

Multiple Access Policy

MISU

The NYSE Multiple Installation for Single Users (MISU) policy sets forth the terms and conditions wherein a single “device fee” shall apply in respect of individual professional users receiving multiple services entitled for NYSE real-time data. In such cases, the NYSE will charge for a single device, provided the firm meets the following requirements:

The MISU Requirements:

- Firm must update their Exhibit A to reflect new MISU procedures.
- Firm must assume monthly reporting responsibilities for all MISU locations.
- Firm must have the ability to produce a report showing the total number of NYSE entitled devices at each MISU location, and the number of NYSE-entitled devices on a user-by-user basis.
- Firm must internally audit the accuracy of the report as requested by the NYSE.
- Firm must inhibit simultaneous access by same user ID.

The MISU Report:

- Firm can only submit MISU reports for locations directly corresponding with vendor reporting.
- Vendor account numbers corresponding to MISU locations should be used when reporting to NYSE*.
- A matrix of users (or user codes) and entitled vendor devices must be submitted monthly, showing total vendor devices per MISU location.
- A new firm account will be established for the firm to submit total billable devices at each MISU location, superseding vendor reporting at those locations**.
- Firm employees with single devices at MISU locations must be included in the report. Firm employees without billable devices do not need to be included.

Monthly Report for Firm A – MISU location 1 (6 users, 10 NYSE-entitled devices, 5 billable devices)

User ID	Reuters REU1234*	ILX ILX5678*	Bridge BRI9876*	MISU Firm A Firm9999**
Smith, Joe	1	0	1	1
Johnson, Mary	0	1	1	1
Jones, Peggy	1	1	1	1
Blair, John	2	0	0	1
James, Bill	0	0	1	1
Scott, Ann	0	0	0	0
Totals	4	2	4	5

This fact sheet is a summary document intended to set forth the highlights of CTA/CQ policy, rates, and procedures. Questions and/or circumstances not covered in this document should be referred to NYSE for the determination of applicable fees and procedures.