

Trader Update

Date: October 20, 2009
Audience: NYSE Amex Participants
Subject: Penny Pilot Expansion

Summary

NYSE Arca Inc. has announced it has filed with the Securities and Exchange Commission to expand the Penny Pilot Program for Options to include these 75 options classes. The classes are the next 75 most active multiply listed issues under \$200 that are not yet in the program. **NYSE Amex will also add them to the Pilot on November 2, 2009.**

Based on trading activity for the six months ending September 30, 2009, the issues are:

Nat'l Ranking	Symbol	Company Name	Nat'l Ranking	Symbol	Company Name
118	ABX	Barrick Gold Corp	120	MRK	Merck & Co Inc/NJ
48	AXP	American Express Co	35	MS	Morgan Stanley
134	AUY	Yamana Gold Inc	73	NLY	Annaly Capital Management Inc
93	BA	Boeing Co/The	99	NOK	Nokia OYJ
115	BBT	BB&T Corp	121	NVDA	Nvidia Corp
111	BBY	Best Buy Co Inc	80	ORCL	Oracle Corp
94	BP	BP PLC	61	PALM	Palm Inc
67	CHK	Chesapeake Energy Corp	37	PBR	Petroleo Brasileiro SA
58	CIT	CIT Group Inc	85	PG	Procter & Gamble Co/The
78	COF	Capital One Financial Corp	41	POT	Potash Corp of Saskatchewan Inc
68	CVX	Chevron Corp	74	RF	Regions Financial Corp
130	DE	Deere & Co	124	RIG	Transocean Ltd
104	DOW	Dow Chemical Co/The	132	RMBS	Rambus Inc
49	DRYS	DryShips Inc	103	S	Sprint Nextel Corp
88	EFA	iShares MSCI EAFE Index Fund	83	SDS	ProShares UltraShort S&P500
64	ETFC	E*Trade Financial Corp	122	SKF	ProShares UltraShort Financials
32	EWZ	iShares MSCI Brazil Index Fund	107	SLB	Schlumberger Ltd
25	FAS	Direxion Daily Financial Bull 3X Shares	91	SLV	iShares Silver Trust
33	FAZ	Direxion Daily Financial Bear 3X Shares	84	SRS	ProShares UltraShort Real Estate
112	FITB	Fifth Third Bancorp	119	SSO	ProShares Ultra S&P500
70	FSLR	First Solar Inc	101	STI	SunTrust Banks Inc
26	FXI	iShares FTSE/Xinhua China 25 Index Fund	125	SVNT	Savient Pharmaceuticals Inc
82	GDX	Market Vectors - Gold Miners ETF	92	TBT	ProShares UltraShort 20+ Year Treasury
127	GG	Goldcorp Inc	14	UNG	United States Natural Gas Fund LP
18	GLD	SPDR Gold Trust	117	UNH	UnitedHealth Group Inc
129	HGSI	Human Genome Sciences Inc	110	UPS	United Parcel Service Inc
62	HIG	Hartford Financial Services Group Inc	81	USB	US Bancorp
72	HPQ	Hewlett-Packard Co	44	USO	United States Oil Fund LP
59	IBM	International Business Machines Corp	60	UYG	ProShares Ultra Financials
45	IYR	iShares Dow Jones US Real Estate Index Fund	96	V	Visa Inc
105	JNJ	Johnson & Johnson	10	WFC	Wells Fargo & Co
131	JNPR	Juniper Networks Inc	133	WYNN	Wynn Resorts Ltd

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98	KO	Coca-Cola Co/The	52	X	United States Steel Corp
39	LVS	Las Vegas Sands Corp	114	XHB	SPDR S&P Homebuilders ETF
87	MCD	McDonald's Corp	86	XLI	Industrial Select Sector SPDR Fund
71	MGM	MGM Mirage	79	XLU	Utilities Select Sector SPDR Fund
113	MON	Monsanto Co	54	XRT	SPDR S&P Retail ETF
63	MOS	Mosaic Co/The			

Contact Info:

Questions regarding the Penny Pilot Program for Options may be directed to the NYSE Amex Trade Desk, at 1 (877)729-7291.

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