

TERMINATION OF MARKET MAKER

To: NYSE Amex Options Client Relationship Services Department

From: _____
Clearing Member

Date of termination: _____ (Close of Business)

 Temporary Termination (1 to 60 days) *
– Date of Return: _____ Permanent Termination (more than 60 days)**

Market Maker: _____ Acronym: _____

ATP Firm: _____

The Clearing Member will continue to accept full financial responsibility for all Exchange options transactions (as defined in NYSE Amex Rule 900NY) made in the account of the above-specified Market Maker, until such time as all positions in the account have been liquidated and a Letter of Guarantee Revocation has been issued and accepted by the Exchange.

Authorized Signature of Clearing Member Date**NYSE Amex
Accepted by:**_____
Date

* ATP holders (ATP) must notify the Exchange no later than five business days in advance of the proposed temporary termination date.

Trade activity in a market maker account that is subject to a Temporary Termination must be limited to "closing only" transactions. Closing only shall generally mean submission of orders for the purpose of closing positions or reducing risk. Trade activity in terminated accounts may be subject to regulatory review.

** A Letter of Guarantee Revocation is required to be filed with the NYSE Amex Client Relationship Services Department after all accounts have cleared.