

Date: April 13, 2009

Audience: NYSE Arca ETP Holders

Subject: Domain Deletion & Validation (re: NYSE Arca RBE 09-02)

Link: <http://www.nysearca.com/content/regulation/reg/rbe/2009/NYSEArca-RBE-09-02.pdf>

Summary:

NYSE Arca Equities, Inc. (“NYSE Arca” or “Exchange”) issues this Regulatory Bulletin to announce its new Domain Deletion and Validation policy.¹ Effective immediately, all Domains that have not been used and have had zero trading volume for at least one year will be categorized as inactive and scheduled for deletion. Prior to deletion, the Exchange will provide each Equity Trading Permit (ETP) Holder with a list of its inactive Domains. If an ETP Holder would like to prevent one or more of its inactive Domains from being deleted, then the ETP Holder must contact the Client Relationship Services (CRS) department for Domain retention. For ETP Holders who do not wish to retain one or more of their inactive Domains, no further action will be necessary—the Exchange will not require confirmation from ETP Holders to delete their inactive Domains.

After the Exchange has deleted all inactive Domains, the Exchange will provide each ETP Holder with a list of its active Domains and an acknowledgment form. All ETP Holders will be required to validate each and every active Domain on their respective lists by completing, signing, and returning the acknowledgment form to the Exchange by the form’s due date. The acknowledgment form will also require that each ETP Holder validate each of its active Domains as a connection that facilitates orders (1) sent directly to the Exchange from the ETP Holder’s system; (2) sent to the Exchange from a third-party service bureau in furtherance of an arrangement with the ETP Holder; or (3) sent directly to the Exchange by an ETP Holder’s Sponsored Participant. In the case of (2) or (3), the ETP Holder must also identify the service bureau or sponsored participant associated with each Domain.²

The Exchange will issue the acknowledgment form to a representative of each ETP Holder that further explains the above process and the specific steps each ETP Holder will need to follow to validate its existing Domains.

Contacts:

ETP Holders should direct all questions to their respective Relationship Managers.

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¹ A Domain is an NYSE Arca Trading Group, also known as a route or connectivity solution, used by ETP Holders to connect to the Exchange and used by the Exchange to identify such connections.

² For additional information, see Exchange Rule 7.29.