ATTACHMENT A:
Account Type Indicator Definitions

	Program Trade Index Arbitrage	Program Trade Non-Index Arbitrage	All Other Orders
Account of a member or member organization, associated person, or account over which exercise investment discretion	**D**	**C**	**P**
Member's Proprietary Error Trade			**Q**
Individual Account	**J**	**K**	**I**
Other Agency Account	**U**	**Y**	**A**

DEFINITIONS

Member's Proprietary Error Trade: a Member's proprietary order entered as a result of a Floor-based error, which includes orders that establish or liquidate a position within a Member's Floor error account. Exchange Rule 134

Index Arbitrage: a trading strategy in which pricing is based on discrepancies between a "basket" or group of stocks and the derivative index product (i.e. a basis trade) involving the purchase or sale of a "basket" or group of stocks in conjunction with the purchase or sale, or intended purchase or sale, of one or more derivative index products in an attempt to profit by the price difference between the "basket" or group of stocks and the derivative index products. While the purchase or sale of the stocks must be in conjunction with the purchase or sale of derivative index products, the transaction need not be executed contemporaneously to be considered index arbitrage. The term "derivative index products" refers to cash-settled options or futures contracts on index stock groups, and options on any such futures contracts. Exchange Rule 7410(g)

Program Trade: (a) index arbitrage or (b) any trading strategy involving the related purchase or sale of a "basket" or group of 15 or more stocks. Program trading includes the purchases or sales of stocks that

are part of a coordinated trading strategy, even if the purchases or sales are neither entered nor executed contemporaneously, nor part of a trading strategy involving options or futures contracts on an index stock group, or options on any such futures contracts, or otherwise relating to a stock market index. Exchange Rule 7410(m)

Individual Account: an account covered by §11(a)(1)(E) of the Securities Exchange Act of 1934,which includes "any transaction for the account of a natural person, the estate of a natural person, or a trust created by a natural person for himself or another natural person."

Other Agency Account: an order for the account of any other unaffiliated person, which may include unaffiliated member organizations, unaffiliated non-member organization broker-dealer customers, and customers.

Field	Values		
Order Capacity Codes	Represents the Account Type, which reflects the type of trade as well as the relationship between the beneficial owner of the account and the member organization clearing the trade. Valid Values:		
	A	=	Not a member/member organization and not an individual investor.
	C	=	Program Trade, non-index arbitrage for the proprietary account of a member/member organization or an affiliate of the member/member organization.
	D	=	Program trade, index arbitrage for the proprietary account of a member/member organization or an affiliate of the member/member organization.
	I	=	Individual investor, not a discretionary or managed account.
	J	=	Program trade, index arbitrage for the account of an individual investor.
	K	=	Program trade, non-index arbitrage for the account of an individual investor.
	P	=	Non-Program trading for the proprietary account of a member/member organization or an affiliate of the member/member organization.
	Q	=	Proprietary trade by a member on the Floor relating to the member's own error. All trades to establish or liquidate positions in the member's error account must be identified with this account type.
	U	=	Program trade, index arbitrage and agency.
	Y	=	Program trade, non-index arbitrage and agency.

Order Routing Sequence	OATS	Account Type Indicator
Member Firm routing to NYSE their own interest	Account Type Code: “O”, “P”, or “X” ***and*** Order Originating Code: “F”	ATI: "P", etc.
Member Firm acting as agent on behalf its own a customer (not a BD)	Order Origination Code: “F” and Account Type Code: “I”, “A”, “C”, “E” or “R” (R will be retired on July 9, 2012 and will no longer be an allowable value in OATs)	ATI: "A", etc.
Member Firm acting as agent for the customer of another Broker/Dealer	Account Type Code: “I”, “A”, “C”, “E” or “R” ***and*** Order Originating Code: “W”	ATI: "A", etc.
Member Firm acting as agent for the proprietary account of another Broker/Dealer	Account Type Code: “O” or” “P” *and* Order Originating Code : “W”	ATI: "A", etc.