



DATE: July 31, 2008  
TO: NYSE Trading Floor and Member Firms  
FROM: NYSE Operations  
RE: Test of Proposed Regional Contingency Trading

On May 29<sup>th</sup>, 2008 NYSE Euronext distributed a memo detailing changes to its business recovery capabilities should a contingency event occur that affects either the NYSE trading floor or the NYSE New York metropolitan data centers.

If an event occurs that impacts the New York metropolitan region, firms will be requested to redirect order flow to the NYSE Arca trading platform in Chicago. Trades and quotes generated by NYSE Arca's matching engine in NYSE listed companies will post to the tape with an "N" indicator.

NYSE Euronext planned to run a disaster recovery test on August 9. This was to be a comprehensive test, allowing participants to test all components. However, we determined that many firms are not yet ready to do this. To fulfill this Business Continuity planning requirement, NYSE firms must do the following:

- Contact the Connectivity Support team (888-689-7739, option 1) to set up Order entry and market data sessions to NYSE Euronext's Chicago data center.
- Firms are encouraged to test their back office capabilities in this contingency scenario. Firms who do not already receive NYSE Arca RIO Contracts will need to submit a request on firm letterhead to DTCC requesting set up. Firms will also need to access DTCC's PSE-U test region in order to receive test data. This may be requested in the same letter.

To allow firms more time to prepare, the August 9<sup>th</sup> contingency exercise will be delayed until October 25<sup>th</sup>. Details about this test will be sent out about a month prior to the test.

If you have any questions, please contact:

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