEND & INCOME TR	BANC OF AMERICA SPECIALIST INC
GDV PRA	THE GABELLI DIVIDEND & INCOME	BANC OF AMERICA SPECIALIST INC
GDV PRD	GABELLI DIVIDEND & INCOME TRUST	BANC OF AMERICA SPECIALIST INC
HLS	HEALTHSOUTH CORP	BANC OF AMERICA SPECIALIST INC
HNT	HEALTH NET INC	BANC OF AMERICA SPECIALIST INC
LAZ	LAZARD LTD	BANC OF AMERICA SPECIALIST INC
RRR	RSC HOLDINGS INC	BANC OF AMERICA SPECIALIST INC
TRV	THE TRAVELERS COS	BANC OF AMERICA SPECIALIST INC
WMK	WEIS MARKETS	BANC OF AMERICA SPECIALIST INC
AEA	ADVANCE AMER CASH ADVANCE CNTS	BANC OF AMERICA SPECIALIST INC
AGM	FED AGRICUL MTG CLC NON VOTING	BANC OF AMERICA SPECIALIST INC
AGM A	FED AGRICULTURAL MTG CLA VOTING	BANC OF AMERICA SPECIALIST INC
AHL	ASPEN INSURANCE HOLDINGS LIMITED	BANC OF AMERICA SPECIALIST INC
AHL PR	ASPEN INSURANCE HOLDINGS 5.625%	BANC OF AMERICA SPECIALIST INC
AHL PRA	ASPEN INSURANCE HOLDINGS LIMITED	BANC OF AMERICA SPECIALIST INC
AIZ	ASSURANT INC	BANC OF AMERICA SPECIALIST INC
AKF	AMBAC FINANCIAL GROUP INC	BANC OF AMERICA SPECIALIST INC
AKT	AMBAC FINANCIAL GROUP 5.875%	BANC OF AMERICA SPECIALIST INC
BFS	SAUL CENTERS	BANC OF AMERICA SPECIALIST INC
BFS PRA	SAUL CENTERS INC 8% SERIES A	BANC OF AMERICA SPECIALIST INC
BFS PRB	SAUL CNTS INC 9% SER B	BANC OF AMERICA SPECIALIST INC
CCW	COMCAST CORPORATION 7.00%	BANC OF AMERICA SPECIALIST INC
CHG	CH ENERGY GROUP	BANC OF AMERICA SPECIALIST INC
CNS	COHEN & STEERS INC	BANC OF AMERICA SPECIALIST INC
GBL	GAMCO INVESTORS INC	BANC OF AMERICA SPECIALIST INC
GJS	SYNTHETIC FIXED-INCOME	BANC OF AMERICA SPECIALIST INC
HI	HILLENBRAND INC	BANC OF AMERICA SPECIALIST INC
HRC	HILL ROM HOLDINGS INC	BANC OF AMERICA SPECIALIST INC
KSU	KANSAS CITY SOUTHERN	BANC OF AMERICA SPECIALIST INC
MIG	MEADOWBROOK INSURANCE GROUP	BANC OF AMERICA SPECIALIST INC
NSM	NATIONAL SEMICONDUCTOR	BANC OF AMERICA SPECIALIST INC
OEH	ORIENT-EXPRESS	BANC OF AMERICA SPECIALIST INC
PFX	PHOENIX COMPANIES INC (THE)	BANC OF AMERICA SPECIALIST INC
TMK	TORCHMARK	BANC OF AMERICA SPECIALIST INC
TMK PRA	TORCHMARK CAPITAL TRUST III	BANC OF AMERICA SPECIALIST INC
WNR	WESTERN REFINING INC	BANC OF AMERICA SPECIALIST INC
ALO	ALPHARMA	BANC OF AMERICA SPECIALIST INC
AME	AMETEK	BANC OF AMERICA SPECIALIST INC
BAS	BASIC ENERGY SERVICES INC	BANC OF AMERICA SPECIALIST INC
CNO	CONSECO INC	BANC OF AMERICA SPECIALIST INC
CNO WS	CONSECO INC	BANC OF AMERICA SPECIALIST INC
IFF	INTL FLAVORS FRAGRANCES	BANC OF AMERICA SPECIALIST INC
L	LOEWS	BANC OF AMERICA SPECIALIST INC
LO	LORILLARD INC	BANC OF AMERICA SPECIALIST INC
LUK	LEUCADIA NATIONAL	BANC OF AMERICA SPECIALIST INC
OIS	OIL STATES INTERNATIONAL	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PNX	THE PHOENIX CO	BANC OF AMERICA SPECIALIST INC
RSG	REPUBLIC SERVICES	BANC OF AMERICA SPECIALIST INC
SNI	SCRIPPS NETWORKS INTERACTIVE INC	BANC OF AMERICA SPECIALIST INC
SSP	SCRIPPS EW (NEW)	BANC OF AMERICA SPECIALIST INC
TLB	TALBOTS	BANC OF AMERICA SPECIALIST INC
DKS	DICKS SPORTING GOODS INC	BANC OF AMERICA SPECIALIST INC
GM	GENERAL MOTORS	BANC OF AMERICA SPECIALIST INC
HMX	HARTMARX	BANC OF AMERICA SPECIALIST INC
IGT	INTERNATIONAL GAME TECHNOLOGY	BANC OF AMERICA SPECIALIST INC
KAI	KADANT INC	BANC OF AMERICA SPECIALIST INC
LBY	LIBBEY	BANC OF AMERICA SPECIALIST INC
NYM	NYMAGIC	BANC OF AMERICA SPECIALIST INC
ORH	ODYSSEY RE HOLDINGS	BANC OF AMERICA SPECIALIST INC
ORH PRA	ODYSSEY RE HOLDINGS	BANC OF AMERICA SPECIALIST INC
ORH PRB	ODYSSEY RE HOLDINGS CORP	BANC OF AMERICA SPECIALIST INC
SAM	BOSTON BEER	BANC OF AMERICA SPECIALIST INC
STU	STUDENT LOAN CORP	BANC OF AMERICA SPECIALIST INC
ALX	ALEXANDERS	BANC OF AMERICA SPECIALIST INC
ASI	AMERICAN SAFETY INSURANCE HLDGS	BANC OF AMERICA SPECIALIST INC
BGM	GENERAL MOTORS CORPORATION	BANC OF AMERICA SPECIALIST INC
BKC	BURGER KING HOLDINGS	BANC OF AMERICA SPECIALIST INC
BR	BROADRIDGE FINANCIAL SOLUTIONS	BANC OF AMERICA SPECIALIST INC
CCS	COMCAST CORP 6.625 NOTES	BANC OF AMERICA SPECIALIST INC
CDL	CITADEL BROADCASTING CORP	BANC OF AMERICA SPECIALIST INC
JPM	JPMORGAN CHASE	BANC OF AMERICA SPECIALIST INC
RGC	REGAL ENTERTAINMENT GROUP	BANC OF AMERICA SPECIALIST INC
AHC	A H BELO CORP	BANC OF AMERICA SPECIALIST INC
BLC	BELO CORPORATION	BANC OF AMERICA SPECIALIST INC
BYI	BALLY TECHNOLOGIES	BANC OF AMERICA SPECIALIST INC
CBC	CAPITOL BANCORP LTD	BANC OF AMERICA SPECIALIST INC
CBC PRA	CAPITOL TRUST I	BANC OF AMERICA SPECIALIST INC
CBC PRB	CAPITOL TRUST XII	BANC OF AMERICA SPECIALIST INC
CCZ	COMCAST HOLDINGS CORPORATION	BANC OF AMERICA SPECIALIST INC
CTS	CTS	BANC OF AMERICA SPECIALIST INC
DHI	D R HORTON	BANC OF AMERICA SPECIALIST INC
EE	EL PASO ELECTRIC	BANC OF AMERICA SPECIALIST INC
EQ	EMBARQ	BANC OF AMERICA SPECIALIST INC
PFK	PRUDENTIAL FINANCIAL	BANC OF AMERICA SPECIALIST INC
PHR	PRUDENTIAL FINANCIAL INC 9.0%	BANC OF AMERICA SPECIALIST INC
PRU	PRUDENTIAL FINANCIAL INC	BANC OF AMERICA SPECIALIST INC
SPF	STANDARD PACIFIC	BANC OF AMERICA SPECIALIST INC
BCO	BRINK'S	BANC OF AMERICA SPECIALIST INC
BEC	BECKMAN COULTER	BANC OF AMERICA SPECIALIST INC
BRS	BRISTOW GROUP	BANC OF AMERICA SPECIALIST INC
BRS PR	BRISTOW GROUP INC	BANC OF AMERICA SPECIALIST INC
CSH	CASH AMERICA INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
CSV	CARRIAGE SERVICES	BANC OF AMERICA SPECIALIST INC
MTD	METTLER TOLEDO INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
NNI	NELNET INC	BANC OF AMERICA SPECIALIST INC
RGR	STURM RUGER & CO	BANC OF AMERICA SPECIALIST INC
SMP	STANDARD MTR PRODS	BANC OF AMERICA SPECIALIST INC
SNV	SYNOVUS FINANCIAL	BANC OF AMERICA SPECIALIST INC
SPA	SPARTON	BANC OF AMERICA SPECIALIST INC
TWI	TITAN INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
UVV	UNIVERSAL HOLDING CO	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
AET	AETNA INC	BEAR WAGNER SPECIALISTS, LLC
ALJ	ALON USA ENERGY INC	BEAR WAGNER SPECIALISTS, LLC
CE	CELANESE CORP	BEAR WAGNER SPECIALISTS, LLC
CE PR	CELANESE CORP	BEAR WAGNER SPECIALISTS, LLC
COG	CABOT OIL & GAS	BEAR WAGNER SPECIALISTS, LLC
CVA	COVANTA HOLDINGS CORP	BEAR WAGNER SPECIALISTS, LLC
EP	EL PASO	BEAR WAGNER SPECIALISTS, LLC
EP PRC	EL PASO ENERGY CAP TR I CV	BEAR WAGNER SPECIALISTS, LLC
FDG	FORDING CANADIAN COAL TRUST	BEAR WAGNER SPECIALISTS, LLC
FTI	FMC TECHNOLOGIES	BEAR WAGNER SPECIALISTS, LLC
HMA	HEALTH MANAGEMENT ASSOC	BEAR WAGNER SPECIALISTS, LLC
HUN	HUNTSMAN CORP	BEAR WAGNER SPECIALISTS, LLC
JBT	JOHN BEAN TECHNOLOGIES CORP	BEAR WAGNER SPECIALISTS, LLC
MMR	MCMORAN EXPLORATION	BEAR WAGNER SPECIALISTS, LLC
MMR PRM	MCMORAN EXPLORATION CO	BEAR WAGNER SPECIALISTS, LLC
NAV	NAVISTAR INTERNATIONAL CORP	BEAR WAGNER SPECIALISTS, LLC
NAV PRD	NAVISTAR INTERNATIONAL CORP	BEAR WAGNER SPECIALISTS, LLC
NBR	NABORS INDUSTRIES INC	BEAR WAGNER SPECIALISTS, LLC
PX	PRAXAIR	BEAR WAGNER SPECIALISTS, LLC
SII	SMITH INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
SII WI	SMITH INTERNATIONAL INC	BEAR WAGNER SPECIALISTS, LLC
SPN	SUPERIOR ENERGY SERVICES	BEAR WAGNER SPECIALISTS, LLC
TEN	TENNECO	BEAR WAGNER SPECIALISTS, LLC
TRA	TERRA INDUSTRIES	BEAR WAGNER SPECIALISTS, LLC
VSE	VERASUN ENERGY CORPORATION	BEAR WAGNER SPECIALISTS, LLC
ACM	AECOM TECHNOLOGY CORPORATION	BEAR WAGNER SPECIALISTS, LLC
ACW	ACCURIDE CORP	BEAR WAGNER SPECIALISTS, LLC
ALY	ALLIS-CHALMERS ENERGY INC.	BEAR WAGNER SPECIALISTS, LLC
ARB	ARBITRON	BEAR WAGNER SPECIALISTS, LLC
ARP	AMERICAN REPROGRAPHICS	BEAR WAGNER SPECIALISTS, LLC
AVD	AMERICAN VANGUARD CORPORATION	BEAR WAGNER SPECIALISTS, LLC
AWH	ALLIED WORLD ASSURANCE CO	BEAR WAGNER SPECIALISTS, LLC
AZZ	AZZ INC	BEAR WAGNER SPECIALISTS, LLC
BBW	BUILD-A-BEAR WORKSHOP	BEAR WAGNER SPECIALISTS, LLC
BCA	CORPBANCA	BEAR WAGNER SPECIALISTS, LLC
BKE	BUCKLE	BEAR WAGNER SPECIALISTS, LLC
BLG	BUILDING MATERIALS HOLDING CORP.	BEAR WAGNER SPECIALISTS, LLC
BWY	BWAY HOLDING COMPANY	BEAR WAGNER SPECIALISTS, LLC
BXS	BANCORPSOUTH	BEAR WAGNER SPECIALISTS, LLC
CBM	CAMBREX	BEAR WAGNER SPECIALISTS, LLC
CEA	CHINA EASTERN AIRLINES	BEAR WAGNER SPECIALISTS, LLC
CKH	SEACOR HOLDINGS INC	BEAR WAGNER SPECIALISTS, LLC
CNL	CLECO	BEAR WAGNER SPECIALISTS, LLC
CPF	CENTRAL PACIFIC FINANCIAL CORP	BEAR WAGNER SPECIALISTS, LLC
CRY	CRYOLIFE	BEAR WAGNER SPECIALISTS, LLC
CTR	CATO CORPORATION (THE)	BEAR WAGNER SPECIALISTS, LLC
CW	CURTISS-WRIGHT	BEAR WAGNER SPECIALISTS, LLC
FOE	FERRO	BEAR WAGNER SPECIALISTS, LLC
CHH	CHOICE HOTELS INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
CIA	CITIZENS INC	BEAR WAGNER SPECIALISTS, LLC
CIR	CIRCOR INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
CLB	CORE LABORATORIES NV	BEAR WAGNER SPECIALISTS, LLC
CMN	CANTEL MEDICAL CORP	BEAR WAGNER SPECIALISTS, LLC
CRZ	CRYSTAL RIVER CAPITAL	BEAR WAGNER SPECIALISTS, LLC
DAC	DANAOS CORPORATION	BEAR WAGNER SPECIALISTS, LLC
DFT	DUPONT FABROS TECHNOLOGY INC	BEAR WAGNER SPECIALISTS, LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
EDE	EMPIRE DISTRICT ELECTRIC	BEAR WAGNER SPECIALISTS, LLC
EPB	EL PASO PIPELINE PART LP	BEAR WAGNER SPECIALISTS, LLC
EPL	ENERGY PARTNERS LTD	BEAR WAGNER SPECIALISTS, LLC
FAC	FIRST ACCEPTANCE CORP	BEAR WAGNER SPECIALISTS, LLC
FC	FRANKLIN COVEY	BEAR WAGNER SPECIALISTS, LLC
FLY	BABCOCK & BROWN AIR LIMITED	BEAR WAGNER SPECIALISTS, LLC
FTD	FTD GROUP	BEAR WAGNER SPECIALISTS, LLC
GBE	GRUBB & ELLIS CO	BEAR WAGNER SPECIALISTS, LLC
GEF	GRIEF INC	BEAR WAGNER SPECIALISTS, LLC
GEF B	GRIEF INC CLASS B	BEAR WAGNER SPECIALISTS, LLC
GHL	GREENHILL & CO INC	BEAR WAGNER SPECIALISTS, LLC
GLF	GULFMARK OFFSHORE INC	BEAR WAGNER SPECIALISTS, LLC
GRB	GERBER SCIENTIFIC	BEAR WAGNER SPECIALISTS, LLC
HGR	HANGER ORTHOPEDIC GROUP	BEAR WAGNER SPECIALISTS, LLC
HPY	HEARTLAND PAYMENT SYSTEMS	BEAR WAGNER SPECIALISTS, LLC
HZO	MARINEMAX	BEAR WAGNER SPECIALISTS, LLC
IDC	INTERACTIVE DATA	BEAR WAGNER SPECIALISTS, LLC
IHC	INDEPENDENCE HOLDING CO	BEAR WAGNER SPECIALISTS, LLC
IMP	IMPERIAL CAPITAL BANCORP	BEAR WAGNER SPECIALISTS, LLC
IVC	INVACARE	BEAR WAGNER SPECIALISTS, LLC
KEI	KEITHLEY INSTRUMENTS	BEAR WAGNER SPECIALISTS, LLC
LF	LEAPFROG ENTERPRISES INC	BEAR WAGNER SPECIALISTS, LLC
MAG	MAGNATEK	BEAR WAGNER SPECIALISTS, LLC
MEI	METHODE ELECTRONICS INC	BEAR WAGNER SPECIALISTS, LLC
MFW	M&F WORLDWIDE	BEAR WAGNER SPECIALISTS, LLC
MLI	MUELLER INDUSTRIES	BEAR WAGNER SPECIALISTS, LLC
MMS	MAXIMUS	BEAR WAGNER SPECIALISTS, LLC
MOD	MODINE MANUFACTURING	BEAR WAGNER SPECIALISTS, LLC
MSA	MINE SAFETY APPLIANCES	BEAR WAGNER SPECIALISTS, LLC
MTX	MINERALS TECHNOLOGIES	BEAR WAGNER SPECIALISTS, LLC
PKE	PARK ELECTROCHEMICAL	BEAR WAGNER SPECIALISTS, LLC
PPD	PRE-PAID LEGAL SERVICES	BEAR WAGNER SPECIALISTS, LLC
RHB	REHABCARE GROUP	BEAR WAGNER SPECIALISTS, LLC
SSD	SIMPSON MANUFACTURING	BEAR WAGNER SPECIALISTS, LLC
SWM	SCHWEITZER-MAUDUIT INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
TDY	TELEDYNE TECHNOLOGIES	BEAR WAGNER SPECIALISTS, LLC
MIC	MACQUARIE INFRASTRUCTURE CO LLC	BEAR WAGNER SPECIALISTS, LLC
MWE	MARKWEST ENERGY PARTNERS, L.P.	BEAR WAGNER SPECIALISTS, LLC
NUT	MAUNA LOA MACADAMIA D UNIT CLA	BEAR WAGNER SPECIALISTS, LLC
OWW	ORBITZ WORLDWIDE INC	BEAR WAGNER SPECIALISTS, LLC
PZN	PZENA INV MGNT INC	BEAR WAGNER SPECIALISTS, LLC
RSC	REX STORES	BEAR WAGNER SPECIALISTS, LLC
SCL	STEPAN	BEAR WAGNER SPECIALISTS, LLC
SCL PR	STEPAN 5 1/2% CV PFD	BEAR WAGNER SPECIALISTS, LLC
SCX	STARRETT LS CLA COMMON	BEAR WAGNER SPECIALISTS, LLC
SGA	SAGA COMMUNICATIONS INC	BEAR WAGNER SPECIALISTS, LLC
SGU	STAR GAS PARTNERS	BEAR WAGNER SPECIALISTS, LLC
SJW	SJW CORP	BEAR WAGNER SPECIALISTS, LLC
SLH	SOLERA HOLDINGS, INC.	BEAR WAGNER SPECIALISTS, LLC
SOA	SOLUTIA INC	BEAR WAGNER SPECIALISTS, LLC
SUR	CNA SURETY	BEAR WAGNER SPECIALISTS, LLC
SXE	STANLEY INC	BEAR WAGNER SPECIALISTS, LLC
BT	BT GROUP PLC	BEAR WAGNER SPECIALISTS, LLC
CRH	CRH PUBLIC LIMITED CO	BEAR WAGNER SPECIALISTS, LLC
CXG	CNX GAS CORPORATION	BEAR WAGNER SPECIALISTS, LLC
DNB	DUN & BRADSTREET	BEAR WAGNER SPECIALISTS, LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
DNR	DENBURY RESOURCES	BEAR WAGNER SPECIALISTS, LLC
DT	DEUTSCHE TELEKOM AG	BEAR WAGNER SPECIALISTS, LLC
IFX	INFINEON TECHNOLOGIES AG	BEAR WAGNER SPECIALISTS, LLC
KYO	KYOCERA ADR	BEAR WAGNER SPECIALISTS, LLC
NC	NACCO INDUSTRIES CLA	BEAR WAGNER SPECIALISTS, LLC
ROS	ROSTELECOM OF LD & INTL TELECOM	BEAR WAGNER SPECIALISTS, LLC
SPP	SAPPI	BEAR WAGNER SPECIALISTS, LLC
TEF	TELEFONICA	BEAR WAGNER SPECIALISTS, LLC
TI	TELECOM ITALIA	BEAR WAGNER SPECIALISTS, LLC
TI A	TELECOM ITALIA 10 SVG	BEAR WAGNER SPECIALISTS, LLC
TLK	PERU PERSEROAN P T TELEKOM INDSA	BEAR WAGNER SPECIALISTS, LLC
TR	TOOTSIE ROLL INDUSTRIES	BEAR WAGNER SPECIALISTS, LLC
TRP	TRANSCANADA	BEAR WAGNER SPECIALISTS, LLC
USS	U.S. SHIPPING PARTNERS L.P.	BEAR WAGNER SPECIALISTS, LLC
VGR	VECTOR GROUP LTD	BEAR WAGNER SPECIALISTS, LLC
VOD	VODAFONE GROUP	BEAR WAGNER SPECIALISTS, LLC
VOL	VOLT INFORMATION SCIENCES	BEAR WAGNER SPECIALISTS, LLC
VQ	VENOCO INC	BEAR WAGNER SPECIALISTS, LLC
WNI	SCHIFF NUTRITION INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
XRM	XERIUM TECHNOLOGIES	BEAR WAGNER SPECIALISTS, LLC
ANR	ALPHA NATURAL RESOURCES	BEAR WAGNER SPECIALISTS, LLC
C	CITIGROUP	BEAR WAGNER SPECIALISTS, LLC
CF	CF INDUSTRIES HOLDINGS	BEAR WAGNER SPECIALISTS, LLC
CLR	CONTINENTAL RESOURCES INC	BEAR WAGNER SPECIALISTS, LLC
DHX	DICE HOLDING, INC.	BEAR WAGNER SPECIALISTS, LLC
DVR	CAL DIVE INTERNATIONAL INC	BEAR WAGNER SPECIALISTS, LLC
FCX	FREEMPORT MCMORAN COPPER/GOLD	BEAR WAGNER SPECIALISTS, LLC
FCX PRM	FREEMPORT-MCMORAN CON PFD	BEAR WAGNER SPECIALISTS, LLC
GDP	GOODRICH PETROLEUM	BEAR WAGNER SPECIALISTS, LLC
HOS	HORNBECK OFFSHORE SERVICES INC	BEAR WAGNER SPECIALISTS, LLC
HW	HEADWATERS INC	BEAR WAGNER SPECIALISTS, LLC
MEE	MASSEY ENERGY	BEAR WAGNER SPECIALISTS, LLC
N	NETSUITE INC	BEAR WAGNER SPECIALISTS, LLC
PKX	POSCO 1/4TH SHR	BEAR WAGNER SPECIALISTS, LLC
PPO	POLYPORE INTERNATIONAL, INC.	BEAR WAGNER SPECIALISTS, LLC
RTP	RIO TINTO	BEAR WAGNER SPECIALISTS, LLC
SD	SANDRIDGE ENERGY INC	BEAR WAGNER SPECIALISTS, LLC
AA	ALCOA	BEAR WAGNER SPECIALISTS, LLC
ABT	ABBOTT LABORATORIES	BEAR WAGNER SPECIALISTS, LLC
AMD	ADVANCED MICRO DEVICES	BEAR WAGNER SPECIALISTS, LLC
DUF	DUFF & PHELPS CORPORATION	BEAR WAGNER SPECIALISTS, LLC
HOV	HOVNANIAN ENTERPRISES	BEAR WAGNER SPECIALISTS, LLC
JCP	JC PENNEY (HOLDING COMPANY)	BEAR WAGNER SPECIALISTS, LLC
JMP	JMP GROUP INC.	BEAR WAGNER SPECIALISTS, LLC
KBH	KB HOME	BEAR WAGNER SPECIALISTS, LLC
MCO	MOODYS CORP	BEAR WAGNER SPECIALISTS, LLC
NVR	NVR INC	BEAR WAGNER SPECIALISTS, LLC
RCL	ROYAL CARIBBEAN CRUISES	BEAR WAGNER SPECIALISTS, LLC
WFR	MEMC ELECTRONIC MATERIALS	BEAR WAGNER SPECIALISTS, LLC
YUM	YUM BRANDS	BEAR WAGNER SPECIALISTS, LLC
BHL	BLACKROCK DEFINED OPP CRT TR	KELLOGG SPECIALIST GROUP LLC
BME	BLACKROCK HEALTH SCIENCES TRUST	KELLOGG SPECIALIST GROUP LLC
MPX	MARINE PRODUCTS	KELLOGG SPECIALIST GROUP LLC
MWY	MIDWAY GAMES	KELLOGG SPECIALIST GROUP LLC
NDN	99C ONLY STORES	KELLOGG SPECIALIST GROUP LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PTC	PAR TECHNOLOGY	KELLOGG SPECIALIST GROUP LLC
REV	REVLON	KELLOGG SPECIALIST GROUP LLC
SRI	STONERIDGE	KELLOGG SPECIALIST GROUP LLC
TNL	TECHNITROL	KELLOGG SPECIALIST GROUP LLC
TYL	TYLER TECHNOLOGIES	KELLOGG SPECIALIST GROUP LLC
UNF	UNIFIRST	KELLOGG SPECIALIST GROUP LLC
ADC	AGREE REALTY	KELLOGG SPECIALIST GROUP LLC
BKD	BROOKDALE SENIOR LIVING INC	KELLOGG SPECIALIST GROUP LLC
CFI	CULP	KELLOGG SPECIALIST GROUP LLC
CHB	CHAMPION ENTERPRISES	KELLOGG SPECIALIST GROUP LLC
EDR	EDUCATION REALTY TRUST INC	KELLOGG SPECIALIST GROUP LLC
EQR	EQUITY RESIDENTIAL	KELLOGG SPECIALIST GROUP LLC
EQR PRE	EQUITY RES SER E CUM CV	KELLOGG SPECIALIST GROUP LLC
EQR PRH	EQUITY RES \$1.75 H CV PF	KELLOGG SPECIALIST GROUP LLC
EQR PRN	EQUITY RESIDENTIAL	KELLOGG SPECIALIST GROUP LLC
GKK	GRAMERCY CAPITAL CORP	KELLOGG SPECIALIST GROUP LLC
GKK PRA	GRAMERCY CAPITAL CORP 8.125%	KELLOGG SPECIALIST GROUP LLC
HPT	HOSPITALITY PROPERTIES TRUST	KELLOGG SPECIALIST GROUP LLC
HPT PRB	HOSPITALITY PROPERTIES TR 8.875%	KELLOGG SPECIALIST GROUP LLC
HPT PRC	HOSPITALITY PROP TRST 7% SER C	KELLOGG SPECIALIST GROUP LLC
MTH	MERITAGE HOMES	KELLOGG SPECIALIST GROUP LLC
NCS	NCI BUILDING SYSTEMS	KELLOGG SPECIALIST GROUP LLC
SLG	SL GREEN REALTY	KELLOGG SPECIALIST GROUP LLC
SLG PRC	SL GREEN REALTY CORP	KELLOGG SPECIALIST GROUP LLC
SLG PRD	SL GREEN REALTY CORP	KELLOGG SPECIALIST GROUP LLC
ATW	ATWOOD OCEANICS	KELLOGG SPECIALIST GROUP LLC
BPT	BP PRUDHOE BAY ROYALTY TRUST	KELLOGG SPECIALIST GROUP LLC
DOM	DOMINION RES BLACK WARRIOR TR	KELLOGG SPECIALIST GROUP LLC
ESV	ENSCO INTERNATIONAL	KELLOGG SPECIALIST GROUP LLC
FTK	FLOTEK INDUSTRIES INC	KELLOGG SPECIALIST GROUP LLC
GCS	DWS GLOBAL COMMODITIES STOCK FD	KELLOGG SPECIALIST GROUP LLC
GDI	GARDNER DENVER	KELLOGG SPECIALIST GROUP LLC
NTG	NATCO GROUP	KELLOGG SPECIALIST GROUP LLC
PEO	PETROLEUM & RESOURCES	KELLOGG SPECIALIST GROUP LLC
ANL	AMERICAN LAND LEASE	KELLOGG SPECIALIST GROUP LLC
ANL PRA	AMERICAN LAND LEASE INC	KELLOGG SPECIALIST GROUP LLC
ARG	AIRGAS	KELLOGG SPECIALIST GROUP LLC
COA	COACHMEN INDUSTRIES IND	KELLOGG SPECIALIST GROUP LLC
DDR	DEVELOPERS DEV REALTY	KELLOGG SPECIALIST GROUP LLC
DDR PRG	DEVELOPERS DIVERSIFIED REALTY	KELLOGG SPECIALIST GROUP LLC
DDR PRH	DEVELOPERS DIVERSIFIED REALTY	KELLOGG SPECIALIST GROUP LLC
DDR PRI	DEVELOPERS DIVERSIFIED REALTY	KELLOGG SPECIALIST GROUP LLC
FPO	FIRST POTOMAC REALTY TRUST	KELLOGG SPECIALIST GROUP LLC
HBP	HUTTIG BUILDING PRODUCTS	KELLOGG SPECIALIST GROUP LLC
KCT	STRUCTURED PRODUCTS	KELLOGG SPECIALIST GROUP LLC
KOB	STRUCTURED PRODUCTS CORP	KELLOGG SPECIALIST GROUP LLC
KOS	STRUCTURED PRODUCTS CORP	KELLOGG SPECIALIST GROUP LLC
LRT	LL E ROYALTY TR UTS BI	KELLOGG SPECIALIST GROUP LLC
MCS	MARCUS	KELLOGG SPECIALIST GROUP LLC
MDC	MDC HOLDINGS	KELLOGG SPECIALIST GROUP LLC
MPW	MEDICAL PROPERTIES TRUST	KELLOGG SPECIALIST GROUP LLC
OLP	ONE LIBERTY PROPERTIES INC	KELLOGG SPECIALIST GROUP LLC
ROC	ROCKWOOD HOLDINGS	KELLOGG SPECIALIST GROUP LLC
SHW	SHERWIN-WILLIAMS	KELLOGG SPECIALIST GROUP LLC
SKY	SKYLINE	KELLOGG SPECIALIST GROUP LLC
UST	UST	KELLOGG SPECIALIST GROUP LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
VG	VONAGE HOLDINGS	KELLOGG SPECIALIST GROUP LLC
ABH	ABITIBIBOWATER INC	KELLOGG SPECIALIST GROUP LLC
AM	AMERICAN GREETINGS	KELLOGG SPECIALIST GROUP LLC
ASH	ASHLAND	KELLOGG SPECIALIST GROUP LLC
BGP	BORDERS GROUP	KELLOGG SPECIALIST GROUP LLC
BNE	BOWNE & CO	KELLOGG SPECIALIST GROUP LLC
CAS	A M CASTLE & CO	KELLOGG SPECIALIST GROUP LLC
CLC	CLARCOR	KELLOGG SPECIALIST GROUP LLC
CSS	CSS INDUSTRIES	KELLOGG SPECIALIST GROUP LLC
CTZ PRA	CITIZENS FUNDING TRUST I	KELLOGG SPECIALIST GROUP LLC
CTZ PRB	CITIZENS REPUBLIC BANCORP INC	KELLOGG SPECIALIST GROUP LLC
EME	EMCOR GROUP	KELLOGG SPECIALIST GROUP LLC
ESE	ESCO TECHNOLOGIES	KELLOGG SPECIALIST GROUP LLC
FED	FIRSTFED FINANCIAL	KELLOGG SPECIALIST GROUP LLC
G	GENPACT LIMITED	KELLOGG SPECIALIST GROUP LLC
ITP	INTERTAPE POLYMER GROUP	KELLOGG SPECIALIST GROUP LLC
KDN	KAYDON	KELLOGG SPECIALIST GROUP LLC
KEM	KEMET	KELLOGG SPECIALIST GROUP LLC
MPR	MET-PRO	KELLOGG SPECIALIST GROUP LLC
MSM	MSC INDUSTRIAL DIRECT	KELLOGG SPECIALIST GROUP LLC
ODC	OIL-DRI OF AMERICA	KELLOGG SPECIALIST GROUP LLC
RBC	REGAL-BELOIT CORPORATION	KELLOGG SPECIALIST GROUP LLC
RKT	ROCK TENN	KELLOGG SPECIALIST GROUP LLC
STN	STANTEC INC	KELLOGG SPECIALIST GROUP LLC
TNC	TENNANT	KELLOGG SPECIALIST GROUP LLC
TNH	TERRA NITROGEN	KELLOGG SPECIALIST GROUP LLC
VIT	VANCEINFO TECHNOLOGIES INC	KELLOGG SPECIALIST GROUP LLC
B	BARNES GROUP	KELLOGG SPECIALIST GROUP LLC
BGF	B&G FOODS, INC.	KELLOGG SPECIALIST GROUP LLC
BGS	B&G FOODS, INC. CL A	KELLOGG SPECIALIST GROUP LLC
CCL	CARNIVAL	KELLOGG SPECIALIST GROUP LLC
CEC	CEC ENTERTAINMENT	KELLOGG SPECIALIST GROUP LLC
DR	DARWIN PROFESSIONAL UNDERWRITERS	KELLOGG SPECIALIST GROUP LLC
FAF	FIRST AMERICAN	KELLOGG SPECIALIST GROUP LLC
FRZ	REDDY ICE HOLDINGS INC	KELLOGG SPECIALIST GROUP LLC
FSR	FLAGSTONE REINSURANCE HLDGS LIMI	KELLOGG SPECIALIST GROUP LLC
GFF	GRIFFON	KELLOGG SPECIALIST GROUP LLC
MKC	MCCORMICK & COMPANY	KELLOGG SPECIALIST GROUP LLC
MKC V	MCCORMICK & COMPANY, INC	KELLOGG SPECIALIST GROUP LLC
MKL	MARKEL	KELLOGG SPECIALIST GROUP LLC
MKV	MARKEL CORP	KELLOGG SPECIALIST GROUP LLC
PL	PROTECTIVE LIFE	KELLOGG SPECIALIST GROUP LLC
PL PRA	PLC CAPITAL TRUST IV	KELLOGG SPECIALIST GROUP LLC
PL PRB	PLC CAPITAL TRUST V	KELLOGG SPECIALIST GROUP LLC
PL PRD	PROTECTIVE LIFE CORP	KELLOGG SPECIALIST GROUP LLC
PL PRS	PLC CAPITAL TRUST III	KELLOGG SPECIALIST GROUP LLC
RE	EVEREST RE GROUP	KELLOGG SPECIALIST GROUP LLC
RE PRB	EVEREST RE CAPITAL TRUST II	KELLOGG SPECIALIST GROUP LLC
UAM	UNIVERSAL AMERICAN CORP	KELLOGG SPECIALIST GROUP LLC
WSH	WILLIS GROUP HOLDINGS LMT	KELLOGG SPECIALIST GROUP LLC
ARK	BLACKROCK SENIOR HIGH INCOME	KELLOGG SPECIALIST GROUP LLC
BLW	BLACKROCK DURATION INCOME TRUST	KELLOGG SPECIALIST GROUP LLC
DUC	DUFF&PHELPS UTIL&CORP BOND TST	KELLOGG SPECIALIST GROUP LLC
DVF	BLACKROCK DIVERSIED INCOME FUND	KELLOGG SPECIALIST GROUP LLC
EGF	BLACKROCK ENHANCED GOV FUND INC	KELLOGG SPECIALIST GROUP LLC
FHI	FRT TR STR HI INC FD	KELLOGG SPECIALIST GROUP LLC

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
FHY	FIRST TRUST STRATEGIC HIGH	KELLOGG SPECIALIST GROUP LLC
GOF	CL YMORE/GUGGENHEIM STR OPP FD	KELLOGG SPECIALIST GROUP LLC
HCF	HIGHLAND CREDIT STRATEGIES FUND	KELLOGG SPECIALIST GROUP LLC
HIO	WESTERN ASSET HIGH INCOME FUND	KELLOGG SPECIALIST GROUP LLC
HYI	HIGH YEILD INCOME FUND	KELLOGG SPECIALIST GROUP LLC
HYT	BLACKROCK HIGH FUND YIELD FUND	KELLOGG SPECIALIST GROUP LLC
HYV	BLACKROCK CORP HIGH YEILD FUND	KELLOGG SPECIALIST GROUP LLC
JHI	HANCOCK JOHN INVSTRS TRST MASS	KELLOGG SPECIALIST GROUP LLC
JHS	HANCOCK JOHN INCOME SECS TRUST	KELLOGG SPECIALIST GROUP LLC
KST	DWS STRATEGIC INCOME TRUST	KELLOGG SPECIALIST GROUP LLC
PHK	PIMCO HIGH INCOME FUND	KELLOGG SPECIALIST GROUP LLC
PHT	PIONEER HIGH INCOME TRUST	KELLOGG SPECIALIST GROUP LLC
PTY	PIMCO CORPORATE OPPORTUNITY FUND	KELLOGG SPECIALIST GROUP LLC
RHY	RMK MULTI-SECTOR HIGH INCOME FD	KELLOGG SPECIALIST GROUP LLC
RMA	RMK ADVANTAGE INCOME FUND	KELLOGG SPECIALIST GROUP LLC
RMH	RMK HIGH INCOME FUND INC	KELLOGG SPECIALIST GROUP LLC
RSF	RMK STRATEGIC INCOME FUND INC	KELLOGG SPECIALIST GROUP LLC
VLТ	VAN KAMPEN AMER CAP HI INC TR II	KELLOGG SPECIALIST GROUP LLC
WEA	WESTERN ASSET PREMIER BOND FUND	KELLOGG SPECIALIST GROUP LLC
WIA	WESTERN AST/CLAY INFL LK SEC FND	KELLOGG SPECIALIST GROUP LLC
WIW	WESTRN AST/CLY INFL LK OP IN FD	KELLOGG SPECIALIST GROUP LLC
ZTR	ZWIEG TOTAL RETURN FUND	KELLOGG SPECIALIST GROUP LLC
ADI	ANALOG DEVICES	KELLOGG SPECIALIST GROUP LLC
AFN	ALESCO FINANCIAL INC	KELLOGG SPECIALIST GROUP LLC
AHD	ATLAS PIPELINE	KELLOGG SPECIALIST GROUP LLC
ANH	ANWORTH MORTGAGE ASSET CORP	KELLOGG SPECIALIST GROUP LLC
ANH PRA	ANWORTH MORTGAGE ASSET	KELLOGG SPECIALIST GROUP LLC
ANH PRB	ANWORTH MORTGAGE ASSET CORP	KELLOGG SPECIALIST GROUP LLC
APL	ATLAS PIPELINE PARTNERS	KELLOGG SPECIALIST GROUP LLC
ATN	ATLAS ENERGY RESOURCES LLC	KELLOGG SPECIALIST GROUP LLC
CDR	CEDAR SHOPPING CENTERS INC	KELLOGG SPECIALIST GROUP LLC
CDR PRA	CEDAR SHOPPING CENTERS INC	KELLOGG SPECIALIST GROUP LLC
DX	DYNEX CAPITAL	KELLOGG SPECIALIST GROUP LLC
DX PRD	DYNEX CAPITAL INC	KELLOGG SPECIALIST GROUP LLC
EXH	EXTERRAN HOLDINGS INC.	KELLOGG SPECIALIST GROUP LLC
FTB PRA	FIFTH THIRD CAP TR V 7.25%	KELLOGG SPECIALIST GROUP LLC
FTB PRB	FIFTH THIRD CAP TR VI 7.25%	KELLOGG SPECIALIST GROUP LLC
FTB PRC	FIFTH THIRD CAPITAL TRUST VII	KELLOGG SPECIALIST GROUP LLC
IM	INGRAM MICRO	KELLOGG SPECIALIST GROUP LLC
RAS	RAIT FINANCIAL TRUST	KELLOGG SPECIALIST GROUP LLC
RAS PRA	RAIT FINANCIAL TRUST 7.75% SER A	KELLOGG SPECIALIST GROUP LLC
RAS PRB	RAIT FINANCIAL TRUST 8.375% SER	KELLOGG SPECIALIST GROUP LLC
RAS PRC	RAIT FIN TR 8.875% SER C	KELLOGG SPECIALIST GROUP LLC
RFO PRA	ROYCE FOCUS TRUST INC	KELLOGG SPECIALIST GROUP LLC
RMT	ROYCE MICRO-CAP TRUST INC	KELLOGG SPECIALIST GROUP LLC
RMT PRA	ROYCE MICRO-CAP TRUST INC	KELLOGG SPECIALIST GROUP LLC
RSO	RESOURCE CAPITAL	KELLOGG SPECIALIST GROUP LLC
RVT	ROYCE VALUE TRUST	KELLOGG SPECIALIST GROUP LLC
RVT PRB	ROYCE VALUE TRUST INC	KELLOGG SPECIALIST GROUP LLC
HCS	HSBC HOLDING PLC	LABRANCHE AND CO.
LEH PRC	LEHMAN BROTHERS HOLDINGS PFD C	LABRANCHE AND CO.
LEH PRD	LEHMAN BROTHERS HOLDINGS PFD D	LABRANCHE AND CO.
LEH PRF	LEHMAN BROTHERS HOLDINGS PRD F	LABRANCHE AND CO.
LEH PRG	LEHMAN BROTHERS HOLDINGS	LABRANCHE AND CO.
LEH PRJ	LEHMAN BROTHERS HOLDINGS 7.95%	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
LEH PRK	LEHMAN BROTHERS HOLDINGS CAPITAL	LABRANCHE AND CO.
LEH PRL	LEHMAN BROTHERS HLDGS CAP TR IV	LABRANCHE AND CO.
LEH PRM	LEHMAN BROTHERS HOLDINGS	LABRANCHE AND CO.
LEH PRN	LEHMAN BROTHERS HLDGS CAP TR VI	LABRANCHE AND CO.
MS PRA	MORGAN STANLEY SERIES A	LABRANCHE AND CO.
MSJ	MORGAN STANLEY CAPITAL TRUST	LABRANCHE AND CO.
MSK	MORGAN STANLEY CAP TRUST VIII	LABRANCHE AND CO.
MSZ	MORGAN STANLEY CAPITAL TRUST VII	LABRANCHE AND CO.
MWG	MORGAN STANLEY CAPITAL TRUST IV	LABRANCHE AND CO.
MWO	MORGAN STANLEY CAPITAL TRUST V	LABRANCHE AND CO.
MWR	MORGAN STANLEY CAPITAL TRUST III	LABRANCHE AND CO.
RBS PRT	THR ROYAL BK OF SCTLND GP PLC SE	LABRANCHE AND CO.
USB PRE	USB CAPITAL VI	LABRANCHE AND CO.
USB PRF	USB CAPITAL VII 5.875%	LABRANCHE AND CO.
USB PRG	USB CAPITAL VIII	LABRANCHE AND CO.
USB PRH	U.S. BANCORP	LABRANCHE AND CO.
USB PRI	USB CAPITAL X	LABRANCHE AND CO.
USB PRJ	USB CAPITAL XI	LABRANCHE AND CO.
USB PRK	USB CAPITAL XII 6.30% TR PR SEC	LABRANCHE AND CO.
USB PRL	U S BANCORP SER D	LABRANCHE AND CO.
WB PRS	WACHOVIA CORPORATION 8.00%	LABRANCHE AND CO.
WCO	WELLS FARGO CAPITAL XIV 8.625%	LABRANCHE AND CO.
FRE PRB	FREDDIE MAC D/B/A VAR N CUM B	LABRANCHE AND CO.
FRE PRF	FREDDIE MAC 5% NON CUM PFD	LABRANCHE AND CO.
FRE PRG	FHMC 4395000 VAR RATE NON CUM PR	LABRANCHE AND CO.
FRE PRH	8000000 5.1% NON CUM PR	LABRANCHE AND CO.
FRE PRK	FREDDIE MAC	LABRANCHE AND CO.
FRE PRL	FREDDIE MAC PRL	LABRANCHE AND CO.
FRE PRM	FREDDIE MAC	LABRANCHE AND CO.
FRE PRN	FREDDIE MAC	LABRANCHE AND CO.
FRE PRO	FREDDIE MAC	LABRANCHE AND CO.
FRE PRP	FREDDIE MAC	LABRANCHE AND CO.
FRE PRQ	FREDDIE MAC	LABRANCHE AND CO.
FRE PRR	FREDDIE MAC	LABRANCHE AND CO.
FRE PRS	FREDDIE MAC	LABRANCHE AND CO.
FRE PRT	FREDDIE MAC	LABRANCHE AND CO.
FRE PRU	FREDDIE MAC	LABRANCHE AND CO.
FRE PRV	FREDDIE MAC 5.57%	LABRANCHE AND CO.
FRE PRW	FREDDIE MAC 5.66% PFD	LABRANCHE AND CO.
FRE PRX	FREDDIE MAC 6.02% PFD	LABRANCHE AND CO.
FRE PRY	FREDDIE MAC	LABRANCHE AND CO.
FRE PRZ	FREDDIE MAC \$1.00 PAR VALUE	LABRANCHE AND CO.
JWF	WELLS FARGO CAPITAL IX	LABRANCHE AND CO.
WB PRB	WACHOVIA CAP TST 6.375%	LABRANCHE AND CO.
WB PRC	WACHOVIA CAPITAL TRUST IX	LABRANCHE AND CO.
WB PRD	WACHOVIA CAPITAL TRUST X	LABRANCHE AND CO.
WNA PR	WACHOVIA PREFERRED FUNDING CORP	LABRANCHE AND CO.
WPK	WELLS FARGO CAPITAL VII	LABRANCHE AND CO.
WSF	WELLS FARGO CAPITAL IV	LABRANCHE AND CO.
ARE PRC	ALEXANDRIA REAL ESTATE EQUITIES	LABRANCHE AND CO.
BEE PRB	STRATEGIC HOTEL & RESORTS	LABRANCHE AND CO.
BEE PRC	STRATEGIC HOTELS & RESORTS	LABRANCHE AND CO.
BRE PRC	BRE PROPERTIES INC	LABRANCHE AND CO.
BRE PRD	BRE PROPERTIES INC	LABRANCHE AND CO.
CUZ PRA	COUSINS PROPERTIES INC	LABRANCHE AND CO.
CUZ PRB	COUSINS PROPERTIES INC	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
DLR PRA	DIGITAL READLTY TRUST INC	LABRANCHE AND CO.
DLR PRB	DIGITAL REALTY TRUST INC	LABRANCHE AND CO.
LHO PRB	LASALLE HOTEL PROPERTIES	LABRANCHE AND CO.
LHO PRD	LASALLE HOTEL PROPERTIES	LABRANCHE AND CO.
LHO PRE	LASALLE HOTEL PROP	LABRANCHE AND CO.
LHO PRG	LASALLE HOTEL PROPERTIES 7.25%	LABRANCHE AND CO.
LXP PRB	LEXINGTON REALTY TRUST 8.05% PFD	LABRANCHE AND CO.
MAA PRH	MID AMERICA APARTMENT COMMS	LABRANCHE AND CO.
PLD PRF	PROLOGIS	LABRANCHE AND CO.
PLD PRG	PROLOGIS	LABRANCHE AND CO.
SPG PRJ	SIMON PROPERTY GROUP	LABRANCHE AND CO.
TCO PRG	TAUBMAN CENTERS	LABRANCHE AND CO.
TCO PRH	TAUBMAN CENTERS	LABRANCHE AND CO.
DRE PRJ	DUKE REALTY 6.625%	LABRANCHE AND CO.
DRE PRK	DUKE REALTY CORP	LABRANCHE AND CO.
DRE PRL	DUKE REALTY CORP	LABRANCHE AND CO.
DRE PRM	DUKE REALTY	LABRANCHE AND CO.
DRE PRN	DUKE REALTY	LABRANCHE AND CO.
DRE PRO	DUKE REALTY	LABRANCHE AND CO.
DTE PRA	DTE ENERGY TRUST I	LABRANCHE AND CO.
DTE PRC	DTE ENERGY TRUST II	LABRANCHE AND CO.
EHA	ENTERGY ARKANSAS INC 6.70%	LABRANCHE AND CO.
EHB	ENTERGY ARKANSAS INC	LABRANCHE AND CO.
EHL	ENTERGY LOUISIANA LLC	LABRANCHE AND CO.
EMO	ENTERGY MISSISSIPPI INC	LABRANCHE AND CO.
EMQ	ENTERGY MISSISSIPPI INC	LABRANCHE AND CO.
HE PRU	HECO CAPITAL TRUST III	LABRANCHE AND CO.
IJD	INDIANA MICHIGAN POWER COMPANY	LABRANCHE AND CO.
KTH	STRUCTURED PRODUCTS	LABRANCHE AND CO.
NRC	NATIONAL RURAL UTILITIES	LABRANCHE AND CO.
NRN	NATL RUR UTIL COOPERATIVE FIN	LABRANCHE AND CO.
NRU	NATL RUR UTIL COOPERATIVE FIN	LABRANCHE AND CO.
POH	PUBLIC SERVICE COMPANY OF OK	LABRANCHE AND CO.
UDM	UDR INC 8 1/2%	LABRANCHE AND CO.
UDR PRG	UDR INC 6.75% SERIES G	LABRANCHE AND CO.
ARL	AMERICAN REALTY INVESTORS	LABRANCHE AND CO.
BEE	STRATEGIC HOTEL & RESORTS	LABRANCHE AND CO.
DHF	DREYFUL HIGH YIELD STRATEGIES FD	LABRANCHE AND CO.
EOD	EVERGREEN GLOBAL DIVIDEND OPP	LABRANCHE AND CO.
FUR	WINTHROP REALTY TRUST	LABRANCHE AND CO.
IIC	MS CA INS MUNI INC TST	LABRANCHE AND CO.
IMC	MS INS MUN BND TST	LABRANCHE AND CO.
IQN	MS NY QUAL MUNI	LABRANCHE AND CO.
IQT	MS QUAL MUNI INV TST	LABRANCHE AND CO.
IRL	THE NEW IRELAND FUND	LABRANCHE AND CO.
LBC	LEHMAN BROTHERS/FIRST TR OPP FD	LABRANCHE AND CO.
LBF	DWS GLOBAL HIGH INCOME FUND INC	LABRANCHE AND CO.
MGB	MORGAN STANLEY GBL OPP BOND FD	LABRANCHE AND CO.
MHF	WESTERN ASSET MUNICIPAL HIGH INC	LABRANCHE AND CO.
MIY	BLACKROCK MUNIYIELD MI INS FUND	LABRANCHE AND CO.
MMU	WESTERN ASSET MANAGED MUNICIPALS	LABRANCHE AND CO.
MQT	BLACKROCK MUNIYIELD QUALITY FD	LABRANCHE AND CO.
NGT	EASTERN AMER NTRL GAS TST SPERS	LABRANCHE AND CO.
NXC	NUVEEN CAL SELECT TX FREE	LABRANCHE AND CO.
PVA	PENN VIRGINIA	LABRANCHE AND CO.
PVG	PENN VIRGINIA GP HOLDINGS LP	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PVR	PENN VIRGINIA RESOURCE PARTNERS	LABRANCHE AND CO.
UHT	UNIVERSAL HEALTH RLTY TR SBI	LABRANCHE AND CO.
ADX	ADAMS EXPRESS	LABRANCHE AND CO.
BSD	BLACKROCK STRATEGIC MUNI TR	LABRANCHE AND CO.
BTF	BOULDER TOTAL RETURN FUND	LABRANCHE AND CO.
CFD	40/86 STRATEGIC INCOME FUND	LABRANCHE AND CO.
CIF	MFS INTERMEDIATE HIGH IN FUND	LABRANCHE AND CO.
COT	COTT CORPORATION	LABRANCHE AND CO.
COY	BLACKROCK CORP HIGH YIELD FUND	LABRANCHE AND CO.
CPV	CBS CORPORATION 6.750% SR NOTES	LABRANCHE AND CO.
DGF	DELAWARE INV GBL DIVIDEND & FD	LABRANCHE AND CO.
DSM	DREYFUS STRATEGIC MUNI BND FND	LABRANCHE AND CO.
EBF	ENNIS INC	LABRANCHE AND CO.
EEA	EUROPEAN EQUITY FUND INC	LABRANCHE AND CO.
EQS	EQUUS TOTAL RETURN INC	LABRANCHE AND CO.
FF	FIRST FINANCIAL FUND	LABRANCHE AND CO.
FMN	FEDERATED PREMIER MUNICIPAL FUND	LABRANCHE AND CO.
FPT	FEDERATED PREMIER INTERMEDIATE M	LABRANCHE AND CO.
GHI	GLOBAL HIGH INCOME FUND	LABRANCHE AND CO.
GOT	GOTTSCHALKS	LABRANCHE AND CO.
HBC PRA	HSBC HOLDINGS PLC	LABRANCHE AND CO.
ICB	MORGAN STANLEY INCOME SEC. INC	LABRANCHE AND CO.
MNP	WESTERN ASSET MUNICIPAL PARTNERS	LABRANCHE AND CO.
MSB	MESABI TRUST CTF BEN INT	LABRANCHE AND CO.
MVT	BLACKROCK MUNIVEST FUND II	LABRANCHE AND CO.
VTN	VAN KAMP AM CAP TST INV GRD NY	LABRANCHE AND CO.
ACG	ALLIANCEBERNSTEIN INCOME FD INC	LABRANCHE AND CO.
AWF	ALLIANCEBERNSTEIN GLOBAL HIGH IN	LABRANCHE AND CO.
BGH	BUCKEYE GP HOLDINGS L.P.	LABRANCHE AND CO.
BPL	BUCKEYE PARTNERS INT UNIT	LABRANCHE AND CO.
CGO	CALAMOS GLOBAL TOTAL RETURN FUND	LABRANCHE AND CO.
EBI	EVERGREEN INTERNATIONAL BAL FD	LABRANCHE AND CO.
GIM	TEMPLETON GLOBAL INCOME FUND	LABRANCHE AND CO.
HCE	FIDUCIARY/CLAYMORE DYNAMIC	LABRANCHE AND CO.
HTR	HYPERION BROOKFIELD TOT RET FD	LABRANCHE AND CO.
JFC	JF CHINA REGION FUND INC	LABRANCHE AND CO.
LEO	DREYFUS STRATEGIC MUNICIPALS	LABRANCHE AND CO.
MHY	WESTERN ASSET MANAGED HIGH INCOM	LABRANCHE AND CO.
MSD	MS EMERGING MKTS DEBT FD	LABRANCHE AND CO.
NNC	NUVEEN NC PREM INCM MNI FUND	LABRANCHE AND CO.
NNJ	NUVEEN NJ PREM INCM MUNI FUND	LABRANCHE AND CO.
NXP	NUVEEN SELECT TAX FREE INCOME	LABRANCHE AND CO.
OIC	MS MUNI INC OPP TST III	LABRANCHE AND CO.
PFD	FLAHERTY & CRUMRINE PRD FD INCOR	LABRANCHE AND CO.
PFO	FLAHERTY & CRUMRINE PRD OPP FD	LABRANCHE AND CO.
PMO	PUTNAM MUNI OPPORTUNITIES TST	LABRANCHE AND CO.
RCS	PIMCO STRATEGIC GLOBAL GOV FUND	LABRANCHE AND CO.
TSI	TCW STRATEGIC INCOME FUND INC	LABRANCHE AND CO.
TWN	TAIWAN FUND	LABRANCHE AND CO.
VKQ	VAN KAMPEN AMER CAP MUNI TR	LABRANCHE AND CO.
CM	CANADIAN IMPERIAL BK OF COMMERCE	LEHMAN BROTHERS MARKET MAKERS
CPY	C P I	LEHMAN BROTHERS MARKET MAKERS
CWT	CALIFORNIA WATER SERV GP HLDG CO	LEHMAN BROTHERS MARKET MAKERS
FUN	CEDAR FAIR	LEHMAN BROTHERS MARKET MAKERS
GAI	GLOBAL TECH APPLIANCES	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HCH	MERRILL LYNCH CANADA CP HOLDRS	LEHMAN BROTHERS MARKET MAKERS
LDL	LYDALL	LEHMAN BROTHERS MARKET MAKERS
LG	THE LACLEDE GROUP INC	LEHMAN BROTHERS MARKET MAKERS
LTC	LTC PROPERTIES	LEHMAN BROTHERS MARKET MAKERS
LTC PRE	LTC PROPERTIES INC	LEHMAN BROTHERS MARKET MAKERS
LTC PRF	LTC PROPERTIES INC	LEHMAN BROTHERS MARKET MAKERS
NHI	NATIONAL HEALTH INV	LEHMAN BROTHERS MARKET MAKERS
VCO	VINA CONCHA Y TORO 50 SHRS	LEHMAN BROTHERS MARKET MAKERS
VHI	VALHI	LEHMAN BROTHERS MARKET MAKERS
ZNH	CHINA STH AIRLINES ORD H SHRS	LEHMAN BROTHERS MARKET MAKERS
CPK	CHESAPEAKE UTILITIES	LEHMAN BROTHERS MARKET MAKERS
DPM	DCP MIDSTREAM PARTNERS LP	LEHMAN BROTHERS MARKET MAKERS
FLI	CHC HELICOPTER CORPORATION	LEHMAN BROTHERS MARKET MAKERS
KEF	KOREA EQUITY FUND	LEHMAN BROTHERS MARKET MAKERS
KFS	KINGSWAY FINNCIL SERVICES	LEHMAN BROTHERS MARKET MAKERS
KWR	QUAKER CHEMICAL	LEHMAN BROTHERS MARKET MAKERS
MAD	MADECO S.A. (NEW)	LEHMAN BROTHERS MARKET MAKERS
MGS	METROGAS 10 CLB SHARES	LEHMAN BROTHERS MARKET MAKERS
NTZ	NATUZZI S.P.A.	LEHMAN BROTHERS MARKET MAKERS
OTE	HELLENIC TELECOMM ORGANIZATION	LEHMAN BROTHERS MARKET MAKERS
RC	GRUPO RADIO CENTRO SAB DE CV	LEHMAN BROTHERS MARKET MAKERS
SBP	BANCO SANTANDER PUERTO RICO	LEHMAN BROTHERS MARKET MAKERS
SIG	SIGNET GROUP PLC	LEHMAN BROTHERS MARKET MAKERS
SXL	SUNOCO LOGISTICS PARTNERS L.P.	LEHMAN BROTHERS MARKET MAKERS
TFR	TEFRON	LEHMAN BROTHERS MARKET MAKERS
TRK	SPEEDWAY MOTORSPORTS	LEHMAN BROTHERS MARKET MAKERS
BSY	BRITISH SKY BROADCASTING GROUP	LEHMAN BROTHERS MARKET MAKERS
DRI	DARDEN RESTAURANTS	LEHMAN BROTHERS MARKET MAKERS
ENI	ENERSIS	LEHMAN BROTHERS MARKET MAKERS
FCF	FIRST COMMONWEALTH FIN	LEHMAN BROTHERS MARKET MAKERS
FOR	FORESTAR REAL ESTATE GROUP INC	LEHMAN BROTHERS MARKET MAKERS
HUM	HUMANA	LEHMAN BROTHERS MARKET MAKERS
KIM	KIMCO REALTY	LEHMAN BROTHERS MARKET MAKERS
KIM PRF	KIMCO REALTY CORPORATION	LEHMAN BROTHERS MARKET MAKERS
KIM PRG	KIMCO RLTY CORP 7.75% CL G	LEHMAN BROTHERS MARKET MAKERS
MI	MARSHALL & IISLEY CORP	LEHMAN BROTHERS MARKET MAKERS
NR	NEWPARK RESOURCES	LEHMAN BROTHERS MARKET MAKERS
NVO	NOVO NORDISK A/S	LEHMAN BROTHERS MARKET MAKERS
PNY	PIEDMONT NATURAL GAS	LEHMAN BROTHERS MARKET MAKERS
SFG	STANCORP FINANCIAL GROUP	LEHMAN BROTHERS MARKET MAKERS
STC	STEWART INFORMATION SERVICES	LEHMAN BROTHERS MARKET MAKERS
TIN	TEMPLE INLAND	LEHMAN BROTHERS MARKET MAKERS
UBS	UBS AG (NEW)	LEHMAN BROTHERS MARKET MAKERS
UBS PRD	UBS PREFERRED FUNDING TRUST IV	LEHMAN BROTHERS MARKET MAKERS
VE	VEOLIA ENVIRONNEMENT	LEHMAN BROTHERS MARKET MAKERS
ACI	ARCH COAL	LEHMAN BROTHERS MARKET MAKERS
ARW	ARROW ELECTRONICS	LEHMAN BROTHERS MARKET MAKERS
BBX	BANKATLANTIC BANCORP	LEHMAN BROTHERS MARKET MAKERS
DDS	DILLARDS	LEHMAN BROTHERS MARKET MAKERS
DHT	DHT MARITIME INC	LEHMAN BROTHERS MARKET MAKERS
IRM	IRON NOUNTAIN	LEHMAN BROTHERS MARKET MAKERS
LLY	ELI LILLY & COMPANY	LEHMAN BROTHERS MARKET MAKERS
PXD	PIONEER NATURAL RESOURCES	LEHMAN BROTHERS MARKET MAKERS
THO	THOR INDUSTRIES	LEHMAN BROTHERS MARKET MAKERS
TXT	TEXTRON OF DEL	LEHMAN BROTHERS MARKET MAKERS
TXT PRA	TEXTRON 2.08 CV PFD A	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
TXT PRB	TEXTRON 1.40 CV PFD B DIV	LEHMAN BROTHERS MARKET MAKERS
VTR	VENTAS	LEHMAN BROTHERS MARKET MAKERS
WLK	WESTLAKE CHEMICAL CORP	LEHMAN BROTHERS MARKET MAKERS
AVB	AVALON BAY COMMUNITIES	LEHMAN BROTHERS MARKET MAKERS
AVB PRH	AVALONBAY COMMUNITIES PRH	LEHMAN BROTHERS MARKET MAKERS
CMC	COMMERCIAL METALS	LEHMAN BROTHERS MARKET MAKERS
GAS	NICOR	LEHMAN BROTHERS MARKET MAKERS
HMY	HARMONY GOLD MINING CO LIMITED	LEHMAN BROTHERS MARKET MAKERS
MSO	MARTHA STEWART LIVING OMNIMEDIA	LEHMAN BROTHERS MARKET MAKERS
NCX	NOVA CHEMICALS	LEHMAN BROTHERS MARKET MAKERS
PGH	PENGROWTH ENERGY TRUST	LEHMAN BROTHERS MARKET MAKERS
PVX	PROVIDENT ENERGY TRUST	LEHMAN BROTHERS MARKET MAKERS
SEH	SPARTECH	LEHMAN BROTHERS MARKET MAKERS
SFY	SWIFT ENERGY	LEHMAN BROTHERS MARKET MAKERS
SKT	TANGER FACTORY OUTLET CENTERS	LEHMAN BROTHERS MARKET MAKERS
SKT PRC	TANGER FACTORY OUTLET CENTERS	LEHMAN BROTHERS MARKET MAKERS
TTC	TORO	LEHMAN BROTHERS MARKET MAKERS
TTM	TATA MOTORS LIMITED	LEHMAN BROTHERS MARKET MAKERS
TWP	TREX	LEHMAN BROTHERS MARKET MAKERS
ZZ	SEALY	LEHMAN BROTHERS MARKET MAKERS
AEC	ASSOCIATED ESTATES REALTY	LEHMAN BROTHERS MARKET MAKERS
AEC PRB	ASSOCIATED ESTATES REALTY CORP	LEHMAN BROTHERS MARKET MAKERS
AEO	AMERICAN EAGLE OUTFITTERS INC	LEHMAN BROTHERS MARKET MAKERS
HPQ	HEWLETT-PACKARD	LEHMAN BROTHERS MARKET MAKERS
LAB	LABRANCHE & CO	LEHMAN BROTHERS MARKET MAKERS
MLP	MAUI LAND & PINEAPPLE CO INC	LEHMAN BROTHERS MARKET MAKERS
MV	METAVANTE TECHNOLOGIES INC	LEHMAN BROTHERS MARKET MAKERS
NT	NORTEL NETWORKS	LEHMAN BROTHERS MARKET MAKERS
PFE	PFIZER	LEHMAN BROTHERS MARKET MAKERS
WNS	WNS (HOLDINGS) LIMITED	LEHMAN BROTHERS MARKET MAKERS
BKH	BLACK HILLS	LEHMAN BROTHERS MARKET MAKERS
BMA	BANCO MACRO S A	LEHMAN BROTHERS MARKET MAKERS
BXG	BLUEGREEN	LEHMAN BROTHERS MARKET MAKERS
CDI	CDI	LEHMAN BROTHERS MARKET MAKERS
CMZ	COMPTON PETROLEUM CORPORATION	LEHMAN BROTHERS MARKET MAKERS
CXR	COX RADIO	LEHMAN BROTHERS MARKET MAKERS
DDT	DILLARDS CAPITAL TRUST I CAP SEC	LEHMAN BROTHERS MARKET MAKERS
FA	FAIRCHILD	LEHMAN BROTHERS MARKET MAKERS
HMN	HORACE MANN EDUCATORS	LEHMAN BROTHERS MARKET MAKERS
MOV	MOVADO GROUP INC	LEHMAN BROTHERS MARKET MAKERS
NRP	NATIONAL RESOURCE PARTNERS L.P.	LEHMAN BROTHERS MARKET MAKERS
TX	TERNIUM S.A.	LEHMAN BROTHERS MARKET MAKERS
UGI	UGI	LEHMAN BROTHERS MARKET MAKERS
UTR	UNITRIN INC	LEHMAN BROTHERS MARKET MAKERS
CHS	CHICO'S FAS INC	LEHMAN BROTHERS MARKET MAKERS
CYH	COMMUNITY HEALTH	LEHMAN BROTHERS MARKET MAKERS
DAN	DANA HOLDINGS CORPORATION	LEHMAN BROTHERS MARKET MAKERS
DSL	DOWNEY FINANCIAL	LEHMAN BROTHERS MARKET MAKERS
DSX	DIANA SHIPPING INC	LEHMAN BROTHERS MARKET MAKERS
GES	GUESS	LEHMAN BROTHERS MARKET MAKERS
HNI	HNI CORPORATION	LEHMAN BROTHERS MARKET MAKERS
NWL	NEWELL RUBBERMAID	LEHMAN BROTHERS MARKET MAKERS
PAG	PENSKE AUTOMOTIVE GROUP INC	LEHMAN BROTHERS MARKET MAKERS
PHX	PANHANDLE OIL AND GAS INC	LEHMAN BROTHERS MARKET MAKERS
SFD	SMITHFIELD FOODS	LEHMAN BROTHERS MARKET MAKERS
SKS	SAKS	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
WAB	WESTINGHOUSE AIR BRAKE	LEHMAN BROTHERS MARKET MAKERS
AMT	AMERICAN TOWER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
APD	AIR PROD CHEM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BDN	BRANDYWINE REALTY TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DOV	DOVER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GWW	GRAINGER WW	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HLX	HELIX ENERGY SOLUTIONS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IFC	IRWIN FINANCIAL CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JTX	JACKSON HEWITT TAX SERVICE INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NWK	NETWORK EQUIPMENT TECHNOLOGIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
QTM	QUANTUM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RUS	RUSS BERRIE & CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SLM	SLM CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SY	SYBASE INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WLP	WELLPOINT INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ACV	ALBERTO-CULVER CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AKR	ACADIA REALTY TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AMX	AMERICA MOVIL S.A.B. DE C.V.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AW	ALLIED WASTE INDUSTRIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IDA	IDACORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LZB	LA-Z-BOY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MSC	MATERIAL SCIENCES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NRT	NORTH EUROPEAN OIL UBI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PNM	PNM RESOURCES INC (HOLDING CO)	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RNR	RENAISSANCE RE HOLDINGS LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SM	ST MARY LAND & EXPLORATION CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SUG	SOUTHERN UNION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TCI	TRANSCONTINENTAL RLTY INVSTRS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
THC	TENET HEALTHCARE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TWX	TIME WARNER INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ZLC	ZALE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ABM	ABM INDUSTRIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ALG	ALAMO GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AP	AMPCO PITTSBURGH	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CIM	CHIMERA INVESTMENT CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FGP	FERRELLGAS PARTNERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HF	HFF INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ISM	SLM CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LUB	LUBY'S DEL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MNT	MENTOR CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MTW	MANITOWOC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PAA	PLAINS ALL AMERICAN PIPELINE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PPL	PPL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SEP	SPECTRA ENGY PARTERS LP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SR	STANDARD REGISTER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TOD	TODD SHIPYARDS DEL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TRN	TRINITY INDUSTRIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UNT	UNIT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
USG	USG	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WES	WESTERN GAS PARTNERS LP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ADP	AUTOMATIC DATA PROCESSING	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BDX	BECTON DICKINSON & CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BRL	BARR PHARMACEUTICALS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DRC	DRESSER-RAND GROUP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FRX	FOREST LABORATORIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HPC	HERCULES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HUB A	HUBBELL CLA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HUB B	HUBBELL CLB	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IHS	IHS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LDR	LANDAUER INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MDU	MDU RESOURCES GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MET	METLIFE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MEU	METLIFE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MRN	MEDICAL STAFFING NETWORK HOLDING	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ONB	OLD NATIONAL BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
POL	POLYONE CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PPC	PILGRIMS PRIDE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SBH	SALLY BEAUTY HOLDINGS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SF	STIFEL FINANCIAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VZ	VERIZON COMMUNICATIONS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BA	BOEING	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DWA	DREAMWORKS ANIMATION SKG	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GIS	GENERAL MILLS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GLG	GLG PARTNERS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GLG U	GLG PARTNERS INC UNITS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GLG WS	GLG PARTNERS INC WARRANTS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HEW	HEWITT ASSOCIATES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KBR	KBR INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OXY	OCCIDENTAL PETROLEUM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TDC	TERADATA CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UPL	ULTRA PETROLEUM CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AEB	AEGON N.V. 5.29964%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AED	AEGON N.V. 6.50%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AEF	AEGON N V	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AEH	AEGON N V 6.375%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AEV	AEGON N.V.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AHR PRC	ANTHRACITE CAPITAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AHR PRD	ANTHRACITE CAPITAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BDN PRC	BRANDYWINE REALTY TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BDN PRD	BRANDYWINE REALTY TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBS PRA	FIRST PREFERRED CAPITAL TRUST IV	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HCP PRE	HCP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HCP PRF	HCP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KRB PRD	MBNA CAPILAL D	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KRB PRE	MBNA CAPITAL E	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MET PRA	METLIFE INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MET PRB	METLIFE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NLY PRA	ANNALY CAPITAL MANAGEMENT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NNN PRC	NATIONAL RETAIL PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SLM PRA	SLM CORPORATION 6.97%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SLM PRB	SLM CORPORATION SERIES B	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AO PRA	AMERCO SER A PFD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BCS PR	BARCLAYS BANK	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BCS PRA	BARCLAYS BANK PLC SERIES 3	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BCS PRC	BARCLAYS BANK PLC SERIES 4	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BCS PRD	BARCLAYS BANK PLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBP PRA	FIRST BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBP PRB	FIRST BANCORP 8.35%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBP PRC	FIRST BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBP PRD	FIRST BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBP PRE	FIRST BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
FPL PRC	FPL GROUP CAPITAL TRUST I	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IFC PRM	IFC CAPITAL TRUST VI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
O PRD	REALTY INCOME CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
O PRE	REALTY INCOME CORPORATION 6.75%	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ONB PRB	ONB CAPITAL TRUST II	SPEAR, LEEDS AND KELLOGG SPEC. LLC
OUI	REALTY INC MONTHLY INC SR NTS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
REG PRC	REGENCY CENTERS CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
REG PRD	REGENCY CENTERS CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
REG PRE	REGENCY CENTERS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RF PRZ	REGIONS FINANCING TRUST III	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFI PRD	STARWOOD FINANCIAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFI PRE	ISTAR FINANCIAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFI PRF	ISTAR FINANCIAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFI PRG	ISTAR FINANCIAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFI PRI	ISTAR FINANCIAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SOV PRB	SOVEREIGN CAPITAL TRUST V	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SOV PRC	SOVEREIGN BANCORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TY PR	TRI-CONTINENTAL PREFERRED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRB	BAC CAPITAL TRUST X	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRC	BAC CAPITAL TRUST XII	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRD	BANK OF AMERICA CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRE	BANK OF AMERICA CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRH	BK OF AMER CORP 8.20% SER H	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRI	BK OF AMER CORP 6.625% SER I	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRJ	BK OF AMER CORP 7.25% SER J	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRU	BAC CAPITAL TRUST IV	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRV	BAC CAPITAL TRUST II	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRW	BAC CAPITAL TRUST I	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRX	BAC CAPITAL TRUST III 7% CAPITAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRY	BAC CAPITAL TRUST V	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRZ	BAC CAPITAL TRUST VIII	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBF PRM	FLEET CAPITAL TRUST VIII	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FBF PRN	FLEET CAPITAL TRUST IX	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRF	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRG	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRH	FANNIE MAE 5.81% NON CUM PFD H	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRI	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRL	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRM	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRN	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRP	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRQ	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRR	FANNIE MAE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRS	FANNIE MAE 8.25% SERIES S	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FNM PRT	FANNIE MAE SERIES T	SPEAR, LEEDS AND KELLOGG SPEC. LLC

**8/26/2008**

AYE	ALLEGHENY POWER SYSTEM	BANC OF AMERICA SPECIALIST INC
CBI	CHICAGO BRIDGE & IRON NV	BANC OF AMERICA SPECIALIST INC
CQB	CHIQUITA BRANDS INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
CQB WS	CHIQUITA BRANDS INTERNATIONAL	BANC OF AMERICA SPECIALIST INC
EV	EATON VANCE	BANC OF AMERICA SPECIALIST INC
GMR	GENERAL MARITIME	BANC OF AMERICA SPECIALIST INC
HL	HECLA MINING	BANC OF AMERICA SPECIALIST INC
HL PRB	HECLA MINING CUM CV PFD B	BANC OF AMERICA SPECIALIST INC
HL PRC	HECLA MINING COMPANY 6.5%	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HSY	HERSHEY COMPANY	BANC OF AMERICA SPECIALIST INC
LH	LABORATORY CORP OF AMERICA	BANC OF AMERICA SPECIALIST INC
NCR	NCR	BANC OF AMERICA SPECIALIST INC
PKD	PARKER DRILLING	BANC OF AMERICA SPECIALIST INC
RAD	RITE AID	BANC OF AMERICA SPECIALIST INC
RAH	RALCORP HOLDINGS	BANC OF AMERICA SPECIALIST INC
SWC	STILLWATER MINING CO	BANC OF AMERICA SPECIALIST INC
VRX	VALEANT PHARMACEUTICALS INT	BANC OF AMERICA SPECIALIST INC
ZEP	ZEP INC	BANC OF AMERICA SPECIALIST INC
ALB	ALBEMARLE	BANC OF AMERICA SPECIALIST INC
CBE	COOPER INDUSTRIES	BANC OF AMERICA SPECIALIST INC
DO	DIAMOND OFFSHORE DRILLING	BANC OF AMERICA SPECIALIST INC
GD	GENERAL DYNAMICS	BANC OF AMERICA SPECIALIST INC
KNL	KNOLL, INC.	BANC OF AMERICA SPECIALIST INC
SGK	SCHAWK	BANC OF AMERICA SPECIALIST INC
SHS	SAUER-DANFOSS	BANC OF AMERICA SPECIALIST INC
TDG	TRANSDIGM GROUP	BANC OF AMERICA SPECIALIST INC
UNH	UNITED HEALTHCARE	BANC OF AMERICA SPECIALIST INC
WBC	WABCO HOLDINGS INC	BANC OF AMERICA SPECIALIST INC
CBL	CBL & ASSOCIATES PROPERTIES	BANC OF AMERICA SPECIALIST INC
CLI	MACK CALI REALTY	BANC OF AMERICA SPECIALIST INC
EGP	EASTGROUP PROPERTIES	BANC OF AMERICA SPECIALIST INC
FCH	FELCOR LODGING TRUST	BANC OF AMERICA SPECIALIST INC
GRT	GLIMCHER REALTY TRUST	BANC OF AMERICA SPECIALIST INC
IRC	INLAND REAL ESTATE CORP	BANC OF AMERICA SPECIALIST INC
PSA	PUBLIC STORAGE	BANC OF AMERICA SPECIALIST INC
RLF	COHEN & STEERS ADV RLTY FD	BANC OF AMERICA SPECIALIST INC
RPF	COHEN & STEERS PRE RLTY FD	BANC OF AMERICA SPECIALIST INC
RQI	COHEN & STEERS QUALITY INCOME	BANC OF AMERICA SPECIALIST INC
RTU	COHEN & STRS REIT & UTILITY FD	BANC OF AMERICA SPECIALIST INC
TMA	THORNBURG MORTGAGE ASSET CORP	BANC OF AMERICA SPECIALIST INC
TMA PRC	THORNBURG MORTGAGE INC	BANC OF AMERICA SPECIALIST INC
TMA PRD	THORNBURG MORTGAGE TRUST INC	BANC OF AMERICA SPECIALIST INC
TMA PRE	THORNBURG MORTGAGE INC. SER E	BANC OF AMERICA SPECIALIST INC
TMA PRF	THORNBURG MORTGAGE INC SER F	BANC OF AMERICA SPECIALIST INC
VNO	VORNADO REALTY TRUST	BANC OF AMERICA SPECIALIST INC
VNO PRA	VORNADO RLTY TR SER A CONV SHRS	BANC OF AMERICA SPECIALIST INC
WPC	W P CAREY & CO LLC	BANC OF AMERICA SPECIALIST INC
WRI	WEINGARTEN REALTY	BANC OF AMERICA SPECIALIST INC
ARH PRA	ARCH CAPITAL GROUP LTD	BANC OF AMERICA SPECIALIST INC
ARH PRB	ARCH CAPITAL GROUP	BANC OF AMERICA SPECIALIST INC
CAH	CARDINAL HEALTH	BANC OF AMERICA SPECIALIST INC
GLT	GLATFELTER	BANC OF AMERICA SPECIALIST INC
HGT	HUGOTON ROYALTY TR TR UNITS	BANC OF AMERICA SPECIALIST INC
KMP	KINDER MORGAN ENGY PARTNERS L P	BANC OF AMERICA SPECIALIST INC
KMR	KINDER MORGAN MANAGEMENT	BANC OF AMERICA SPECIALIST INC
LEA	LEAR	BANC OF AMERICA SPECIALIST INC
LNC	LINCOLN NATIONAL	BANC OF AMERICA SPECIALIST INC
LNC PR	LINCOLN NATL 3 CV PFD A	BANC OF AMERICA SPECIALIST INC
LNC PRF	LINCOLN NATIONAL CAPITAL VI	BANC OF AMERICA SPECIALIST INC
LNC PRG	LINCOLN NATIONAL	BANC OF AMERICA SPECIALIST INC
MTG	MGIC INVESTMENT	BANC OF AMERICA SPECIALIST INC
NFS	NATIONWIDE FINANCIAL SERVICES	BANC OF AMERICA SPECIALIST INC
NYT	NEW YORK TIMES	BANC OF AMERICA SPECIALIST INC
OME	OMEGA PROTEIN	BANC OF AMERICA SPECIALIST INC
PBI	PITNEY BOWES	BANC OF AMERICA SPECIALIST INC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
PBI PR	PITNEY BOWES 2.12 CONV PR	BANC OF AMERICA SPECIALIST INC
ACS	AFFILIATED COMPUTER SERVICES	BEAR WAGNER SPECIALISTS, LLC
BGG	BRIGGS & STRATTON	BEAR WAGNER SPECIALISTS, LLC
CSL	CARLISLE COMPANIES HOLDING	BEAR WAGNER SPECIALISTS, LLC
DLX	DELUXE	BEAR WAGNER SPECIALISTS, LLC
EMC	EMC	BEAR WAGNER SPECIALISTS, LLC
GLW	CORNING	BEAR WAGNER SPECIALISTS, LLC
GRA	GRACE WR & CO	BEAR WAGNER SPECIALISTS, LLC
KMB	KIMBERLY CLARK	BEAR WAGNER SPECIALISTS, LLC
NYX	NYSE EURONEXT	BEAR WAGNER SPECIALISTS, LLC
OC	OWENS CORNING	BEAR WAGNER SPECIALISTS, LLC
OC WSB	OWENS CORNING SER B WARRANTS	BEAR WAGNER SPECIALISTS, LLC
PG	PROCTER & GAMBLE	BEAR WAGNER SPECIALISTS, LLC
RJF	RAYMOND JAMES FINANCIAL	BEAR WAGNER SPECIALISTS, LLC
RL	POLO RALPH LAUREN	BEAR WAGNER SPECIALISTS, LLC
SAI	SAIC INC	BEAR WAGNER SPECIALISTS, LLC
TD	TORONTO DOMINION BANK	BEAR WAGNER SPECIALISTS, LLC
TOL	TOLL BROTHERS	BEAR WAGNER SPECIALISTS, LLC
ABD	ACCO BRANDS CORP	BEAR WAGNER SPECIALISTS, LLC
ANN	ANNTAYLOR STORES	BEAR WAGNER SPECIALISTS, LLC
AVP	AVON PRODUCTS	BEAR WAGNER SPECIALISTS, LLC
BC	BRUNSWICK	BEAR WAGNER SPECIALISTS, LLC
BIG	BIG LOTS	BEAR WAGNER SPECIALISTS, LLC
CHD	CHURCH & DWIGHT	BEAR WAGNER SPECIALISTS, LLC
FO	FORTUNE BRANDS	BEAR WAGNER SPECIALISTS, LLC
FO PRA	FORTUNE BRANDS PFD A	BEAR WAGNER SPECIALISTS, LLC
HAS	HASBRO	BEAR WAGNER SPECIALISTS, LLC
HWD	HARRY WINSTON DIAMOND CORP	BEAR WAGNER SPECIALISTS, LLC
IVZ	INVESCO LTD	BEAR WAGNER SPECIALISTS, LLC
JBR	SELECT ASSET INC	BEAR WAGNER SPECIALISTS, LLC
MAT	MATTEL	BEAR WAGNER SPECIALISTS, LLC
PAS	PEPSIAMERICAS	BEAR WAGNER SPECIALISTS, LLC
PBH	PRESTIGE BRANDS HOLDINGS	BEAR WAGNER SPECIALISTS, LLC
RGS	REGIS CORP	BEAR WAGNER SPECIALISTS, LLC
RHI	ROBERT HALF INTL	BEAR WAGNER SPECIALISTS, LLC
ROP	ROPER INDUSTRIES	BEAR WAGNER SPECIALISTS, LLC
VSH	VISHAY INTERTECHNOLOGY	BEAR WAGNER SPECIALISTS, LLC
WG	WILLBROS GROUP	BEAR WAGNER SPECIALISTS, LLC
WTI	W&T OFFSHORE INC	BEAR WAGNER SPECIALISTS, LLC
WTS	WATTS WATER TECHNOLOGIES INC	BEAR WAGNER SPECIALISTS, LLC
ATI	ALLEGHENY TECHNOLOGIES	BEAR WAGNER SPECIALISTS, LLC
CMG	CHIPOTLE MEXICAN GRILL	BEAR WAGNER SPECIALISTS, LLC
CMG B	CHIPOTLE MEXICAN GRILL INC CL B	BEAR WAGNER SPECIALISTS, LLC
CNQ	CANADIAN NATURAL	BEAR WAGNER SPECIALISTS, LLC
FLR	FLUOR	BEAR WAGNER SPECIALISTS, LLC
FMC	FMC	BEAR WAGNER SPECIALISTS, LLC
IR	INGERSOLL-RAND CO LMT	BEAR WAGNER SPECIALISTS, LLC
KOP	KOPPERS	BEAR WAGNER SPECIALISTS, LLC
LMC	LUNDIN MINING CORPORATION	BEAR WAGNER SPECIALISTS, LLC
MLM	MARTIN MARIETTA MATERIALS	BEAR WAGNER SPECIALISTS, LLC
NCI	NAVIGANT CONSULTING	BEAR WAGNER SPECIALISTS, LLC
NSR	NEUSTAR INC	BEAR WAGNER SPECIALISTS, LLC
OMG	OM GROUP	BEAR WAGNER SPECIALISTS, LLC
PDE	PRIDE INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
PTV	PACTIV	BEAR WAGNER SPECIALISTS, LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
RAX	RACKSPACE HOSTING INC	BEAR WAGNER SPECIALISTS, LLC
RHD	R H DONNELLEY	BEAR WAGNER SPECIALISTS, LLC
RS	RELIANCE STEEL & ALUMINUM	BEAR WAGNER SPECIALISTS, LLC
SCG	SCANA	BEAR WAGNER SPECIALISTS, LLC
SMS	SIMS GROUP LIMITED	BEAR WAGNER SPECIALISTS, LLC
SPC	SPECTRUM BRANDS	BEAR WAGNER SPECIALISTS, LLC
WSM	WILLIAMS SONOMA	BEAR WAGNER SPECIALISTS, LLC
AJG	ARTHUR J GALLAGHER & CO	BEAR WAGNER SPECIALISTS, LLC
AOC	AON	BEAR WAGNER SPECIALISTS, LLC
AXL	AMER AXLE & MANUFACTURING HLDGS	BEAR WAGNER SPECIALISTS, LLC
AYI	ACUITY BRANDS INC HOLDING CO	BEAR WAGNER SPECIALISTS, LLC
CNA	CNA FINANCIAL	BEAR WAGNER SPECIALISTS, LLC
DRS	DRS TECHNOLOGIES INC	BEAR WAGNER SPECIALISTS, LLC
DV	DEVRY	BEAR WAGNER SPECIALISTS, LLC
EVR	EVERCORE PARTNERS	BEAR WAGNER SPECIALISTS, LLC
HMC	HONDA MOTOR ADR	BEAR WAGNER SPECIALISTS, LLC
ITT	ITT CORP	BEAR WAGNER SPECIALISTS, LLC
JBL	JABIL CIRCUIT	BEAR WAGNER SPECIALISTS, LLC
MMC	MARSH & MCLENNAN COS	BEAR WAGNER SPECIALISTS, LLC
MRX	MEDICIS PHARMACEUTICAL	BEAR WAGNER SPECIALISTS, LLC
OCN	OCWEN FINANCIAL	BEAR WAGNER SPECIALISTS, LLC
OMI	OWENS & MINOR HOLDING	BEAR WAGNER SPECIALISTS, LLC
SAF	SAFECO CORPORATION	BEAR WAGNER SPECIALISTS, LLC
AAV	ADVANTAGE ENERGY INCOME FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
APB	ASIA PACIFIC FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ARD	ARENA RESOURCES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CHN	CHINA FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ERF	ENERPLUS RESOURCAS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FFD	MORGAN STANLEY FRONTIER FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GSI	GENERAL STEEL HOLDINGS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HCD	HIGHLAND DISTRESSED OPP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IGA	ING GLOBAL ADVANTAGE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IGD	ING GBL EQ DIVIDEND & PRE OPP FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IIF	MORGAN STANLEY INDIA INV FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IRR	ING RISK MANAGED NATURAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
JOF	JAPAN SMALLER CAPITALIZATION FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NOA	NORTH AMERICAN ENERGY PARTNERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PWE	PENN WEST ENERGY TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RNE	MORGAN STANLEY EASTERN EUROPE FD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SNF	SPAIN FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SWN	SOUTHWESTERN ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BDE	BOIS D'ARC ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CPX	COMPLETE PRODUCTION SERVICES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CRK	COMSTOCK RESOURCES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GRR	ASIA TIGERS FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ICO	INTERNATIONAL COAL GROUP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MAY	MALAYSIA FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MXF	MEXICO FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NJR	NEW JERSEY RESOURCES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PAC	GRUPO AEROPORTUARIO DEL PACIFIC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PVD	ADMIN DE FONDOS DE PENSIONES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TC	THOMPSON CREEK METALS CO INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TKF	TURKISH INV FUND	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TNK	TEEKAY TANKERS LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TOO	TEEKAY OFFSHORE PARTNERS L P	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
CV	CENTRAL VT PUB SVC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HK	PETROHAWK ENERGY CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
KEG	KEY ENERGY SERVICES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NGG	NATIONAL GRID PLC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PH	PARKER-HANNIFIN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PQ	PETROQUEST ENERGY INC WD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TE	TECO ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TVC	TENNESSEE VALLEY AUTHORITY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TVE	TENN VAL AUTH PWR BONDS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ABC	AMERISOURCEBERGEN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BCR	BARD CR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CHP	C&D TECHNOLOGIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CRI	CARTERS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DCP	DYNCORP INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DVA	DAVITA INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FIF	FINANCIAL FEDERAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GA	GIANT INTERACTIVE GP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GIL	GILDAN ACTIVEWEAR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HQL	H&Q LIFE SCIENCES INVESTORS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LLL	L3 COMMUNICATIONS HOLDINGS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LTM	LIFE TIME FITNESS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MFB	MAIDENFORM BRANDS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TNS	TNS, INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VFC	V F	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WCG	WELLCARE HEALTH PLANS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WMS	WMS INDUSTRIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AEP	AMERICAN ELECTRIC POWER	LABRANCHE AND CO.
AGP	AMERIGROUP CORPORATION	LABRANCHE AND CO.
AIN	ALBANY INTERNATIONAL	LABRANCHE AND CO.
AOS	AO SMITH	LABRANCHE AND CO.
ASF	ADMINISTAFF	LABRANCHE AND CO.
BBI	BLOCKBUSTER CLASS A	LABRANCHE AND CO.
BBI B	BLOCKBUSTER CLASS B WI	LABRANCHE AND CO.
BDC	BELDEN INC.	LABRANCHE AND CO.
BMS	BEMIS	LABRANCHE AND CO.
COV	COVIDIEN LTD	LABRANCHE AND CO.
CSK	CHESAPEAKE OF VIRGINIA	LABRANCHE AND CO.
DPZ	DOMINO'S PIZZA INC	LABRANCHE AND CO.
ENZ	ENZO BIOCHEM	LABRANCHE AND CO.
EXP	EAGLE MATERIALS INC	LABRANCHE AND CO.
FSS	FEDERAL SIGNAL	LABRANCHE AND CO.
GT	GOODYEAR TIRE & RUBBER	LABRANCHE AND CO.
HRB	H & R BLOCK	LABRANCHE AND CO.
HRZ	HORIZON LINES INC	LABRANCHE AND CO.
HSC	HARSCO	LABRANCHE AND CO.
HXL	HEXCEL	LABRANCHE AND CO.
JW A	JOHN WILEY & SONS CLA	LABRANCHE AND CO.
JW B	JOHN WILEY & SONS CLB	LABRANCHE AND CO.
KNX	KNIGHT TRANSPORTATION INC	LABRANCHE AND CO.
LDG	LONGS DRUGS STORES	LABRANCHE AND CO.
LVB	STEINWAY MUSICAL INSTRUMENTS	LABRANCHE AND CO.
MGI	MONEYGRAM INTERNATIONAL INC	LABRANCHE AND CO.
MLR	MILLER INDUSTRIES	LABRANCHE AND CO.
MRT	MORTON'S RESTAURANT	LABRANCHE AND CO.
MTZ	MASTEC	LABRANCHE AND CO.

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
NWA	NORTHWEST AIRLINES CORP	LABRANCHE AND CO.
PIR	PIER 1 IMPORTS DEL	LABRANCHE AND CO.
PPG	PPG INDUSTRIES CAPITAL	LABRANCHE AND CO.
PRS	PRIMUS GUARANTY	LABRANCHE AND CO.
RIT	LMP REAL ESTATE INCOME FUND INC	LABRANCHE AND CO.
SAH	SONIC AUTOMOTIVE	LABRANCHE AND CO.
TU	TELUS CORP	LABRANCHE AND CO.
TYC	TYCO INTERNATIONAL LTD	LABRANCHE AND CO.
UTI	UNIVERSAL TECHNICAL INSTITUTE	LABRANCHE AND CO.
VC	VISTEON	LABRANCHE AND CO.
VVI	VIAD CORP	LABRANCHE AND CO.
WCN	WASTE CONNECTIONS INC	LABRANCHE AND CO.
WNC	WABASH NATIONAL	LABRANCHE AND CO.
WWW	WOLVERINE WORLD WIDE	LABRANCHE AND CO.
WXS	WRIGHT EXPRESS CORP	LABRANCHE AND CO.
ABN PRE	ABN AMRO CAP FUNDING TR V 5.90%	LABRANCHE AND CO.
ABN PRF	ABN AMRO CAPITAL FUNDING TR VI	LABRANCHE AND CO.
ABN PRG	ABN AMRO CAPITAL FUNDING TRUST	LABRANCHE AND CO.
HBA PRD	HSBC USA 1/4 SHR ADJ RT CUM	LABRANCHE AND CO.
HBA PRF	HSBC USA INC	LABRANCHE AND CO.
HBA PRG	HSBC USA INC	LABRANCHE AND CO.
HBA PRH	HSBC USA INC	LABRANCHE AND CO.
HBA PRZ	HSBC USA	LABRANCHE AND CO.
HBC PR	HARRIS PRD CAP 7 3/8% NONCUM	LABRANCHE AND CO.
HFC PRB	HSBC FINANCE CORP	LABRANCHE AND CO.
HTB	HSBC FINANCE CORP 6.875%	LABRANCHE AND CO.
HTN	HSBC FINANCE CORP 6%	LABRANCHE AND CO.
IGK	ING GROEP NV	LABRANCHE AND CO.
IND	ING GROEP N.V.	LABRANCHE AND CO.
INZ	ING GROEP N.V.	LABRANCHE AND CO.
ISF	ING GROEP N.V.	LABRANCHE AND CO.
ISG	ING GROEP N V	LABRANCHE AND CO.
ISP	ING GROEP N.V.	LABRANCHE AND CO.
JBJ	LEHMAN ABS CORP 6.25% 2003 4	LABRANCHE AND CO.
RBS PRF	ROYAL BK OF SCOTLAND GP	LABRANCHE AND CO.
RBS PRH	ROYAL BANK OF SCOTLAND GROUP	LABRANCHE AND CO.
RBS PRL	ROYAL BANK OF SCOTLAND GROUP PLC	LABRANCHE AND CO.
RBS PRM	ROYAL BANK OF SCOTLAND GROUP PLC	LABRANCHE AND CO.
RBS PRN	ROYAL BANK OF SCOTLAND GROUP	LABRANCHE AND CO.
RBS PRP	ROYAL BK OF SCOTLAND GP SER P	LABRANCHE AND CO.
RBS PRQ	ROYAL BANK OF SCOTLAND GP SER Q	LABRANCHE AND CO.
RBS PRR	ROYAL BANK OF SCOTLAND SER R	LABRANCHE AND CO.
RBS PRS	THE ROYAL BANK OF SCOTLAND GROUP	LABRANCHE AND CO.
ASP	AMERICAN STRAT INCM PORTFOLIO	LEHMAN BROTHERS MARKET MAKERS
BRF	BLACKROCK FL INSURED MUNI 2008	LEHMAN BROTHERS MARKET MAKERS
CMK	MFS INTERMKT INCOME TRST I	LEHMAN BROTHERS MARKET MAKERS
EVF	EATON VANCE SENIOR INCOME TRUST	LEHMAN BROTHERS MARKET MAKERS
EVN	EATON VANCE MUNI INC TR	LEHMAN BROTHERS MARKET MAKERS
FRA	BLACKROCK FLOATING RATE INCOME	LEHMAN BROTHERS MARKET MAKERS
GCV	GABELLI CONVERTIBLE & INCOME FD	LEHMAN BROTHERS MARKET MAKERS
GCV PRB	GABELLI CONVERTIBLE & INCOME FD	LEHMAN BROTHERS MARKET MAKERS
HIF	WESTERN ASSET HIGH INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
HIX	WESTERN ASSET HIGH INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
HJR	MS STRUCTURED ASSET CORP	LEHMAN BROTHERS MARKET MAKERS
HJT	MS STRUCTURED ASSET CORP	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HYB	NEW AMER HIGH INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
HYF	MANAGED HIGH YIELD PLUS FUND	LEHMAN BROTHERS MARKET MAKERS
IIM	MS INS MUNI INCM TST	LEHMAN BROTHERS MARKET MAKERS
IQI	MSQUAL MUNI INCM TS	LEHMAN BROTHERS MARKET MAKERS
JBI	LEHMAN ABS CORPORATION	LEHMAN BROTHERS MARKET MAKERS
JEQ	JAPAN EQUITY FUND	LEHMAN BROTHERS MARKET MAKERS
KHI	DWS HIGH INCOME TRUST	LEHMAN BROTHERS MARKET MAKERS
MAF	PIMCO MUNICIPAL ADVANTAGE FUND	LEHMAN BROTHERS MARKET MAKERS
MFM	MFS MUNICIPAL INCOME TRUST	LEHMAN BROTHERS MARKET MAKERS
MFV	MFS SPECIAL VALUE TRUST	LEHMAN BROTHERS MARKET MAKERS
MHD	BLACKROCK MUNIHOLDINGS FUND INC	LEHMAN BROTHERS MARKET MAKERS
MMT	MULTIMARKET INCOME TRUST	LEHMAN BROTHERS MARKET MAKERS
MPA	BLACKROCK MUNIYIELD PA INS FUND	LEHMAN BROTHERS MARKET MAKERS
MUS	BLACKROCK MUNIHOLDINGS INSURED	LEHMAN BROTHERS MARKET MAKERS
MYC	BLACKROCK MUNIYIELD CAL FUND	LEHMAN BROTHERS MARKET MAKERS
MYD	BLACKROCK MUNIYIELD FUND INC.	LEHMAN BROTHERS MARKET MAKERS
NCP	NUVEEN CAL PERF PLUS MUNI FUND	LEHMAN BROTHERS MARKET MAKERS
NFL	NUVEEN INS FLA PREM INCM MUNI	LEHMAN BROTHERS MARKET MAKERS
NMY	NUVEEN MA PREM INCM MUNI FUND	LEHMAN BROTHERS MARKET MAKERS
NNY	NUVEEN NEW YORK MUN VAL FUND	LEHMAN BROTHERS MARKET MAKERS
NPF	NUVEEN PREMIER MUNI INC FUND	LEHMAN BROTHERS MARKET MAKERS
NPM	NUVEEN PREM INCOME MUNI FUND 2	LEHMAN BROTHERS MARKET MAKERS
NPP	NUVEEN PERF PLUS MUNI FUND	LEHMAN BROTHERS MARKET MAKERS
NPX	NUVEEN INS PREM INCM MUNI 2	LEHMAN BROTHERS MARKET MAKERS
NQP	NUVEEN PENN INV QUAL MUNI FUND	LEHMAN BROTHERS MARKET MAKERS
NQU	NUVEEN QUAL INCOME MUNI FUND	LEHMAN BROTHERS MARKET MAKERS
NSL	NUVEEN SENIOR INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
DCA	DIVIDEND CAPITAL REALTY INCOME	LEHMAN BROTHERS MARKET MAKERS
HTY	JOHN HANCOCK TAX ADV GBL SHR FD	LEHMAN BROTHERS MARKET MAKERS
MGU	MACQUARIE GBL INFRA STR TOTAL RE	LEHMAN BROTHERS MARKET MAKERS
MZF	MBIA CAPITAL/CLAYMORE MANAGED FD	LEHMAN BROTHERS MARKET MAKERS
NTX	NUVEEN TX QUAL INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
NXQ	NUVEEN SELECT TAX FREE INC #2	LEHMAN BROTHERS MARKET MAKERS
OIB	MS MUNI INC OPP TST II	LEHMAN BROTHERS MARKET MAKERS
PCF	PUTNAM HIGH INCOME SECURITIES FD	LEHMAN BROTHERS MARKET MAKERS
PCM	PCM FUND INC	LEHMAN BROTHERS MARKET MAKERS
PDT	J HANCOCK PATRIOT PRE DIV FD II	LEHMAN BROTHERS MARKET MAKERS
PFL	PIMCO FLOATING RATE INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
PIF	INSURED MUNICIPAL INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
PMM	PUTNAM MANAGED MUNI INCM TRUST	LEHMAN BROTHERS MARKET MAKERS
PPM	INVESTMENT GRADE MUNI INC FD	LEHMAN BROTHERS MARKET MAKERS
PPT	PUTNAM PREMIER INCOME TRUST	LEHMAN BROTHERS MARKET MAKERS
SGL	STRATEGIC GLOBAL INCOME FUND	LEHMAN BROTHERS MARKET MAKERS
SLA	AMERICAN SELECT PORTFOLIO	LEHMAN BROTHERS MARKET MAKERS
SWZ	SWISS HELVETIA FUND	LEHMAN BROTHERS MARKET MAKERS
TEI	TEMPLETON EMERGING MKTS INCM FND	LEHMAN BROTHERS MARKET MAKERS
TTF	THAI FUND	LEHMAN BROTHERS MARKET MAKERS
XAA	AMERICAN MUNI INCM PORTOLIO	LEHMAN BROTHERS MARKET MAKERS
CAJ	CANON	LEHMAN BROTHERS MARKET MAKERS
CNK	CINEMARK HOLDINGS INC.	LEHMAN BROTHERS MARKET MAKERS
COL	ROCKWELL COLLINS	LEHMAN BROTHERS MARKET MAKERS
DHR	DANAHER	LEHMAN BROTHERS MARKET MAKERS
DUK	DUKE ENERGY CORP	LEHMAN BROTHERS MARKET MAKERS
FFG	FBL FINANCIAL GROUP	LEHMAN BROTHERS MARKET MAKERS
GPX	GP STRATEGIES	LEHMAN BROTHERS MARKET MAKERS
HIT	HITACHI ADR 10 COM	LEHMAN BROTHERS MARKET MAKERS

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HOT	STARWOOD HOTELS & RESORTS WW	LEHMAN BROTHERS MARKET MAKERS
HXM	DESARROLLADORA HOMEX S.A. DE C.V.	LEHMAN BROTHERS MARKET MAKERS
IMH	IMPAC MORTGAGE HOLDINGS INC	LEHMAN BROTHERS MARKET MAKERS
IMH PRB	IMPAC MORTGAGE HOLDINGS INC	LEHMAN BROTHERS MARKET MAKERS
IMH PRC	IMPAC MORTGAGE HOLDINGS INC	LEHMAN BROTHERS MARKET MAKERS
MTL	MECHEL OAO	LEHMAN BROTHERS MARKET MAKERS
SPR	SPIRIT AEROSYSTEMS HOLDINGS INC	LEHMAN BROTHERS MARKET MAKERS
AUO	AU OPTRONICS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AZ	ALLIANZ SE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BVF	BIOVAIL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CNI	CANADIAN NATIONAL RAILWAY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ENB	ENBRIDGE INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FRO	FRONTLINE LTD	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LUX	LUXOTTICA GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MDZ	MDS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MGA	MAGNA INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MIM	MI DEVELOPMENTS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PTI	PATNI COMPUTER SYSTEMS LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SFL	SHIP FINANCE INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SI	SIEMENS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
STM	STMICROELECTRONICS N V	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SYT	SYNGENTA AG	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TCK	TECK COMINCO LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TGP	TEEKAY LNG PARTNERS L.P.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TK	TEEKAY CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TMM	GRUPO TMM S AB	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TMS	THOMSON S.A.	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TNP	TSAKOS ENERGY NAVIGATION LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UL	UNILEVER	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WIT	WIPRO LIMITED	SPEAR, LEEDS AND KELLOGG SPEC. LLC
APC	ANADARKO PETROLEUM	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BLL	BALL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DYN	DYNEGY INC. (NEW)	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FL	FOOT LOCKER INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HIL	HILL INTERNATIONAL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IPG	INTERPUBLIC GROUP COS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MYE	MYERS INDUSTRIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NLY	ANNALY CAPITAL MANAGEMENT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
NPO	ENPRO INDUSTRIES WI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PGI	PREMIERE GLOBAL SERVICES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PGR	PROGRESSIVE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PHH	PHH CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
POM	PEPCO HOLDINGS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RPM	RPM INTERNATIONAL INC DELAWARE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
RPT	RAMCO-GERSHENSON PROP TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SUP	SUPERIOR INDUSTRIES INTL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WWE	WORLD WRESTLING ENTERTAINMENT	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AEE	AMEREN	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AIQ	ALLIANCE IMAGING INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
ALL	ALLSTATE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
AXE	ANIXTER INTERNATIONAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CXO	CONCHO RES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DPS	DR PEPPER SNAPPLE GROUP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
FTO	FRONTIER OIL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
GET	GAYLORD ENTERTAINMENT	SPEAR, LEEDS AND KELLOGG SPEC. LLC

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
HCP	HCP INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HME	HOME PROPERTIES INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HRS	HARRIS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
HTH	HILLTOP HOLDINGS INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SNS	THE STEAK N SHAKE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TPP	TEPPCO PARTNERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UTX	UNITED TECHNOLOGIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CBB	CINCINNATI BELL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CBB PRB	CINCINNATI BELL INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CTL	CENTURY TEL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
CVG	CONVERGYS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
EW	EDWARDS LIFESCIENCES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IKN	IKON OFFICE SOLUTIONS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IO	ION GEOPHYSICAL CORPORATION	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LIZ	LIZ CLAIBORNE	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MU	MICRON TECHNOLOGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PNC	PNC FINANCIAL SERVICES GROUP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PNC PRC	PNC FIN SERV GP 1.60 CUM CV SR C	SPEAR, LEEDS AND KELLOGG SPEC. LLC
PNC PRD	PNC FIN SERV GP 1.80 CUM CV SR D	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SPH	SUBURBAN PROPANE PARTNERS	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SRZ	SUNRISE SENIOR LIVING INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TCB	TCF FINANCIAL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UBA	URSTADT BIDDLE PROP CLA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
UBP	URSTADT BIDDLE PROPERTIES	SPEAR, LEEDS AND KELLOGG SPEC. LLC
VMC	VULCAN MATERIALS (HOLDING CO)	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WL	WILMINGTON TRUST	SPEAR, LEEDS AND KELLOGG SPEC. LLC
WRE	WASHINGTON RE INV TR	SPEAR, LEEDS AND KELLOGG SPEC. LLC
XEC	CIMAREX ENERGY CO	SPEAR, LEEDS AND KELLOGG SPEC. LLC
<b>8/25/2008</b>		
BAC	BANK OF AMERICA	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BAC PRL	BK OF AMER CORP 7.25% SER L	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BLK	BLACKROCK	SPEAR, LEEDS AND KELLOGG SPEC. LLC
BTU	PEABODY ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
DVN	DEVON ENERGY	SPEAR, LEEDS AND KELLOGG SPEC. LLC
IEP	ICAHN ENTERPRISES LP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
LVS	LAS VEGAS SANDS CORP	SPEAR, LEEDS AND KELLOGG SPEC. LLC
MDR	MC DERMOTT INTL	SPEAR, LEEDS AND KELLOGG SPEC. LLC
SE	SPECTRA ENERGY CORP WI	SPEAR, LEEDS AND KELLOGG SPEC. LLC
TWC	TIME WARNER CABLE CL A	SPEAR, LEEDS AND KELLOGG SPEC. LLC
V	VISA INC	SPEAR, LEEDS AND KELLOGG SPEC. LLC
COF	CAPITAL ONE FINANCIAL	LABRANCHE AND CO.
CSX	CSX	LABRANCHE AND CO.
MUR	MURPHY OIL HOLDING CO	LABRANCHE AND CO.
STI	SUNTRUST BANKS	LABRANCHE AND CO.
USB	US BANCORP	LABRANCHE AND CO.
AU	ANGLOGOLD ASHANTI LIMITED	LEHMAN BROTHERS MARKET MAKERS
CFX	COLFAX CORPORATION	LEHMAN BROTHERS MARKET MAKERS
CYT	CYTEC INDUSTRIES	LEHMAN BROTHERS MARKET MAKERS
DM	DOLAN MEDIA CO	LEHMAN BROTHERS MARKET MAKERS
GFA	GAFISA S.A	LEHMAN BROTHERS MARKET MAKERS
HOG	HARLEY DAVIDSON	LEHMAN BROTHERS MARKET MAKERS
IPI	INTREPID POTASH INC	LEHMAN BROTHERS MARKET MAKERS
MAS	MASCO	LEHMAN BROTHERS MARKET MAKERS
MXT	MAXCOM TELECOMMUNICACIONES S A B	LEHMAN BROTHERS MARKET MAKERS

## LRPs

<u>Symbol</u>	<u>Corporate Name</u>	<u>Specialist Firm</u>
NAT	NORDIC AMERICAN TANKER SHIPPING	LEHMAN BROTHERS MARKET MAKERS
TIF	TIFFANY & CO	LEHMAN BROTHERS MARKET MAKERS
ARO	AEROPOSTALE INC	BANC OF AMERICA SPECIALIST INC
FCL	FOUNDATION COAL HOLDINGS	BANC OF AMERICA SPECIALIST INC
GLP	GLOBAL PARTNERS LP	BANC OF AMERICA SPECIALIST INC
LM	LEGG MASON	BANC OF AMERICA SPECIALIST INC
LMI	LEGG MASON INC CRP UNITS	BANC OF AMERICA SPECIALIST INC
NTY	NBTY INC	BANC OF AMERICA SPECIALIST INC
SWK	STANLEY WORKS	BANC OF AMERICA SPECIALIST INC
VM	VIRGIN MOBILE USA INC	BANC OF AMERICA SPECIALIST INC
WMT	WAL-MART STORES	BANC OF AMERICA SPECIALIST INC
WTW	WEIGHT WATCHERS INTERNATIONAL IN	BANC OF AMERICA SPECIALIST INC
BX	THE BLACKSTONE GROUP LP	BEAR WAGNER SPECIALISTS, LLC
ELN	ELAN	BEAR WAGNER SPECIALISTS, LLC
HON	HONEYWELL INTERNATIONAL	BEAR WAGNER SPECIALISTS, LLC
JOE	ST JOE	BEAR WAGNER SPECIALISTS, LLC
LMT	LOCKHEED MARTIN	BEAR WAGNER SPECIALISTS, LLC
MER	MERRILL LYNCH & CO	BEAR WAGNER SPECIALISTS, LLC
MXB	MSCI INC	BEAR WAGNER SPECIALISTS, LLC
RMG	RISKMETRICS GROUP INC	BEAR WAGNER SPECIALISTS, LLC
SGR	SHAW GROUP	BEAR WAGNER SPECIALISTS, LLC
TXN	TEXAS INSTRUMENTS	BEAR WAGNER SPECIALISTS, LLC
VMW	VMWARE INC.	BEAR WAGNER SPECIALISTS, LLC
VR	VALIDUS HOLD LTD	BEAR WAGNER SPECIALISTS, LLC
VRS	VERSO PAPER CORP	BEAR WAGNER SPECIALISTS, LLC
ANW	AEGEAN MARINE PETROLEUM NETWORK	KELLOGG SPECIALIST GROUP LLC
ATB	ARLINGTON TANKERS	KELLOGG SPECIALIST GROUP LLC
GPC	GENUINE PARTS	KELLOGG SPECIALIST GROUP LLC
ISH	INTL SHIPHOLDING	KELLOGG SPECIALIST GROUP LLC
KSP	K-SEA TRANSPORTATION PARTNERS LP	KELLOGG SPECIALIST GROUP LLC
NM	NAVIOS MARITIME HOLDINGS INC	KELLOGG SPECIALIST GROUP LLC
NM WS	NAVIOS MARITIME HOLDINGS INC	KELLOGG SPECIALIST GROUP LLC
NMM	NAVIOS MARITIME PARTNERS L P	KELLOGG SPECIALIST GROUP LLC
NNA	NAVIOS MARITIME ACQUISITION CORP	KELLOGG SPECIALIST GROUP LLC
NNA U	NAVIOS MARITIME ACQUISITION CORP	KELLOGG SPECIALIST GROUP LLC
NNA WS	NAVIOS MARITIME ACQUISITION CORP	KELLOGG SPECIALIST GROUP LLC
PBY	PEP BOYS MANNY MOE & JACK	KELLOGG SPECIALIST GROUP LLC
RBA	RITCHIE BROS AUCTIONEERS	KELLOGG SPECIALIST GROUP LLC
SFE	SAFEGUARD SCIENTIFICS	KELLOGG SPECIALIST GROUP LLC
SSW	SEASPAN CORP	KELLOGG SPECIALIST GROUP LLC
TAL	TAL INTERNATIONAL GROUP	KELLOGG SPECIALIST GROUP LLC
WDC	WESTERN DIGITAL	KELLOGG SPECIALIST GROUP LLC
WMG	WARNER MUSIC GROUP WI	KELLOGG SPECIALIST GROUP LLC
WON	WESTWOOD ONE	KELLOGG SPECIALIST GROUP LLC