

ATP Request Form

This form should be used to request an Amex Trading Permit ("ATP") and to assign that ATP to a nominee of an ATP Firm. This form designates an ATP Firm's nominee as an ATP Holder on behalf of that firm, and must be executed prior to the commencement of trading of that nominee. This form must be approved by NYSE Amex's Client Relationship Services Department. A separate form must be executed for each ATP Holder.

Please issue _____ ("ATP Firm")
(*name of ATP Firm*)

_____ Amex Trading Permit(s), effective _____ (open of business).
(*number of ATPs being requested*) (effective date)

(This)/(these) ATP(s) will be held in the name of

_____ ("ATP Holder"),
(*name of nominee*)

hereby established as an ATP Holder, until further notice.

(This is a) / (these are) new or additional ATP(s) of the ATP Firm

(This is an) / (these are) existing ATP(s) of the ATP Firm; this form is being executed to assign said ATP(s) to the above referenced ATP Holder.

The ATP Firm acknowledges that it will be held liable for all Exchange obligations, including all obligations arising in connection with transactions effected on NYSE Amex, all obligations owed to NYSE Amex or any subsidiary of NYSE Amex, the payment of all NYSE Amex fees and charges, and all obligations accruing in the course of the ATP Firm's and the ATP Holder's NYSE Amex business.

The ATP issued pursuant to NYSE Amex's acceptance of this form will be associated with the ATP Firm until terminated pursuant to the termination provisions delineated in NYSE Amex Options Rules.

Signature of ATP Holder: _____

Authorized Signature for ATP Firm: _____

Print Name of Authorized Signatory: _____

Contact Number of Authorized Signatory: _____

Date: _____

Clearing member authorization for electronic collection of NYSE Amex invoices for the above symbol:

Name on NSCC/OCC Account _____

NSCC Account Number _____

OCC Account Number _____

OCC Origin Code (for OCC collection only) M C F

(An origin code is needed for OCC collection: M= market maker, C= customer, F= Firm. You may use any origin code you have set up at OCC)

For Client Relationship Services Department use only:

Accepted by: _____ Date: _____